

Message

Brinegar, Jennifer Hooker

From: Brinegar, Jennifer L
Sent: Thursday, June 01, 2006 9:27 AM
To: Sampson, Kelvin Dale; McElroy, Barbara J
Subject: FW: Questions for the COI

Coach,

As you can see from the email trail below, Jim Elworth (the NCAA staff's liaison to the COI) says that we should cancel your speaking engagement this weekend if we can not guarantee that absolutely no prospects will be in attendance. Please remember, that for now anyway until the COI says otherwise, it looks like even good faith attempts to comply with the sanctions that fail will be dealt with harshly by the COI.

This is only for a year – it will go by fast – hang in there!

Let me know if you have any questions.

Thanks,

Jennifer

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

From: Greenspan, Richard I
Sent: Thursday, June 01, 2006 8:42 AM
To: Brinegar, Jennifer L
Subject: RE: Questions for the COI

Jen,

I think this is excessive and almost unreasonable but we will comply pending clarification on many of these type of issues. Please inform coach and indicate the path we will take on all future events to insure control, coordination and compliance.

RG

From: Brinegar, Jennifer L
Sent: Wednesday, May 31, 2006 5:53 PM
To: Greenspan, Richard I
Subject: FW: Questions for the COI
Importance: High

Rick,

Jim Elworth says that the COI may want to address our list as a whole (rather than just the chair), so it may take a while to get their response to our questions. So, he suggests that if there's any chance that a prospect might be present at the American Heart Association event, he should cancel his appearance.

1/28/2008

I have to leave, but you can reach me on my cell phone, if necessary: 327-0071.

Please let me know if you would like to tell Coach Sampson or if you prefer that I do it.

Thanks,

Jennifer

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

From: Elworth, Jim [mailto:jelworth@ncaa.org]
Sent: Wednesday, May 31, 2006 3:28 PM
To: Brinegar, Jennifer L
Cc: Cooper, Shep; Tom Yeager
Subject: RE: Questions for the COI

Jennifer,

I am forwarding your questions to acting chair Yeager right now. He may want me to get input from the full committee which might take awhile. In the meantime, my suggestion (and please note that I am not the authority) is to plan on Coach Sampson cancelling his appearance if that appearance would violate the committee's directive. The order is in effect as of the release of the report and for him to make such an appearance, whether previously scheduled or not, may well run him afoul of the committee's sanction. As for what to tell the event organizer, that is up to you guys.

Again, I am sending this on right now and will get back to you as soon as I get something in return.

Jim Elworth

-----Original Message-----

From: Brinegar, Jennifer L [mailto:jhooker@indiana.edu]
Sent: Wednesday, May 31, 2006 3:20 PM
To: Elworth, Jim
Subject: Questions for the COI

Hi Jim,

Could you please forward the attached list of questions to Mr. Yeager? The first question deals with a pre-existing speaking engagement that is scheduled for tomorrow evening. So, if possible, we would like to know how to proceed (what we need to tell the event organizers). With Coach Sampson's goodwill trip to Kuwait and the holiday weekend, this has quickly become (unfortunately) an urgent matter. The rest of the questions (and there are many!) are not as urgent, but we hope to have a response to #1 as soon as possible.

Thanks,

Jennifer

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

1/28/2008

This email and any attachments may contain confidential and privileged information. If you are not the intended recipient, please notify the sender immediately by return email, delete this message and destroy any copies. Any dissemination or use of this information by a person other than the intended recipient is unauthorized and may be illegal.

Brinegar, Jennifer Hooker

From: Brinegar, Jennifer L
Sent: Thursday, June 01, 2006 1:59 PM
To: Greenspan, Richard I
Subject: RE:

Will do.

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

From: Greenspan, Richard I
Sent: Wednesday, May 31, 2006 5:34 PM
To: Brinegar, Jennifer L
Cc: Calhoun, M. Grace; Rohleder, Mary Ann
Subject:

Jen,

As a follow-up from our meeting please insure that your staff is providing clarification on the implementation of the NCAA sanctions to the basketball staff. Additionally, it is important that the weekly meetings remain firmly in place as documentation of our diligence and vigilance. These meetings will also be helpful in assuring that Coach Sampson's schedule of outside events falls within the boundaries as defined by the NCAA.

Keep me posted.

Thanks,

RG

Brinegar, Jennifer Hooker

From: Brinegar, Jennifer L
Sent: Thursday, June 01, 2006 5:21 PM
To: Rohleder, Mary Ann; Calhoun, M. Grace
Subject: Weekly Update

Kathy is off Friday and Monday. She is working on tenders – both issuing initials and trying to get the renewals back. She sent reminders to coaches with outstanding renewal tenders and letters to all tendered athletes inquiring about any outside aid they might be receiving (this is creating a lot of phone calls from SAs and/or parents with questions). She is working on 5th year aid tenders and starting to enter athletic aid into the financial aid system for the academic year.

Chip is off Friday. He reviewed and revised every female student-athletes degree progress, hours passed and eligibility; revised female ineligible list; prepared junior sheets to be sent to campus to verify completion of a degree with 5 years; met with training room and rowing to discuss novice program in the fall; provided training room with 10 digit id numbers of student-athletes; and, corrected data entry for student athlete test scores for Anitra's reports (composite ACT was missing on some athletes).

Jennifer is off Friday. This past week she worked on MBB issues (reviewed COI report, drafted revised compliance agreement, drafted list of questions for clarification from COI, fielded questions from staff, etc.); drafted and submitted a self report for a secondary violation in diving; drafted and distributed June Indiana Compliance Newsletter; researched various rules interpretations; met with Mary Ann regarding evaluation; met with Grace regarding merger; met with Bruce Jaffee to review revisions to compliance agreement; met with Anitra, Grace and Bruce to discuss quantitative hours requirement calculation; began preparing for next Tuesday's compliance meeting; and continues to monitor Christian's work as he makes the transition into his job.

Anitra continued to work on transcripts, grades and ineligibles report. She is working with academics to rectify student-athletes who don't meet current interpretation of hours towards degree requirements, and has met with Grace, Mattie, Bruce and myself, as well as the football coaches to resolve problematic student-athletes. She is also working on the GSR report that is due to the NCAA today. She has also been working on transfer transcripts and dealing with international admissions issues.

Christian has collected and reviewed sports inventory reports for all sports. He meets continually with Jerry Green to monitor basketball recruiting activity. He has approved 3 separate camps for Coach Goldman and has begun discussions with men's basketball for an additional elite camp. He has requested the summer employment information from men's and women's basketball to facilitate communications between the compliance office and those identified employers. He continues to provide interpretations for all coaching staffs. He is still collecting participation reports for spring sports, with track & field remaining. Lastly, he has begun to collect awards documentation from a few coaches and will continue in the coming month.

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

Brinegar, Jennifer Hooker

From: Brinegar, Jennifer L
Sent: Thursday, June 01, 2006 5:39 PM
To: Frapwell, Dorothy J
Cc: Jaffee, Bruce L.; Calhoun, M. Grace
Subject: Compliance Agreement

Attachments: Men's Basketball Revised Corrective Action 06-05-06 Memo.doc; Men's Basketball Corrective Action 04-19-06 Memo.doc

Dottie,

Shortly after Coach Sampson was hired, Rick and Bruce decided to have him sign a compliance agreement that specified his responsibilities regarding the penalties that IU adopted from those self-imposed by Oklahoma. We didn't think to run it by you since it was regarding NCAA rules, but Bruce thought of it today when we were revising the document to factor in the additional penalties assessed by the NCAA Committee on Infractions.

Could you please take a look and see if we need to add/revise/delete anything (some of the stilted language is lifted right out of the COI report)? I included a copy of the first (w/o it being on Rick's letterhead) in order for you to have both documents.

Thanks,

Jennifer

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

Memorandum

To: Coach Kelvin Sampson

From: Rick Greenspan
Bruce Jaffee

Date: June 5, 2006

Re: Revised Compliance Agreement

This memorandum replaces the April 19, 2006, compliance agreement signed by all the parties listed above. The Committee on Infractions report dated May 25, 2006, noted that although Indiana University adopted and transferred the penalties listed below, further recruiting restrictions were warranted. Therefore, we have amended paragraphs 1 and 4, and added paragraphs 6 and 7 to this compliance agreement. The complete list of corrective actions is as follows:

1. The director of compliance or the assistant athletics director for compliance will meet, on a weekly basis, with the head coach or the director of men's basketball operations to review men's basketball recruitment activities and documentation, including telephone records. Coach Sampson will work with the compliance staff to establish effective monitoring systems and regular and effective rules education sessions.
2. Indiana University shall submit a report to the Committee on Infractions by August 1, 2006, detailing our monitoring of, and rules education sessions for, Coach Kelvin Sampson and his staff. The report will also include documentation of Indiana University's compliance with all other penalties adopted and transferred to IU. Furthermore, Indiana University will file a written report with the NCAA not later than August 31, 2007, detailing the implementation and fulfillment of the penalties which were transferred to Coach Sampson upon his employment at Indiana University, as well as those assessed by the committee on May 25, 2006.
3. Reduce the number of permissible calls by the men's basketball coaching staff to prospects on or after June 15 of the prospect's sophomore year in high school through July 31 of the prospect's junior year in high school from one call per month to one call every other month for a period commencing March 29, 2006, and concluding June 30, 2007. Exceptions to this penalty will be permitted in accordance with the provisions of NCAA Bylaws 13.1.3.3.2 (Official Visit Exception), 13.1.3.3.3 (Letter of Intent Signing Date Exception) and 13.1.3.3.4 (Telephone Subsequent to National Letter of Intent Signing or Other Written Commitment).

4. Reduce the number of permissible calls by the men's basketball coaching staff to prospects on or after August 1 of the prospect's senior year in high school from two calls per week to one call per week for a period commencing March 29, 2006, and concluding June 30, 2007. Exceptions to this penalty will be permitted in accordance with the provisions of NCAA Bylaws 13.1.3.3.2 (Official Visit Exception), 13.1.3.3.3 (Letter of Intent Signing Date Exception) and 13.1.3.3.4 (Telephone Subsequent to National Letter of Intent Signing or Other Written Commitment).
5. Restrict the number of days which Coach Kelvin Sampson may recruit off-campus to a maximum of 4 during the period of March 29, 2006 through May 24, 2006 (Coach Sampson used 15 of the 19 recruiting-person days he was permitted pursuant to the University of Oklahoma's self-imposed penalties while still employed at the University of Oklahoma).
6. Impose similar base and bonus compensation restrictions on Coach Kelvin Sampson, for the period beginning March 29, 2006 and concluding June 30, 2007.
7. Coach Kelvin Sampson is prohibited, for a period of one year beginning May 25, 2006 through May 24, 2007, from making any phone calls that relate in any way to recruiting or being present when members of his staff make such calls.
8. Coach Kelvin Sampson is prohibited, for a period of one year beginning May 25, 2006 through May 24, 2007, from engaging in any off-campus recruiting activities. The prohibition on off-campus recruiting activities shall apply to all off-campus appearances at which prospects may be in attendance, including banquets, booster functions and exceptions provided by Bylaw 13.1.9.

It is understood that any additional sanctions imposed on you or IU by the NCAA and/or the Big Ten Conference will be followed as well.

By signing and dating this memo, all parties agree to abide by the penalties set forth above.

Kelvin Sampson

(date)

Rick Greenspan

(date)

Bruce Jaffee

(date)

Memorandum

To: Coach Kelvin Sampson

From: Rick Greenspan
Bruce Jaffee

Date: April 19, 2006

Re: Compliance Agreement

We agree, in light of the circumstances of which we are aware, that the University of Oklahoma imposed a number of significant penalties on its men's basketball program. Like Oklahoma, Indiana University believes that when violations occur, regardless of the intent, appropriate penalties must follow. Therefore, it is our plan to carry out those penalties that relate directly to you, as well as to place a few additional requirements on your program. Accordingly, the following corrective action will be implemented:

1. The director of compliance will meet, on a weekly basis, with the head coach or the director of men's basketball operations to review men's basketball recruitment activities and documentation for the previous and upcoming weeks. Furthermore, Indiana University will file a written report with the NCAA not later than August 31, 2007, detailing the implementation and fulfillment of the penalties which were transferred to Coach Kelvin Sampson upon his employment at Indiana University.
2. Reduce the number of permissible calls by the men's basketball coaching staff to prospects on or after June 15 of the prospect's sophomore year in high school through July 31 of the prospect's junior year in high school from one call per month to one call every other month for a period commencing March 29, 2006, and concluding June 30, 2007. Exceptions to this penalty will be permitted in accordance with the provisions of NCAA Bylaws 13.1.3.3.2 (Official Visit Exception), 13.1.3.3.3 (Letter of Intent Signing Date Exception) and 13.1.3.3.4 (Telephone Subsequent to National Letter of Intent Signing or Other Written Commitment).
3. Reduce the number of permissible calls by the men's basketball coaching staff to prospects on or after August 1 of the prospect's senior year in high school from two calls per week to one call per week for a period commencing March 29, 2006, and concluding June

30, 2007. Exceptions to this penalty will be permitted in accordance with the provisions of NCAA Bylaws 13.1.3.3.2 (Official Visit Exception), 13.1.3.3.3 (Letter of Intent Signing Date Exception) and 13.1.3.3.4 (Telephone Subsequent to National Letter of Intent Signing or Other Written Commitment).

4. Restrict the number of days which Coach Kelvin Sampson may recruit off-campus to a maximum of 4 during the period of March 29, 2006, through June 30, 2006 (Coach Sampson used 15 of the 19 recruiting-person days he was permitted pursuant to the University of Oklahoma's self-imposed penalties while still employed at the University of Oklahoma).
5. Impose similar base and bonus compensation restrictions on Coach Kelvin Sampson, for the period beginning March 29, 2006, and concluding June 30, 2007.

It is understood that any additional sanctions imposed on you or IU by the NCAA and/or the Big Ten Conference will be followed as well.

By signing and dating this memo, all parties agree to abide by the penalties set forth above.

Kelvin Sampson

(date)

Rick Greenspan

(date)

Bruce Jaffee

(date)

Brinegar, Jennifer Hooker

From: Brinegar, Jennifer L
Sent: Friday, June 02, 2006 1:51 PM
To: Smithson, Terri J
Cc: Greenspan, Richard I; Jaffee, Bruce L.
Subject: Revised Compliance Agreement (MBB)
Attachments: Men's Basketball Amended Corrective Action 05-30-06 Memo.doc

Hi Terri,

Rick, Bruce and Dottie have all reviewed, revised and approved the attached memo. Could you please print it off on Rick's letterhead and then Rick, Bruce and Kelvin sign and date it (I dated it as of Monday, since you are out this week)?

Let me know if you have any questions.

Thanks!

Jennifer

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

Memorandum

To: Coach Kelvin Sampson

From: Rick Greenspan
Bruce Jaffee

Date: June 5, 2006

Re: Revised Compliance Agreement

This memorandum replaces the April 19, 2006, compliance agreement signed by all the parties listed above. The Committee on Infractions report dated May 25, 2006, noted that although Indiana University adopted and transferred the penalties listed below, further recruiting restrictions were warranted. Section 4.08 of your Employment Contract, dated April 20, 2006, states in part, "If the NCAA imposes sanctions against the Employee...or if the NCAA requires that the Employee's prior employer's (University of Oklahoma) sanctions against him be enforced, Indiana University shall impose those same sanctions against the Employee." Therefore, we have amended paragraphs 1 and 4, and added paragraphs 6 and 7 to this compliance agreement. The complete list of corrective actions is as follows:

1. The director of compliance or the assistant athletics director for compliance will meet, on a weekly basis, with the head coach or the director of men's basketball operations to review men's basketball recruitment activities and documentation, including telephone records. Coach Sampson will work with the compliance staff to establish effective monitoring systems and regular and effective rules education sessions.
2. Indiana University shall submit a report to the Committee on Infractions by August 1, 2006, detailing our monitoring of, and rules education sessions for, Coach Kelvin Sampson and his staff. The report will also include documentation of Indiana University's compliance with all other penalties adopted and transferred to IU. Furthermore, Indiana University will file a written report with the NCAA not later than August 31, 2007, detailing the implementation and fulfillment of the penalties which were transferred to Coach Sampson upon his employment at Indiana University, as well as those assessed by the committee on May 25, 2006.

3. Reduce the number of permissible calls by the men's basketball coaching staff to prospects on or after June 15 of the prospect's sophomore year in high school through July 31 of the prospect's junior year in high school from one call per month to one call every other month for a period commencing March 29, 2006, and concluding June 30, 2007. Exceptions to this penalty will be permitted in accordance with the provisions of NCAA Bylaws 13.1.3.3.2 (Official Visit Exception), 13.1.3.3.3 (Letter of Intent Signing Date Exception) and 13.1.3.3.4 (Telephone Subsequent to National Letter of Intent Signing or Other Written Commitment).
4. Reduce the number of permissible calls by the men's basketball coaching staff to prospects on or after August 1 of the prospect's senior year in high school from two calls per week to one call per week for a period commencing March 29, 2006, and concluding June 30, 2007. Exceptions to this penalty will be permitted in accordance with the provisions of NCAA Bylaws 13.1.3.3.2 (Official Visit Exception), 13.1.3.3.3 (Letter of Intent Signing Date Exception) and 13.1.3.3.4 (Telephone Subsequent to National Letter of Intent Signing or Other Written Commitment).
5. Restrict the number of days which Coach Kelvin Sampson may recruit off-campus to a maximum of 4 during the period of March 29, 2006 through May 24, 2006 (Coach Sampson used 15 of the 19 recruiting-person days he was permitted pursuant to the University of Oklahoma's self-imposed penalties while still employed at the University of Oklahoma).
6. Impose similar base and bonus compensation restrictions on Coach Kelvin Sampson, for the period beginning March 29, 2006 and concluding June 30, 2007.
7. Coach Kelvin Sampson is prohibited, for a period of one year beginning May 25, 2006 through May 24, 2007, from making any phone calls that relate in any way to recruiting or being present when members of his staff make such calls.
8. Coach Kelvin Sampson is prohibited, for a period of one year beginning May 25, 2006 through May 24, 2007, from engaging in any off-campus recruiting activities. The prohibition on off-campus recruiting activities shall apply to all off-campus appearances at which prospects may be in attendance, including banquets, booster functions and exceptions provided by Bylaw 13.1.9.

It is understood that any additional sanctions imposed on you or IU by the NCAA and/or the Big Ten Conference will be followed as well.

By signing and dating this memo, all parties agree to abide by the penalties set forth above.

Kelvin Sampson

(date)

Rick Greenspan

(date)

Bruce Jaffee

(date)

Brinegar, Jennifer Hooker

From: Brinegar, Jennifer L
Sent: Monday, June 05, 2006 11:29 AM
To: 'Elworth, Jim'
Subject: RE: Questions for the COI

Thanks – I appreciate your help as the liaison to the COI. We'll probably be in touch quite a bit until we get a handle on how the letter and the intent of the sanctions should be followed. I hope you don't mind!

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

From: Elworth, Jim [mailto:jelworth@ncaa.org]
Sent: Monday, June 05, 2006 11:14 AM
To: Brinegar, Jennifer L
Subject: RE: Questions for the COI

Jennifer,

Just to let you know...

The committee has the letter and is reviewing it. I hope to have some kind of response for you shortly.

Jim Elworth

-----Original Message-----

From: Brinegar, Jennifer L [mailto:jhooker@indiana.edu]
Sent: Wednesday, May 31, 2006 3:20 PM
To: Elworth, Jim
Subject: Questions for the COI

Hi Jim,

Could you please forward the attached list of questions to Mr. Yeager? The first question deals with a pre-existing speaking engagement that is scheduled for tomorrow evening. So, if possible, we would like to know how to proceed (what we need to tell the event organizers). With Coach Sampson's goodwill trip to Kuwait and the holiday weekend, this has quickly become (unfortunately) an urgent matter. The rest of the questions (and there are many!) are not as urgent, but we hope to have a response to #1 as soon as possible.

Thanks,

Jennifer

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

This email and any attachments may contain confidential and privileged information. If you are not the intended recipient, please notify the sender immediately by return email, delete this message and destroy any copies. Any dissemination or use of this information by a person other

3/31/2008

than the intended recipient is unauthorized and may be illegal.



Brinegar, Jennifer L

From: Pope, Christian Dean
Sent: Wednesday, June 07, 2006 2:52 PM
To: Senderoff, Robert A.
Cc: Brinegar, Jennifer L
Subject: RE: Compliance Question

Coach,

Absolutely not. We cannot allow or direct a current student-athlete to transport a prospect to an event of any kind. This would be a definite infraction of Bylaw 13.15.1.

Christian
Director of Compliance
Indiana University
812-856-6074
Go Hoosiers!

From: Senderoff, Robert A.
Sent: Wednesday, June 07, 2006 11:06 AM
To: Pope, Christian Dean
Subject: Compliance Question

I just found out that at another school, a current player on their team went and picked up a prospect from his home and brought him to an open gym. Are we allowed to do that?

Rob Senderoff
Indiana University
Men's Basketball, Assistant Coach
O# 812-855-2238

6/7/2006

Brinegar, Jennifer Hooker

From: Brinegar, Jennifer L
Sent: Tuesday, June 13, 2006 2:41 PM
To: 'Elworth, Jim'
Subject: RE: Questions for the COI

I received the letter this morning around 10. Please pass along my thanks to the chair and the committee (let me know if you think I should do a more formal response/thank-you).

The question below is answered to the extent that he can't go anywhere off campus if there's any chance a prospect-aged individual might be present (which was stated very clearly in the report).

Also, for your information only, I wanted to let you know that the majority of these questions are not coming from Coach Sampson, but rather his staff. I get the distinct impression that he has no problem following the sanctions assessed against him due to the nature and severity of the violations.

Thanks again for everything and I'm sure we'll be in touch on a regular basis as we progress through the next year or so.

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

From: Elworth, Jim [mailto:jelworth@ncaa.org]
Sent: Monday, June 12, 2006 1:21 PM
To: Brinegar, Jennifer L
Subject: RE: Questions for the COI

You will be receiving a letter from acting chair Yeager this afternoon. After you review it, please let me know if this question is still unresolved.

-----Original Message-----

From: Brinegar, Jennifer L [mailto:jhooker@indiana.edu]
Sent: Monday, June 12, 2006 8:01 AM
To: Elworth, Jim
Subject: RE: Questions for the COI

Jim,

Good morning. Could you please forward one additional question on to the committee?

If Coach Sampson is invited to a coaches clinic where local college (not IU) students will be demonstrating, can he attend and/or speak at the clinic (no prospect-aged students will be present)?

We look forward to receiving some guidance from the committee and appreciate your assistance in this matter.

Thanks,

Jennifer

Jennifer Brinegar

Assistant Athletics Director - Compliance
Indiana University
812-855-0451

From: Elworth, Jim [mailto:jelworth@ncaa.org]
Sent: Monday, June 05, 2006 11:14 AM
To: Brinegar, Jennifer L
Subject: RE: Questions for the COI

Jennifer,

Just to let you know...

The committee has the letter and is reviewing it. I hope to have some kind of response for you shortly.

Jim Elworth

-----Original Message-----

From: Brinegar, Jennifer L [mailto:jhooker@indiana.edu]
Sent: Wednesday, May 31, 2006 3:20 PM
To: Elworth, Jim
Subject: Questions for the COI

Hi Jim,

Could you please forward the attached list of questions to Mr. Yeager? The first question deals with a pre-existing speaking engagement that is scheduled for tomorrow evening. So, if possible, we would like to know how to proceed (what we need to tell the event organizers). With Coach Sampson's goodwill trip to Kuwait and the holiday weekend, this has quickly become (unfortunately) an urgent matter. The rest of the questions (and there are many!) are not as urgent, but we hope to have a response to #1 as soon as possible.

Thanks,

Jennifer

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

This email and any attachments may contain confidential and privileged information. If you are not the intended recipient, please notify the sender immediately by return email, delete this message and destroy any copies. Any dissemination or use of this information by a person other than the intended recipient is unauthorized and may be illegal.

This email and any attachments may contain confidential and privileged information. If you are not the intended recipient, please notify the sender immediately by return email, delete this message and destroy any copies. Any dissemination or use of this information by a person other than the intended recipient is unauthorized and may be illegal.

Brinegar, Jennifer Hooker

From: Brinegar, Jennifer L
Sent: Tuesday, June 13, 2006 3:47 PM
To: Barbara JMcelroy; Fitzpatrick, Timothy Martin (tmfitzpa@indiana.edu); Green, Jerry; Greenspan, Richard I (rgreensp@indiana.edu); Kelvin Sampson; McCallum Jr, Ray Ray (rmccallu@indiana.edu); Meyer, Jeffrey Dennis (jedmeyer@indiana.edu); Senderoff, Robert A.; Tim CGarl
Cc: Calhoun, M. Grace; Jaffee, Bruce L.; Pope, Christian Dean
Subject: Clarification of COI Penalties
Attachments: Clarification COI Sanctions.doc

Please see the attached memo which provides the committee on infractions ("COI") responses (in italicized red font) to the questions we had regarding the application of the penalties set forth by the COI.

A brief summary:

1. The COI holds IU strictly liable if any prospects are in attendance at any off campus speaking engagement.
2. Coach Sampson's local radio show should either be broadcast from an on-campus site (e.g., Yogi's, Lennie's) or at a site off-campus that is secure and closed to the public (to ensure that no prospects are present).
3. Coach Sampson (nor any of his assistant coaches) can text message (or call, in the case of an assistant) a prospect and tell him to call Coach Sampson. Given the wording of the COI reply to this specific question, it appears to be okay for the text message to provide his number (which a text message does automatically anyway) and say words to the effect, "You can call me anytime at your convenience."
4. The assistant coaches may not bring Coach Sampson in on a 3-way call with a prospect, parent or coach, even if the call originated from the prospect, parent or coach.
5. If a prospect, parent or coach calls Coach Sampson and the call is dropped (e.g., bad cell connection), the prospect, parent or coach must call back. Coach Sampson is not allowed to return dropped calls.
6. Indiana is still allowed to have our maximum limit of coaches on the road at any one time.

I will send out a copy of the letter from the COI to everyone listed in this email. In the meantime, please let me know if you have any further questions.

Thanks.

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

COMPLIANCE MEMORANDUM

To: MBB Coaching Staff

From: Jennifer Brinegar

Date: June 13, 2006

Re: Clarification of COI Sanctions

Below, please find answers from the committee on infractions to our questions regarding the application of the sanctions assessed Coach Sampson and the Indiana basketball program.

1. We would like clarification regarding the prohibition on outside speaking engagements. Specifically, what is the institution's obligation to monitor attendance at the few speaking engagements Coach Sampson may make during the next year on behalf of our institution?

Regarding questions 1-4, the COI considers this to be an issue of "strict liability" for Indiana, that is, if Coach Sampson chooses to attend an event (or if the institution allows him to attend an event) and prospects happen to be present, he runs the risk of being in violation of the committee's sanction. If he or the institution chooses to assume that certain functions will not be attended by prospects, it is done with the same understanding (we will be held strictly liable for violating the sanction if a prospect-aged individual happens to show up).

2. For example, Coach Sampson was previously scheduled to speak at a local black tie fundraiser for the American Heart Association this weekend. What happens if an attendee brings his or her son or daughter in place of a business associate who cancels at the last minute?

We would be held strictly liable for violating the restriction against being off-campus in the presence of prospects.

Is the intent of this restriction aimed at recruiting only? *No*. If so, is it possible to look at the target audience and then not hold Coach Sampson or the institution responsible if there happen to be a few prospect-aged individuals in the audience (using perhaps a reasonable person standard for determining in advance who the target audience is)? *No* (really not applicable since the answer to the first question was "no").

What about at our IU booster/donor events? We typically schedule the majority of these in the summer – around golf outings – they are aimed at the boosters/donors, but what happens if they bring along their children or grandchildren?

Again, we would be strictly liable for violating the sanctions imposed by the COI if a prospect was present at any off-campus speaking engagement, regardless of the “target” audience.

3. Coach Sampson has a long-standing speaking engagement at the 20 year reunion of the movie “Hoosiers” which is taking place in Knightstown, Indiana, where much of the movie was filmed. Is it okay for him to fulfill his obligation even though this event is open to the public and there may be prospect-aged individuals in the audience?

He should not attend any speaking engagement or function if there is any chance a prospect-aged individual could be present.

4. What about his local radio show during the season – it is broadcast from a local restaurant and he does take questions from the audience (actually, the host, Don Fisher, acts as the moderator and he is the one who takes the calls/questions)?

Again, see above. IU needs to have Coach Sampson’s local radio show on campus if it’s open to the general public.

What happens if prospect-aged individuals are eating at the restaurant during the show?

See above.

What happens if there are prospect-aged females in attendance at a speaking engagement?

See above. The committee did not limit the restrictions to male prospects. The restrictions apply to all prospects.

5. It doesn’t appear that the COI limited the number of IU coaches who could be on the road at any one time except for the fact that Coach Sampson can not be out at all. Is this an accurate statement?

The limitation on the number of coaches permitted on the road is not reduced by Coach Sampson’s removal from the road. Of course, all other coaches must be certified to recruit off-campus per NCAA rules.

6. Exactly who can Coach Sampson call? He is restricted from “making any phone calls that relate in any way to recruiting or being present when members of his staff make such calls.” The restriction certainly applies to prospects, their coaches, and their parents. We can’t think of anyone whom he should be permitted to call that has a relationship to high school, JC, or AAU basketball. Is our interpretation too restrictive? Does it violate the

spirit of the penalties if the assistants make a recruiting call and tell the person to call Sampson at some specific time that Sampson has arranged?

We are accurate and not too restrictive in our interpretation. As is stated in the committee's report, Coach Sampson cannot make ANY calls that relate in any way to recruiting, including calls to the individuals mentioned above. Also, yes, the committee's order is violated if Coach Sampson has one of his assistants tell a prospect to call Coach Sampson at a specific time arranged by the coaches.

7. Can Coach Sampson text message a recruit and type: "Call me at 1-800-IUBBALL" (or whatever his phone number is)? *No.*
8. If an AAU or HS coach calls one of the IU assistant coaches and then adds a prospect in on a 3-way call, can the assistant coach add in Coach Sampson at that time (since the original call was initiated by the AAU or HS coach and not by anyone at IU)? *No.*
9. Once a prospect signs an NLI, is it possible for Coach Sampson to call him and to have off-campus contact with him (can he make a home visit to a current NLI signee)? *No.*
10. What happens if Coach Sampson receives a call from a prospect (or coach or parent), but loses the connection (common problem with cell phones)? *The prospect, parent or coach must call Coach Sampson back. Can he call back or must he wait for the prospect (or coach or parent) to call him back? No, he cannot call them back. He must wait for the prospect, coach or parent to call him back.*
11. Can Coach Sampson call high school and/or AAU coaches about basketball related issues, such as talking about our offense, defense, etc.? *No.*
12. If the institution determines we will not be appealing or contesting any findings made by the committee, do we need to notify you of that decision or is notification only necessary if we did decide to appeal or contest any findings? *Once the time for perfecting an appeal has run, none can be filed. Therefore, if the NCAA does not receive the notice of appeal in a timely fashion, the NCAA will be aware that no appeal has been taken.*

cc: Rick Greenspan
Tim Fitzpatrick
Grace Calhoun
Bruce Jaffee
Jerry Green
Christian Pope

Brinegar, Jennifer Hooker

From: Brinegar, Jennifer L
Sent: Thursday, June 15, 2006 1:52 PM
To: Pope, Christian Dean
Subject: Housekeeping Items

Christian,

I still am playing catch up, so here are a few things I've been meaning to pass on to you.

First, your six month evaluation period ends on August 12 (as your official start date was February 13). I would like to have either weekly or bi-monthly meetings with you to review your progress between now and then. Let me know your preference.

Second, please follow up with MBB ASAP regarding:

1. Coach Sampson's "recruiting" trips on April 22-23 and 29-30 as his travel reimbursement forms indicated he was recruiting.
2. Get in writing all off campus recruiting activity for Coach Sampson between March 29 and May 25 (prospect's names, schools, cities, type of recruiting activity, etc.).
3. Confirm, in writing, that Coach Sampson has not recruited off campus since May 25 (including no off-campus contact with any HS, JC or AAU coach).
4. Confirm, in writing, that each MBB assistant coach knows and understands all of the sanctions imposed on Coach Sampson and the IU MBB program by the COI, including those carried over from Oklahoma (easiest way to do this is in a memo that they sign at the bottom).
5. Provide weekly documentation to me of the results of your monitoring of the telephone logs AND phone records (this area is key and we must show compliance in meeting the restrictions, as well as in the monitoring of these activities).
6. Please provide me with the summary of your meetings (both formal weekly meetings, as well as your daily informal meetings). Be especially clear and detailed regarding rules education (dates, topics discussed, include materials/handouts distributed, staff present, etc.).
7. Work with MBB to get all their recruiting activities logged in one place by the end of this month (either on CyberSports or in a paper file).
8. Find out if Coach Sampson counts in the number of off-campus recruiters if he attends and/or speaks at a coaches clinic (where no prospects are present).
9. Get with me regarding your statement that CyberSports doesn't allow a coach to enter a 4th contact if his/her sport is only allowed 3.

Third, work on your weekly agenda to make sure it covers all of the COI adopted and imposed sanctions.

Fourth, please provide me with your final draft of all rules education PRIOR to sending it out. This is SOP for our office as it helps to prevent sending out bad info or a document that just looks bad. So, especially for those items listed above that require memos or anything else, please let me see it AND comment on it BEFORE it goes out (I had asked to see the FB RE piece, but you sent it out w/o letting me review it and it was not as I had asked you to do - I didn't want you to copy and paste the bylaws - since you had already done that on a few occasions - but rather, turn the bylaws/legislation into easy to understand bullet points).

Fifth, if you have any questions on your duties and responsibilities that are clearly laid out in your job description and in the monthly calendar checklist, please see me.

Thanks,

Jennifer

3/31/2008

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

3/31/2008

Brinegar, Jennifer Hooker

From: Brinegar, Jennifer L
Sent: Tuesday, June 27, 2006 8:56 AM
To: Pope, Christian Dean
Subject: RE: Evansville

I think I'm meeting with Rick and Grace today, so I will respond. In the meantime, please let me know how you have been proceeding with requests such as this so that we are on the same page. Thanks.

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

From: Pope, Christian Dean
Sent: Monday, June 26, 2006 3:47 PM
To: Brinegar, Jennifer L
Subject: FW: Evansville

Jennifer,

Do you want me to respond to this request? Thanks.

Christian
Director of Compliance
Indiana University
812-856-6074
Go Hoosiers!

From: Calhoun, M. Grace
Sent: Saturday, June 24, 2006 3:51 PM
To: Pope, Christian Dean; Brinegar, Jennifer L
Subject: FW: Evansville

Jennifer and Christian,

Read dialog below. You'll need to get me up to speed with your procedure for approving Coach Sampson's speaking engagements.

Grace

From: Dolson, Scott M
Sent: Fri 6/23/2006 10:38 AM
To: Greenspan, Richard I
Cc: Calhoun, M. Grace
Subject: RE: Evansville

Rick: I agree....the deal will be that the alumni club in each area either finds a private plane we agree to or THEY pay for the

3/31/2008

IU plane to fly Kelvin to the event. I will get with Grace on clearing any compliance issues. Thanks!

Scott

-----Original Message-----

From: Greenspan, Richard I
Sent: Friday, June 23, 2006 10:34 AM
To: Dolson, Scott M
Cc: Calhoun, M. Grace
Subject: Re: Evansville

Scott

IU plane costs so good bucks.....let's see if we can get donor plane. All events with coach need approval of compliance.

Rg

Sent from my BlackBerry Wireless Handheld

----- Original Message -----

From: Dolson, Scott M
To: Fitzpatrick, Timothy Martin
Cc: Greenspan, Richard I; Smithson, Terri J; Harris III, James Freeman
Sent: Fri Jun 23 10:00:13 2006
Subject: FW: Evansville

Tim: Based on our earlier conversation regarding the basketball tip-offs, below is the proposed schedule from BJ for Coach Sampson. Evansville has been added per the request of Coach Sampson (he met Charlie Cantwell and liked him so he once to do it for him). We will work on private aircraft to handle the travel to the events. We can talk more about these at senior staff but I wanted you to know how it has progressed to this point. Thanks!

Scott

PS Maybe Emmis can give you a potential date for Indy while you are with them on Monday? The Indy Club is willing to help promote it in any way possible as well as the Emmis football event on the circle.

-----Original Message-----

From: McElroy, Barbara J
Sent: Friday, June 23, 2006 8:34 AM
To: Dolson, Scott M
Subject: RE: Evansville

Scott:

All three of these dates are a go. IU plane for all three too.

Thanks,

3/31/2008

BJ

-----Original Message-----

From: Dolson, Scott M

Sent: Wednesday, June 21, 2006 11:56 AM

To: McElroy, Barbara J

Subject: RE: Evansville

BJ:

How about this schedule:

Sept. 26th: NW Indiana (Merrillville).....leave B'ton around 5:30 and return around 9:00ish

Sept. 27th: Fort Wayne.....leave B'ton around 5:30 and return around 9:00ish

Oct. 2nd: Evansville.....leave B'ton around 5:30 and return around 9:00ish

Let me know what you think.....thanks!

Scott

-----Original Message-----

From: McElroy, Barbara J

Sent: Wednesday, June 21, 2006 9:57 AM

To: Dolson, Scott M

Subject: Evansville

Scott:

Charlie Cantwell has asked Coach to come down in October to speak to their Alumni Chapter. He met Charlie the other day and would really like to do this for him, but wanted to know if it was worth his while to do so.

BJ

B.J. McElroy

Assistant to Coach Kelvin Sampson

Indiana University

Men's Basketball

(812)855-2238

Brinegar, Jennifer Hooker

From: Brinegar, Jennifer L
Sent: Tuesday, June 27, 2006 9:03 AM
To: Greenspan, Richard I
Subject: RE:

Rick,

I believe that Terri Jo had you review the letter of admonishment to Coach Sampson and Coach Senderoff (either late Monday or early Tuesday morning), but you left for NACDA just before the actual self report was written. Since you had approved the letter of admonishment, Mary Ann and I felt comfortable with Terri putting your initials on both letters of admonishment (one also went to Christian for failing to remind the coaches of this rule) and Mary Ann signing the self report on your behalf since it was so minor.

As for the weekly meetings, I attended one two weeks ago and was very concerned about the lack of documentation being provided to the compliance office. I asked Christian to step it up – asking for the requisite documentation was not enough – we actually need to get it AND review it for compliance with both NCAA and COI rules and restrictions. Christian assures me this is now taking place, but I am still going to check in with him every other week to make sure he is getting what we need to stay on top of the issues.

As for the speaking engagements, I think the administration needs to decide how to proceed. The COI says the institution is strictly liable in the event a prospect-aged individual happens to show up. Unless the institution can guarantee 100% compliance with this restriction, I don't think he should be allowed to go to any OFF-campus speaking engagements until May 25, 2007. As of this time, the compliance office is not able to monitor who is in attendance at these speaking engagements (and apparently, he is signed up to do several alumni/varsity club engagements in the next few weeks). If he does these engagements, we might want to consider sending either Christian or myself along to monitor compliance with the COI sanctions.

I also heard from one individual, who was a part of a group that requested Coach Sampson give a motivational talk to their organization, that they were specifically asked not to announce/publicize the fact that no prospect-aged individuals could be in attendance. So, I am not sure how much significance the COI penalties are being given by the MBB staff.

A meeting will help get us all on the same page, so I am glad you have convened one for today.

JB

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

From: Greenspan, Richard I
Sent: Monday, June 26, 2006 4:23 PM
To: Brinegar, Jennifer L
Subject:

The President was concerned about the secondary violation in men's basketball. Did I sign this letter of admonishment? I don't recall. How are the weekly compliance meetings going with basketball and your staff.....any issues, are we documenting, etc?

RG

3/31/2008

Brinegar, Jennifer Hooker

From: Greenspan, Richard I
Sent: Tuesday, June 27, 2006 11:27 AM
To: Brinegar, Jennifer L
Subject: RE:

Jennifer,

Let my guidance be clear.

The weekly meetings with the basketball staff will be thorough and documented. Christian needs to understand the significance of his role and realize it is perhaps the most critical aspect of his position for the next year. Failure to meet expectations will be met with harsh realities. You are to send a memo to Coach Sampson indicating him that under my direction all public, off-campus speaking engagements will be discussed with Christian or the Compliance staff and need PRIOR APPROVAL. Reinforce the language and intent of the COI infractions/penalties. I want an overview in writing of our phone monitoring process.

I am not prepared to quibble and debate these matters.

RG

From: Brinegar, Jennifer L
Sent: Tuesday, June 27, 2006 9:03 AM
To: Greenspan, Richard I
Subject: RE:

Rick,

I believe that Terri Jo had you review the letter of admonishment to Coach Sampson and Coach Senderoff (either late Monday or early Tuesday morning), but you left for NACDA just before the actual self report was written. Since you had approved the letter of admonishment, Mary Ann and I felt comfortable with Terri putting your initials on both letters of admonishment (one also went to Christian for failing to remind the coaches of this rule) and Mary Ann signing the self report on your behalf since it was so minor.

As for the weekly meetings, I attended one two weeks ago and was very concerned about the lack of documentation being provided to the compliance office. I asked Christian to step it up – asking for the requisite documentation was not enough – we actually need to get it AND review it for compliance with both NCAA and COI rules and restrictions. Christian assures me this is now taking place, but I am still going to check in with him every other week to make sure he is getting what we need to stay on top of the issues.

As for the speaking engagements, I think the administration needs to decide how to proceed. The COI says the institution is strictly liable in the event a prospect-aged individual happens to show up. Unless the institution can guarantee 100% compliance with this restriction, I don't think he should be allowed to go to any OFF-campus speaking engagements until May 25, 2007. As of this time, the compliance office is not able to monitor who is in attendance at these speaking engagements (and apparently, he is signed up to do several alumni/varsity club engagements in the next few weeks). If he does these engagements, we might want to consider sending either Christian or myself along to monitor compliance with the COI sanctions.

I also heard from one individual, who was a part of a group that requested Coach Sampson give a motivational

2/19/2008

talk to their organization, that they were specifically asked not to announce/publicize the fact that no prospect-aged individuals could be in attendance. So, I am not sure how much significance the COI penalties are being given by the MBB staff.

A meeting will help get us all on the same page, so I am glad you have convened one for today.

JB

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

From: Greenspan, Richard I
Sent: Monday, June 26, 2006 4:23 PM
To: Brinegar, Jennifer L
Subject:

The President was concerned about the secondary violation in men's basketball. Did I sign this letter of admonishment? I don't recall. How are the weekly compliance meetings going with basketball and your staff.....any issues, are we documenting, etc?

RG

Brinegar, Jennifer Hooker

From: Brinegar, Jennifer L
Sent: Tuesday, June 27, 2006 11:34 AM
To: Pope, Christian Dean
Subject: RE: Evansville

Thanks. See the dates (highlighted) below - they look like evening speaking engagements, so at this point he probably shouldn't attend unless we (more specifically the event organizer AND Coach Sampson) can somehow guarantee no prospect-aged individuals will be present.

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

From: Pope, Christian Dean
Sent: Tuesday, June 27, 2006 10:32 AM
To: Brinegar, Jennifer L
Subject: RE: Evansville

Jennifer,

During my weekly meetings with Jerry Green, he and I review Coach Sampson's calendar for events that he will, or has been asked to, attend to discuss whether or not preparations have been made to avoid any potential contact with prospective aged students. Coach has had to deny some requests due to this circumstance. Additionally, I want to inform you that Coach has been invited to throw out the first pitch at Victory Field as well as in Cincinnati on July 14th and August 1st respectively. I have asked Jerry Green to request that Coach Sampson not attend those functions as most athletics contests will be attended by students within the 9th through 12th grade bracket. In this instance I would ask that Scott communicate with my office whether precautions have been made either to the event regarding attendees or regarding the event itself.

Christian
Director of Compliance
Indiana University
812-856-6074
Go Hoosiers!

From: Brinegar, Jennifer L
Sent: Tuesday, June 27, 2006 8:56 AM
To: Pope, Christian Dean
Subject: RE: Evansville

I think I'm meeting with Rick and Grace today, so I will respond. In the meantime, please let me know how you have been proceeding with requests such as this so that we are on the same page. Thanks.

Jennifer Brinegar

3/31/2008

Assistant Athletics Director - Compliance
Indiana University
812-855-0451

From: Pope, Christian Dean
Sent: Monday, June 26, 2006 3:47 PM
To: Brinegar, Jennifer L
Subject: FW: Evansville

Jennifer,

Do you want me to respond to this request? Thanks.

Christian
Director of Compliance
Indiana University
812-856-6074
Go Hoosiers!

From: Calhoun, M. Grace
Sent: Saturday, June 24, 2006 3:51 PM
To: Pope, Christian Dean; Brinegar, Jennifer L
Subject: FW: Evansville

Jennifer and Christian,

Read dialog below. You'll need to get me up to speed with your procedure for approving Coach Sampson's speaking engagements.

Grace

From: Dolson, Scott M
Sent: Fri 6/23/2006 10:38 AM
To: Greenspan, Richard I
Cc: Calhoun, M. Grace
Subject: RE: Evansville

Rick: I agree....the deal will be that the alumni club in each area either finds a private plane we agree to or THEY pay for the IU plane to fly Kelvin to the event. I will get with Grace on clearing any compliance issues. Thanks!

Scott

-----Original Message-----

From: Greenspan, Richard I
Sent: Friday, June 23, 2006 10:34 AM
To: Dolson, Scott M
Cc: Calhoun, M. Grace
Subject: Re: Evansville

Scott

3/31/2008

IU plane costs so good bucks.....let's see if we can get donor plane. All events with coach need approval of compliance.

Rg

Sent from my BlackBerry Wireless Handheld

----- Original Message -----

From: Dolson, Scott M
To: Fitzpatrick, Timothy Martin
Cc: Greenspan, Richard I; Smithson, Terri J; Harris III, James Freeman
Sent: Fri Jun 23 10:00:13 2006
Subject: FW: Evansville

Tim: Based on our earlier conversation regarding the basketball tip-offs, below is the proposed schedule from BJ for Coach Sampson. Evansville has been added per the request of Coach Sampson (he met Charlie Cantwell and liked him so he once to do it for him). We will work on private aircraft to handle the travel to the events. We can talk more about these at senior staff but I wanted you to know how it has progressed to this point. Thanks!

Scott

PS Maybe Emmis can give you a potential date for Indy while you are with them on Monday? The Indy Club is willing to help promote it in any way possible as well as the Emmis football event on the circle.

-----Original Message-----

From: McElroy, Barbara J
Sent: Friday, June 23, 2006 8:34 AM
To: Dolson, Scott M
Subject: RE: Evansville

Scott:

All three of these dates are a go. IU plane for all three too.

Thanks,

BJ

-----Original Message-----

From: Dolson, Scott M
Sent: Wednesday, June 21, 2006 11:56 AM
To: McElroy, Barbara J
Subject: RE: Evansville

BJ:

How about this schedule:

3/31/2008

Sept. 26th: NW Indiana (Merrillville).....leave B'ton around 5:30 and return around 9:00ish

Sept. 27th: Fort Wayne.....leave B'ton around 5:30 and return around 9:00ish

Oct. 2nd: Evansville.....leave B'ton around 5:30 and return around 9:00ish

Let me know what you think.....thanks!

Scott

-----Original Message-----

From: McElroy, Barbara J

Sent: Wednesday, June 21, 2006 9:57 AM

To: Dolson, Scott M

Subject: Evansville

Scott:

Charlie Cantwell has asked Coach to come down in October to speak to their Alumni Chapter. He met Charlie the other day and would really like to do this for him, but wanted to know if it was worth his while to do so.

BJ

B.J. McElroy

Assistant to Coach Kelvin Sampson

Indiana University

Men's Basketball

(812)855-2238

Brinegar, Jennifer L

From: Pope, Christian Dean
Sent: Tuesday, June 27, 2006 12:25 PM
To: Brinegar, Jennifer L
Subject: RE: Telephone Call Monitoring

Jennifer,

I also omitted a monthly declaration that is collected. Thanks.

130/06
45-60
minutes

Review
of first
week
to day

1. Weekly review with the Director of Basketball operations to review the COI sanctions to include the following.
 - a. Coach Sampson is precluded from making any recruiting phone calls to prospects, family of prospects, coaches or anyone directly or indirectly involved with a prospective aged student.
 - b. During the following time frame (June 15, 2006 of the prospect's sophomore year through July 31st of the prospect junior year in high school) IU's assistant coaches are allowed to make one call every other month as opposed to one call per month under NCAA Bylaw 13.1.3.1.2.
 - c. During the following time frame (August 1st prior to the commencement of the prospects senior year through July 31st, 2007) IU assistant coaches may call a prospect only once per week as opposed to two call per week under NCAA Bylaw 13.1.3.1.2.
 - d. The assistant coaches are allowed the exceptions under the following NCAA Bylaws exceptions include bylaw 13.1.3.3.2 (Official visit exception); 13.1.3.3.3 (Letter of Intent signing date exception); and 13.1.3.3.4 (Telephone calls subsequent to the national letter or intent signing or other written commitment)

2. Weekly review of the IU adoption of sanctions from the COI to include the following.
 - ✓ a. Weekly meetings conducted by the director of compliance to review any and all recruiting issues with the basketball director of operations.
 - ✓ b. Review of the written commitment made by IU regarding the COI sanctions and the August 1st time frame.

3. Weekly collection of written phone logs from each assistant coach. → getting handwritten - need typed - ask both

4. ✓ Collect monthly declarations from all coaches indicating whether or not their home phone is utilized for recruiting calls. - will get 2 weeks worth of records a July 11th blk out next week

5. Review and cross check of those written logs against the reports generated by the Cybersports software. nothing abnormal yet

6. Review and cross check cell and university phone records against those supplied by the coaching staff as well as any recruit phone numbers already established on the database file. → no evidence yet of calls to prospects from all

7. Review and cross check of the written records/ Cybersports reports against other assistant coaches on the staff. office phone shows.

ditto
Coach Sampson's
telephone
records

No problems found yet
w/ calls made in April + May

8. Any discrepancies are promptly communicated with the DOBO and the individual coach to rectify any recorded issues or clarifications.

Christian
Director of Compliance
Indiana University
812-856-6074
Go Hoosiers!

From: Brinegar, Jennifer L
Sent: Tuesday, June 27, 2006 12:14 PM
To: Pope, Christian Dean
Subject: RE: Telephone Call Monitoring

Can you add in how you will be monitoring (cross checking) the phone logs against the actual phone records?
Thanks.

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

From: Pope, Christian Dean
Sent: Tuesday, June 27, 2006 12:13 PM
To: Brinegar, Jennifer L
Subject: RE: Telephone Call Monitoring

Jennifer,

My procedures are as follows:

9. Weekly review with the Director of Basketball operations to review the COI sanctions to include the following.
 - a. Coach Sampson is precluded from making any recruiting phone calls to prospects, family of prospects, coaches or anyone directly or indirectly involved with a prospective aged student.
 - b. During the following time frame (June 15, 2006 of the prospect's sophomore year through July 31st of the prospect junior year in high school) IU's assistant coaches are allowed to make one call every other month as opposed to one call per month under NCAA Bylaw 13.1.3.1.2.
 - c. During the following time frame (August 1st prior to the commencement of the prospects senior year through July 31st, 2007) IU assistant coaches may call a prospect only once per week as opposed to two call per week under NCAA Bylaw 13.1.3.1.2.
 - d. The assistant coaches are allowed the exceptions under the following NCAA Bylaws exceptions include bylaw 13.1.3.3.2 (Official visit exception); 13.1.3.3.3 (Letter of Intent signing date exception); and 13.1.3.3.4 (Telephone calls subsequent to the national letter or intent signing or other written commitment)

10. Weekly review of the IU adoption of sanctions from the COI to include the following.
 - a. Weekly meetings conducted by the director of compliance to review any and all recruiting issues with the basketball director of operations.
 - b. Review of the written commitment made by IU regarding the COI sanctions and the August 1st time frame.
11. Weekly collection of written phone logs from each assistant coach.
12. Review and cross check of those written logs against the reports generated by the Cybersports software.
13. Review and cross check of the written records/ Cybersports reports against other assistant coaches on the staff.
14. Any discrepancies are promptly communicated with the DOBO and the individual coach to rectify any recorded issues or clarifications.

Thanks.

Christian
Director of Compliance
Indiana University
812-856-6074
Go Hoosiers!

From: Brinegar, Jennifer L
Sent: Tuesday, June 27, 2006 11:44 AM
To: Pope, Christian Dean
subject: Telephone Call Monitoring
importance: High

Christian,

To make sure everyone is on the same page, could you please provide me (in writing) your procedures for ensuring compliance with the telephone call rules (and specifically the COI restrictions regarding those rules)? I would like to have those written procedures for my review by 2:00 p.m. this afternoon.

Thanks,

Jennifer

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

6/27/2006

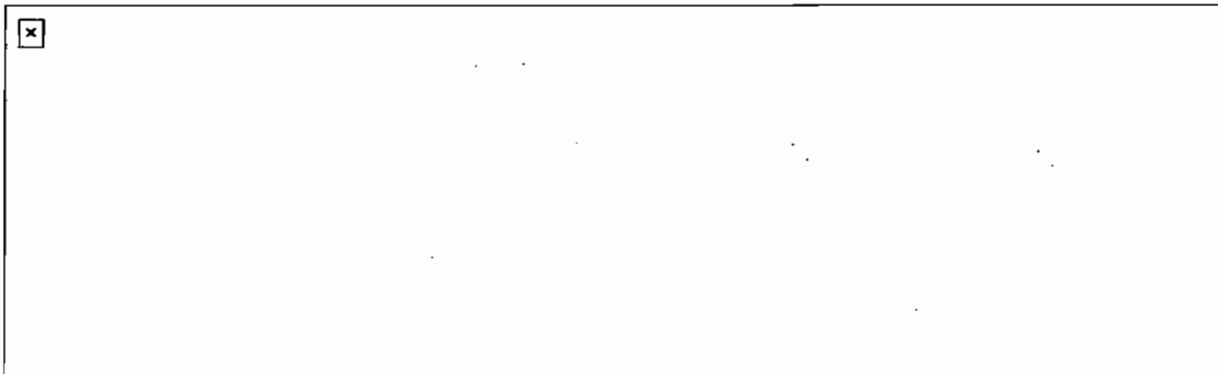
Brinegar, Jennifer Hooker

From: Brinegar, Jennifer L
Sent: Tuesday, June 27, 2006 1:39 PM
To: McElroy, Barbara J
Subject: RE: educational material mailing

BJ – something else came up this morning and I felt like it needed to be addressed first, so I didn't even bring up the camp issue. Is it possible for me to meet with him again for 5 minutes? I could come right up. Let me know. Thanks, Jennifer

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

From: McElroy, Barbara J
Sent: Tuesday, June 27, 2006 11:57 AM
To: Brinegar, Jennifer L
Subject: educational material mailing



Jennifer:

After your meeting with Coach Sampson, is it now ok to send out that mailing to the first week of overnight campers?

BJ

Brinegar, Jennifer Hooker

From: Greenspan, Richard I

Sent: Tuesday, June 27, 2006 5:19 PM

To: Dolson, Scott M

Cc: Brinegar, Jennifer L

Scott,

As discussed today it is critical that you run all of Coach Sampson's appearances/speaking engagements past our compliance staff for their review prior to you, Coach and/or staff making commitments for him to speak. I have asked Jen B to share this protocol with Coach Sampson and to insure that this becomes standard operating procedure.

If you have any questions please ask Jennifer B or Christian.

Thanks,

RG

Brinegar, Jennifer Hooker

From: Brinegar, Jennifer L
Sent: Wednesday, June 28, 2006 8:05 AM
To: 'Elworth, Jim'
Subject: Clarification of COI Restrictions
Importance: High

Hi Jim,

I hope you are doing well and through traveling for a little while!

We have another issue that has come up that needs some clarification. Coach Sampson has been asked to throw out the first pitch at an Indians and a Reds game in the next few months, as well as play in a celebrity golf tournament (as an invited guest celebrity). An argument could be made that these are not off-campus recruiting activities; however, I am concerned that they do fall under the provision in the COI report that reads: "The prohibition on off-campus recruiting activities **shall apply to all off-campus appearances at which prospects may be in attendance** . . ." (emphasis added).

Could you please ask the Chair to clarify whether or not an appearance, as opposed to an actual speaking engagement, is prohibited by the sanctions assessed by the COI? Also, could the committee please distinguish a regular golf date with 3 friends as opposed to an invitation to a golf outing/tournament/fundraiser (if "appearances" are impermissible – when prospects may be present)?

I appreciate your assistance in this matter.

Jennifer

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

Brinegar, Jennifer Hooker

From: Brinegar, Jennifer L
Sent: Wednesday, June 28, 2006 10:11 AM
To: Sampson, Kelvin Dale
Cc: Greenspan, Richard I; Pope, Christian Dean
Subject: Public Appearances/Speaking Engagements

Coach,

Rick asked me to email you regarding the COI restriction on speaking engagements/appearances/booster functions where prospects might be present. Because it appears that the restriction is very broad, it is imperative that your staff seek pre-approval from Christian (or me if Christian is out of the office for the day) prior to accepting any invitation to make a public appearance or attend a booster function. As you know, the chair of the COI has clarified that IU will be held strictly liable for any violation of the restrictions imposed by the committee. The compliance office is here to assist you and your staff in making sure we get through the next 11 months with (hopefully) no violations of those restrictions. Rick and I have designated Christian to be the primary contact for you and your staff to (1) handle all interpretative issues – both in general regarding all NCAA and Big Ten rules and more specifically regarding the COI penalties and (2) establish and ensure institutional control by implementing appropriate monitoring policies and procedures.

So, with regard to requests for speaking engagements/public appearances/booster functions, please make sure that Jerry (or whomever is handling these matters) does not accept any invitations on your behalf until each and every one of them has received approval from the compliance office. Christian will need complete details regarding the event (e.g., name of the event, place, date, audience, private vs. public gathering, possibility of high school-aged individuals being present, etc.) in order to accurately assess whether your appearance falls within the parameters set forth by the COI. He may also need time to research the issue further, so please try to give him at least one business day to get back to your staff with an answer. Of course, any events that are approved will always be conditioned upon the absence of any prospect-aged individuals.

Please feel free to contact me if you have any questions or concerns.

Thanks,

Jennifer

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

Brinegar, Jennifer Hooker

From: Big Ten - Greg Walter [gwalter@bigten.org]
Sent: Thursday, June 29, 2006 6:11 PM
To: Brinegar, Jennifer L
Cc: Big Ten - Chad Hawley
Subject: RE: hiring

As long as the PSA's high school coach is not in attendance at or involved with the certified event, communication is permissible in MBB. See 13.1.7.2.2.1.

From: Brinegar, Jennifer L [mailto:jhooker@indiana.edu]
Sent: Thursday, June 29, 2006 9:42 AM
To: Big Ten - Greg Walter
Subject: FW: hiring

ARGHH – I feel like my head is just swimming in all of the legislation and changes the last few years. When you get a chance, could you read through the emails below – MBB wants to know if prospects' coaches can visit campus in July (since Sampson can't go out). I can't figure out the highlighted section of ER 2006-7 below – does this mean that our coaches cannot have ANY communication with ANY of a prospect's coaches during the time the prospect is participating in a summer certified event? The way I read it, it includes "any individual associated with the prospect's participation in basketball," which to me looks like it applies to all basketball (including HS/JC) and not just the summer certified event. Am I making any sense (from the email trail with Christian – it doesn't look like he was following my analysis either – so, call me crazy if that's appropriate!)? Thanks!

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

From: Pope, Christian Dean
Sent: Thursday, June 29, 2006 10:19 AM
To: Brinegar, Jennifer L
Subject: RE: hiring

Your assertion is correct in my view. I don't believe that we were on the same page. My understanding is that any coach (AAU or High School) may visit IU to meet with our coaches as long as it is not in conjunction with an event in which their team is participating per bylaw 13.1.7.2.2.

Christian
Director of Compliance
Indiana University
812-856-6074
Go Hoosiers!

From: Brinegar, Jennifer L
Sent: Wednesday, June 28, 2006 9:31 AM
To: Pope, Christian Dean
Subject: RE: hiring

Not sure we're on the same page. If it applies to HS/JC coaches, then they probably would not be at the AAU event. So, does this restriction below apply to them – they coach the prospect, but just not during the summer?

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University

2/19/2008

812-855-0451

From: Pope, Christian Dean
Sent: Wednesday, June 28, 2006 9:20 AM
To: Brinegar, Jennifer L
Subject: RE: hiring

Jennifer,

Yes it is and yes it would. But if a coach visits IU to meet with our staff, I don't believe they would do so when their team is playing in a summer event. If they attempted that, the vent would have to be at IU and then 13.1.7.2.2 would take effect.

Christian
Director of Compliance
Indiana University
812-856-6074
Go Hoosiers!

From: Brinegar, Jennifer L
Sent: Wednesday, June 28, 2006 7:53 AM
To: Pope, Christian Dean
Subject: RE: hiring

Is the highlighted section below referencing the AAU coach and/or the HS/JC coach? I can't tell from the language below. If it is either coach, would this not preclude the HS/JC coach from visiting campus while the prospect is participating in a summer certified event?

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

From: Pope, Christian Dean
Sent: Tuesday, June 27, 2006 5:22 PM
To: Brinegar, Jennifer L
Subject: RE: hiring

Jennifer,

As the preclusion for evaluation periods during July apply only to women's basketball, I believe that the men's coaches can do this. Please see the attached info I was able to find on the matter. Let me know your thoughts. Thanks.

**ER-2006-7 RECRUITING -- CONTACT RESTRICTIONS AT SPECIFIED SITES --
ADDITIONAL RESTRICTIONS -- BASKETBALL**

Bylaws: Amend 13.1.7.2.2, page 98, as follows:

"13.1.7.2.2 Additional Restrictions -- Men's and Women's Basketball. In men's and women's basketball, the following additional restrictions shall apply:

[13.1.7.2.2-(a) unchanged.]

"(b) In men's basketball, all communication with a prospect, the prospect's relatives or legal guardians, the prospect's coach or any individual associated with the prospect as a result of the prospect's participation in basketball, directly or indirectly, is prohibited during the time period in which the prospect is participating in the summer certified event. However, printed materials (e.g., letters, recruiting brochures, questionnaires) may be sent via regular mail (see Bylaw 13.4.1) to a prospect's home while the prospect is participating in a certified event.

**ER-2005-56 ADMINISTRATIVE REGULATIONS -- RECRUITING CALENDARS --
RECRUITING PERIODS**

A. Bylaws: Amend 13.1.4, page 95, as follows:

"13.1.4 Recruiting Calendars. Recruiting calendars specify the dates for the applicable recruiting periods in the applicable sports. The Management Council shall have the authority to establish such calendars in the Administrative Regulations (per Constitution 5.2.3.1). (See Bylaw 30.11 for a complete listing of the calendars in effect at the time of the publication of this Manual.)"

B. Administrative: Amend 30.11.1, page 410, as follows:

"30.11.1 Basketball, Men's. The following recruiting periods shall apply to men's basketball:"

[30.11.1-(a) through 30.11.1-(j) unchanged.]

Christian
Director of Compliance
Indiana University
812-856-6074
Go Hoosiers!

From: Brinegar, Jennifer L
Sent: Friday, June 23, 2006 7:03 PM
To: Pope, Christian Dean
Subject: RE: hiring

Christian - I am not up to speed on the restrictions on recruiting in July. I know the coaches could not come to campus during a dead period. From what I can recall, the COI penalties were only restrictive as to no off campus recruiting or telephone calls by Coach Sampson and limits on telephone calls by the rest of the staff. So, research the July restrictions (maybe they only apply to the women - I just can't remember) and let's discuss on Tuesday (Monday, if I come in) BEFORE you have your weekly meeting at 10. Thanks, Jennifer

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

From: Pope, Christian Dean
Sent: Friday, June 23, 2006 2:59 PM
To: Senderoff, Robert A.
Cc: Brinegar, Jennifer L
Subject: RE: hiring

Coach,

Bylaw 11.7.1.1.1 speaks specifically to your question. Please see the attached on page 60 of your 2005-2006 NCAA

2/19/2008

Manual. The specifics for staff not counted in the limitations of Bylaw 11.7 (director of basketball operations, video coordinator, and administrative assistant) are as follows:

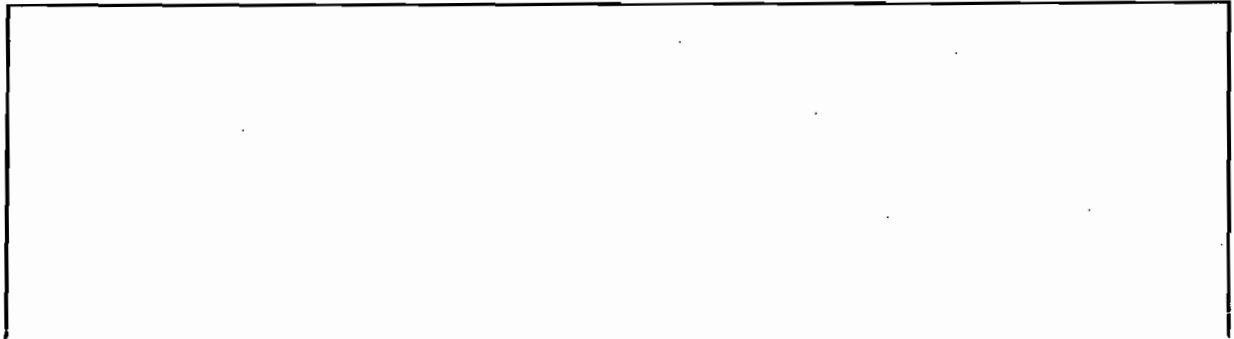
1. They may not be identified as coaches.
2. They may not engage in any coaching activities. (Attending meetings involving coaching activities, analyzing videotape or film of IU or opponent teams)
3. They may not be involved in any way in the recruiting process.
4. They may be involved in any way with the scouting of opponents.
5. They may participate with or watch student-athletes who are participating in nonorganized or voluntary athletically related activities. (Pick up games)

11.7.1.1.1 Noncoaching Activities Effective Date: Aug 01, 2006

Institutional staff members involved in noncoaching activities (e.g., administrative assistants, academic counselors) do not count in the institution's coaching limitations, provided such individuals are not identified as coaches, do not engage in any on- or off-field coaching activities (e.g., attending meetings involving coaching activities, analyzing videotape or film involving the institution's or an opponent's team), and are not involved in any off-campus recruitment of prospective student-athletes or scouting of opponents. A noncoaching staff member with sport-specific responsibilities may not participate with or observe student-athletes in the staff member's sport who are engaged in nonorganized voluntary athletically related activities (e.g., pick-up games).

Christian
Director of Compliance
Indiana University
812-856-6074
Go Hoosiers!

From: Senderoff, Robert A.
Sent: Thursday, June 22, 2006 5:41 PM
To: Pope, Christian Dean
Subject: hiring



Christian-

Can a coaching staff have a Director of Ops, a Video Coordinator and a Administrative assistant? Is there a rule on that?

I need to know this...thanks....also, did you get a final ruling on coaches coming to IU to visit with Coach Sampson yet. Thanks.

-Rob

Brinegar, Jennifer Hooker

From: Brinegar, Jennifer L
Sent: Friday, June 30, 2006 12:24 AM
To: Pope, Christian Dean
Subject: FW:

I would like to meet with you tomorrow to discuss Rick's concerns below (which I highlighted). I included the entire email trail so that you can see both the context in which the email was sent and the significance that Rick is placing on the compliance office's monitoring efforts.

I would like for you to review for me all of the documentation you have collected and reviewed so far. I will probably start the written report the week that you are gone (I would like to get it done and sent it well before the August 1 deadline). Please be prepared to walk me through it – I want a thorough and comprehensive review.

I would like to try to do this at 8:30 (as I have meetings scheduled for 9:30 and 10, followed by a doctor's appt at 11:30).

Thanks.

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

From: Greenspan, Richard I
Sent: Tuesday, June 27, 2006 11:27 AM
To: Brinegar, Jennifer L
Subject: RE:

Jennifer,

Let my guidance be clear.

The weekly meetings with the basketball staff will be **thorough and documented**. Christian needs to understand the significance of his role and realize it is perhaps the most critical aspect of his position for the next year. Failure to meet expectations will be met with harsh realities. You are to send a memo to Coach Sampson indicating him that under my direction all public, off-campus speaking engagements will be discussed with Christian or the Compliance staff and need **PRIOR APPROVAL**. Reinforce the language and intent of the COI infractions/penalties. I want an overview in writing of our phone monitoring process.

I am not prepared to quibble and debate these matters.

RG

From: Brinegar, Jennifer L
Sent: Tuesday, June 27, 2006 9:03 AM

3/31/2008

To: Greenspan, Richard I
Subject: RE:

Rick,

I believe that Terri Jo had you review the letter of admonishment to Coach Sampson and Coach Senderoff (either late Monday or early Tuesday morning), but you left for NACDA just before the actual self report was written. Since you had approved the letter of admonishment, Mary Ann and I felt comfortable with Terri putting your initials on both letters of admonishment (one also went to Christian for failing to remind the coaches of this rule) and Mary Ann signing the self report on your behalf since it was so minor.

As for the weekly meetings, I attended one two weeks ago and was very concerned about the lack of documentation being provided to the compliance office. I asked Christian to step it up – asking for the requisite documentation was not enough – we actually need to get it AND review it for compliance with both NCAA and COI rules and restrictions. Christian assures me this is now taking place, but I am still going to check in with him every other week to make sure he is getting what we need to stay on top of the issues.

As for the speaking engagements, I think the administration needs to decide how to proceed. The COI says the institution is strictly liable in the event a prospect-aged individual happens to show up. Unless the institution can guarantee 100% compliance with this restriction, I don't think he should be allowed to go to any OFF-campus speaking engagements until May 25, 2007. As of this time, the compliance office is not able to monitor who is in attendance at these speaking engagements (and apparently, he is signed up to do several alumni/varsity club engagements in the next few weeks). If he does these engagements, we might want to consider sending either Christian or myself along to monitor compliance with the COI sanctions.

I also heard from one individual, who was a part of a group that requested Coach Sampson give a motivational talk to their organization, that they were specifically asked not to announce/publicize the fact that no prospect-aged individuals could be in attendance. So, I am not sure how much significance the COI penalties are being given by the MBB staff.

meeting will help get us all on the same page, so I am glad you have convened one for today.

JB

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

From: Greenspan, Richard I
Sent: Monday, June 26, 2006 4:23 PM
To: Brinegar, Jennifer L
Subject:

The President was concerned about the secondary violation in men's basketball. Did I sign this letter of admonishment? I don't recall. How are the weekly compliance meetings going with basketball and your staff.....any issues, are we documenting, etc?

RG

3/31/2008

Brinegar, Jennifer Hooker

From: Brinegar, Jennifer L
Sent: Friday, June 30, 2006 12:24 PM
To: Calhoun, M. Grace
Cc: Pope, Christian Dean
Subject: RE: Evansville

Christian meets with Jerry every Tuesday. One of the agenda items is to discuss Coach Sampson's calendar for the week and to review any speaking engagement and/or public appearance requests for compliance office approval. We now have an understanding with MBB that this MUST occur prior to anyone accepting an invitation on Coach Sampson's behalf.

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

From: Calhoun, M. Grace
Sent: Saturday, June 24, 2006 3:51 PM
To: Pope, Christian Dean; Brinegar, Jennifer L
Subject: FW: Evansville

Jennifer and Christian,

Read dialog below. You'll need to get me up to speed with your procedure for approving Coach Sampson's speaking engagements.

Grace

From: Dolson, Scott M
Sent: Fri 6/23/2006 10:38 AM
To: Greenspan, Richard I
Cc: Calhoun, M. Grace
Subject: RE: Evansville

Rick: I agree...the deal will be that the alumni club in each area either finds a private plane we agree to or THEY pay for the IU plane to fly Kelvin to the event. I will get with Grace on clearing any compliance issues. Thanks!

Scott

-----Original Message-----

From: Greenspan, Richard I
Sent: Friday, June 23, 2006 10:34 AM
To: Dolson, Scott M
Cc: Calhoun, M. Grace
Subject: Re: Evansville

Scott

3/31/2008

IU plane costs so good bucks.....let's see if we can get donor plane. All events with coach need approval of compliance.

Rg

Sent from my BlackBerry Wireless Handheld

----- Original Message -----

From: Dolson, Scott M
To: Fitzpatrick, Timothy Martin
Cc: Greenspan, Richard I; Smithson, Terri J; Harris III, James Freeman
Sent: Fri Jun 23 10:00:13 2006
Subject: FW: Evansville

Tim: Based on our earlier conversation regarding the basketball tip-offs, below is the proposed schedule from BJ for Coach Sampson. Evansville has been added per the request of Coach Sampson (he met Charlie Cantwell and liked him so he once to do it for him). We will work on private aircraft to handle the travel to the events. We can talk more about these at senior staff but I wanted you to know how it has progressed to this point. Thanks!

Scott

PS Maybe Emmis can give you a potential date for Indy while you are with them on Monday? The Indy Club is willing to help promote it in any way possible as well as the Emmis football event on the circle.

-----Original Message-----

From: McElroy, Barbara J
Sent: Friday, June 23, 2006 8:34 AM
To: Dolson, Scott M
Subject: RE: Evansville

Scott:

All three of these dates are a go. IU plane for all three too.

Thanks,

BJ

-----Original Message-----

From: Dolson, Scott M
Sent: Wednesday, June 21, 2006 11:56 AM
To: McElroy, Barbara J
Subject: RE: Evansville

BJ:

ow about this schedule:

Sept. 26th: NW Indiana (Merrillville).....leave B'ton around 5:30 and return around 9:00ish

Sept. 27th: Fort Wayne.....leave B'ton around 5:30 and return around 9:00ish

Oct. 2nd: Evansville.....leave B'ton around 5:30 and return around 9:00ish

Let me know what you think.....thanks!

Scott

-----Original Message-----

From: McElroy, Barbara J

Sent: Wednesday, June 21, 2006 9:57 AM

To: Dolson, Scott M

Subject: Evansville

Scott:

Charlie Cantwell has asked Coach to come down in October to speak to their Alumni Chapter. He met Charlie the other day and would really like to do this for him, but wanted to know if it was worth his while to do so.

BJ

B.J. McElroy

Assistant to Coach Kelvin Sampson

Indiana University

Men's Basketball

(812)855-2238

Brinegar, Jennifer Hooker

From: Calhoun, M. Grace
Sent: Friday, June 30, 2006 1:09 PM
To: Brinegar, Jennifer L
Subject: RE: Evansville

Okay, thanks. I wrote this before Rick requested that the protocol be in writing, but I think that we're all on the same page now.
MGC

*M. Grace Calhoun, MBA, Ph.D.
Associate Athletic Director, Academic Services
Indiana University Department of Intercollegiate Athletics
office phone 812/855-0876*

From: Brinegar, Jennifer L
Sent: Friday, June 30, 2006 12:24 PM
To: Calhoun, M. Grace
Cc: Pope, Christian Dean
Subject: RE: Evansville

Christian meets with Jerry every Tuesday. One of the agenda items is to discuss Coach Sampson's calendar for the week and to review any speaking engagement and/or public appearance requests for compliance office approval. We now have an understanding with MBB that this MUST occur prior to anyone accepting an invitation on Coach Sampson's behalf.

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

From: Calhoun, M. Grace
Sent: Saturday, June 24, 2006 3:51 PM
To: Pope, Christian Dean; Brinegar, Jennifer L
Subject: FW: Evansville

Jennifer and Christian,

Read dialog below. You'll need to get me up to speed with your procedure for approving Coach Sampson's speaking engagements.

Grace

From: Dolson, Scott M
Sent: Fri 6/23/2006 10:38 AM
To: Greenspan, Richard I
Cc: Calhoun, M. Grace
Subject: RE: Evansville

Rick: I agree....the deal will be that the alumni club in each area either finds a private plane we agree to or THEY pay for the

2/5/2008

IU plane to fly Kelvin to the event. I will get with Grace on clearing any compliance issues. Thanks!

Scott

-----Original Message-----

From: Greenspan, Richard I
Sent: Friday, June 23, 2006 10:34 AM
To: Dolson, Scott M
Cc: Calhoun, M. Grace
Subject: Re: Evansville

Scott

IU plane costs so good bucks.....let's see if we can get donor plane. All events with coach need approval of compliance.

Rg

Sent from my BlackBerry Wireless Handheld

----- Original Message -----

From: Dolson, Scott M
To: Fitzpatrick, Timothy Martin
Cc: Greenspan, Richard I; Smithson, Terri J; Harris III, James Freeman
Sent: Fri Jun 23 10:00:13 2006
Subject: FW: Evansville

Tim: Based on our earlier conversation regarding the basketball tip-offs, below is the proposed schedule from BJ for Coach Sampson. Evansville has been added per the request of Coach Sampson (he met Charlie Cantwell and liked him so he once to do it for him). We will work on private aircraft to handle the travel to the events. We can talk more about these at senior staff but I wanted you to know how it has progressed to this point. Thanks!

Scott

PS Maybe Emmis can give you a potential date for Indy while you are with them on Monday? The Indy Club is willing to help promote it in any way possible as well as the Emmis football event on the circle.

-----Original Message-----

From: McElroy, Barbara J
Sent: Friday, June 23, 2006 8:34 AM
To: Dolson, Scott M
Subject: RE: Evansville

Scott:

All three of these dates are a go. IU plane for all three too.

Thanks,

2/5/2008

BJ

-----Original Message-----

From: Dolson, Scott M

Sent: Wednesday, June 21, 2006 11:56 AM

To: McElroy, Barbara J

Subject: RE: Evansville

BJ:

How about this schedule:

Sept. 26th: NW Indiana (Merrillville).....leave B'ton around 5:30 and return around 9:00ish

Sept. 27th: Fort Wayne.....leave B'ton around 5:30 and return around 9:00ish

Oct. 2nd: Evansville.....leave B'ton around 5:30 and return around 9:00ish

Let me know what you think.....thanks!

Scott

-----Original Message-----

From: McElroy, Barbara J

Sent: Wednesday, June 21, 2006 9:57 AM

To: Dolson, Scott M

Subject: Evansville

Scott:

Charlie Cantwell has asked Coach to come down in October to speak to their Alumni Chapter. He met Charlie the other day and would really like to do this for him, but wanted to know if it was worth his while to do so.

BJ

B.J. McElroy

Assistant to Coach Kelvin Sampson

Indiana University

Men's Basketball

(812)855-2238

Brinegar, Jennifer Hooker

From: Brinegar, Jennifer L
Sent: Monday, July 03, 2006 5:23 PM
To: Rohleder, Mary Ann; Calhoun, M. Grace
Subject: Weekly Update
Follow Up Flag: Follow up
Due By: Monday, July 03, 2006 12:00 AM
Flag Status: Completed

Jennifer worked on the Big Ten eligibility issue with Anitra, JoAnn, Grace and Bruce; drafted and distributed the July Indiana Compliance Newsletter; worked on tightening up the monitoring and oversight procedures for the MBB COI penalties; drafted and sent an email for further clarification of the prohibition against any appearance where prospects might be present (b/c Coach Sampson has accepted invitations to throw out the first pitch at both an Indians and Reds baseball games this summer); began collecting all correspondence with the NCAA regarding self reports from August 1, 2001 (open records request from Mark Alesia that Pete Rhoda passed on to me); is discussing a second open records request (also from Mark Alesia) regarding applications for grants from the Special Assistance Fund and the SA Opportunity Fund (I would hope "application documentation" would be confidential; met with Coach Sampson regarding secondary violation and reviewed the applicable legislation and 3-page handout she prepared for MBB to send to campers who did not receive the requisite educational session; met with [REDACTED] (WBB) to discuss her stipend she received in the past from being a "carded athlete" in Canada (b/c Josh White, now at Nebraska, had called with questions regarding the amount of stipend one of his SAs had received) - [REDACTED] not on the [REDACTED] national team this year, so it is not an issue for us; reviewed outstanding international prospective student-athlete cases with Mark Jones at Ice Miller; and assisted Christian in providing interpretations to coaches and staff.

Kathy is working of fall aid and clearinghouse issues; sending requests for transfer information; dealing with national audit (SA employment); and working on the usual tender issues - initials, non-renewal and 5th year aid. She has spoken with a lot of parents this week who have been here for freshman orientation.

Anitra is processing Sum I grades and updating ineligibles report (also checking over Chip's progress with the same before his leaving); have supplied two sets of additional documentation requested by Bruce Jaffee to send to the Big Ten to amend original petitions for [REDACTED] filed 6/8/2006; will be faxing Bruce's addendum letter to Big Ten with updated transcripts reflecting Sum I grades; preparing to research prior year certifications for current athletes to discover any problems; requested medical information for four student-athletes for petitions and completed Big Ten petition paperwork on two of them which was passed to Bruce Jaffee today to complete his cover letters before he leaves for Croatia; will be trying to obtain all of the medical information on the four next week so Bruce can finish the other two upon his return; just received statement from Tory Yamaguchi to initiate request for medical information for a fifth petition; working with Kathy A. to cover the pressing duties for Chip's position (admissions, NCAA Clrnghse, transfer releases, transcript evaluations, processing women's transcripts & degree %, checking junior sheets and quantitative hours forms for all sports, etc.); answering advisor questions about various topics (eligibility, summer courses, program planning, etc.).

Christian spent a lot of time working on various men's basketball issues and had several meetings with members of the MBB staff; he entered revisions I had made on the sections of the compliance manual he is responsible for updating; and he worked on the Sports Inventory wrap-up with Mitch and Marty. He is on vacation from July 1-10.

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

Brinegar, Jennifer Hooker

From: Brinegar, Jennifer L
Sent: Wednesday, July 05, 2006 4:56 PM
To: Senderoff, Robert A.; Green, Jerry; McCallum Jr, Ray
Cc: Sampson, Kelvin Dale
Subject: FW: MBB Questions

See below – should have more definitive answers to your questions by tomorrow; but, for now, use the more restrictive guidelines set forth in the NABC document. I'll email you all tomorrow (and touch base with Rob on his cell phone as soon as I hear). Good luck!

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

From: Big Ten - Greg Walter [mailto:gwalter@bigten.org]
Sent: Wednesday, July 05, 2006 4:44 PM
To: Brinegar, Jennifer L
Subject: RE: MBB Questions

JB:

I will follow up as soon as possible, but use the more restrictive NABC guidelines for the time being (if I'm not able to get back to you by the start of the evaluation period tomorrow). There are a couple of pieces on this document that I want to follow up on...

More to follow,

GW

From: Brinegar, Jennifer L [mailto:jhooker@indiana.edu]
Sent: Wednesday, July 05, 2006 1:22 PM
To: Big Ten - Greg Walter
Subject: MBB Questions
Importance: High

Greg,

Thanks for responding to my eligibility question(s). I hope you had a fun and relaxing 4th of July weekend, since you are now flying solo for the month of July!

Christian is out this week on vacation, so MBB came to me with some clarifications regarding the upcoming July evaluation period. I have a copy of an educational memo sent out by the NABC to all head coaches regarding summer certified event protocol. Here are the questions:

1. Can MBB text a HS coach? According to your email from June 29 at 6:11 p.m., Bylaw 13.1.7.2.2.1, which states that it is okay for MBB to have "telephone contact" with a PSA's HS coach while the PSA is participating in a summer certified event, would allow a HS coach to come to campus to meet with Coach

2/19/2008

Sampson. Since this Bylaw extends to face-to-face contact, would it not also be okay for MBB to then text the HS coach?

2. In light on the third bullet in #4 on the memo (which I will fax to you), this looks like contact at any location is prohibited with both nonscholastic AND scholastic coaches. So, if this is correct (#7 in the memo seems like they just apply 13.1.7.2.2.1 to phone calls only), does your answer on 6/29 and in #1 above change?
3. Can MBB attend a regularly scheduled (but not organized – the gym is just open at certain times each day) pick-up game at a local high school if there are PSAs from other high schools also there?
4. DJ White, a MBB SA at IU, is working the NIKE camp in Indy. Can MBB talk to him (as long as there are no prospects or their coaches around)?

I will fax you a copy of the memo. Thanks for helping me out!

Jennifer

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

Brinegar, Jennifer Hooker

From: Brinegar, Jennifer L
Sent: Thursday, July 06, 2006 8:30 AM
To: Greenspan, Richard I
Subject: RE:

Rick,

Based on the information you provided this does not have anything to do with recruiting, so it would be permissible for him to go.

JB

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

From: Greenspan, Richard I
Sent: Wednesday, July 05, 2006 5:52 PM
To: Brinegar, Jennifer L
Subject:

en,

Coach has been invited to attend the NBA summer leagues games to talk basketball with NBA and other head college coaches. No prospects, recruits, high school coaches. This is a professional development opportunity. Please do a quick review for clearance but it seems on the face of it to be well within the boundaries. He would leave for Vegas this Sunday.

RG

Brinegar, Jennifer Hooker

From: Greenspan, Richard I
Sent: Thursday, July 06, 2006 8:45 AM
To: Brinegar, Jennifer L
Subject: RE:

OK.....thanks

From: Brinegar, Jennifer L
Sent: Thursday, July 06, 2006 8:34 AM
To: Greenspan, Richard I
Subject: RE:

Christian is on vacation, so I am taking over his duties with regards to MBB for this week. He'll be back next Monday or Tuesday.

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

From: Greenspan, Richard I
Sent: Thursday, July 06, 2006 8:32 AM
To: Brinegar, Jennifer L
Subject: RE:

OK.....just so we keep our protocol that we established let's have Christian review and consent if appropriate. I am trying to be a facilitator on these issues but not a clearinghouse or part of some appellate process. I believe this starts on Sunday so Christian will need to react today.

Thx,

RG

From: Brinegar, Jennifer L
Sent: Thursday, July 06, 2006 8:30 AM
To: Greenspan, Richard I
Subject: RE:

Rick,

Based on the information you provided this does not have anything to do with recruiting, so it would be permissible for him to go.

JB

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University

2/19/2008

812-855-0451

From: Greenspan, Richard I
Sent: Wednesday, July 05, 2006 5:52 PM
To: Brinegar, Jennifer L
Subject:

Jen,

Coach has been invited to attend the NBA summer leagues games to talk basketball with NBA and other head college coaches. No prospects, recruits, high school coaches. This is a professional development opportunity. Please do a quick review for clearance but it seems on the face of it to be well within the boundaries. He would leave for Vegas this Sunday.

RG

Message

Brinegar, Jennifer Hooker

From: Brinegar, Jennifer L
Sent: Thursday, July 06, 2006 10:41 AM
To: Sampson, Kelvin Dale; Pope, Christian Dean; Green, Jerry
Cc: Greenspan, Richard I
Subject: FW: Clarification of COI Restrictions

See below – the COI is basically saying that Coach Sampson is precluded from making a public appearance if prospects may be in attendance. So, until May 24, 2007, he can not accept invitations for any public appearance if there's a chance that a prospect-aged individual will be present. Unfortunately, he will need to decline the invitations to throw out the first pitch at the Reds and Indians games. Sorry.

Based on the intent of the sanctions (no off campus recruiting activities, including public appearances where prospects might be present) and common sense, the golf date with three friends would be permissible as long as his presence at the golf course was not publicized (in advance or at the time he is playing).

Until Christian returns next week, please feel free to contact me if you have any questions or concerns.

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

From: Elworth, Jim [mailto:jelworth@ncaa.org]
Sent: Thursday, July 06, 2006 10:27 AM
To: Brinegar, Jennifer L
Cc: Cooper, Shep
Subject: RE: Clarification of COI Restrictions

Hello Jennifer,

The committee is of the opinion that the issue you raise was adequately addressed in the previous letter regarding clarification of the sanction. It has been suggested that the institution keep in mind the intent of the sanction and use common sense in making determinations regarding the coach's activities.

Jim Elworth

-----Original Message-----

From: Brinegar, Jennifer L [mailto:jhooker@indiana.edu]
Sent: Wednesday, June 28, 2006 8:05 AM
To: Elworth, Jim
Subject: Clarification of COI Restrictions
Importance: High

Hi Jim,

I hope you are doing well and through traveling for a little while!

1/28/2008

We have another issue that has come up that needs some clarification. Coach Sampson has been asked to throw out the first pitch at an Indians and a Reds game in the next few months, as well as play in a celebrity golf tournament (as an invited guest celebrity). An argument could be made that these are not off-campus recruiting activities; however, I am concerned that they do fall under the provision in the COI report that reads: "The prohibition on off-campus recruiting activities *shall apply to all off-campus appearances at which prospects may be in attendance.* . . ." (emphasis added).

Could you please ask the Chair to clarify whether or not an appearance, as opposed to an actual speaking engagement, is prohibited by the sanctions assessed by the COI? Also, could the committee please distinguish a regular golf date with 3 friends as opposed to an invitation to a golf outing/tournament/fundraiser (if "appearances" are impermissible – when prospects may be present)?

I appreciate your assistance in this matter.

Jennifer

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

This email and any attachments may contain confidential and privileged information. If you are not the intended recipient, please notify the sender immediately by return email, delete this message and destroy any copies. Any dissemination or use of this information by a person other than the intended recipient is unauthorized and may be illegal.

Brinegar, Jennifer Hooker

From: Brinegar, Jennifer L
Sent: Thursday, July 06, 2006 3:15 PM
To: Senderoff, Robert A.; Sampson, Kelvin Dale; Meyer, Jeffrey Dennis; McCallum Jr, Ray
Cc: Pope, Christian Dean; Greenspan, Richard I
Subject: FW: MBB Questions
Importance: High

Coaches – here are the short answers to Rob's questions (see below for more explanation):

1. You can text a HS coach while players from his team play in a certified event PROVIDED the HS coach is NOT at the certified event.
2. Per NCAA rules, a HS coach can come to campus to meet with Coach Sampson during the July evaluation period to discuss basketball NOT recruiting. However, it looks like the NABC is interpreting this much more conservatively (third bullet in #4), so I will try to contact Jim Haney for a clarification.
3. Any of the assistant coaches can attend a pick up game at a high school that is regularly scheduled (open gym), but not organized, and there can be prospects there from other high schools.
4. Any of the assistant coaches can speak with DJ White during breaks when he is a counselor at the NIKE camp in Indy IF it is a certified event AND only if NO prospects/parents/coaches are around and the discussion CANNOT be about any of the prospects attending the camp.

Hope this helps – remember to document ALL of your recruiting activities – good luck!

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

From: Big Ten - Greg Walter [mailto:gwalter@bigten.org]
Sent: Thursday, July 06, 2006 1:27 PM
To: Brinegar, Jennifer L
Cc: Big Ten - Chad Hawley
Subject: RE: MBB Questions

Jennifer:

See my answers below, but first a disclaimer. All of these answers relate specifically to the application of NCAA legislation. Any additional or more stringent restrictions or protocol imposed by the NABC on its membership should be addressed with that body. In other words, I'm not telling you that you should ignore the NABC's July 3rd memo or that parts of the memo are "wrong" – rather, I'm interpreting the text and legislative history of NCAA Bylaw 13.1.7.2.2 as it relates to the July evaluation period.

I hope this helps.

Greg

Greg Walter

2/19/2008

Assistant Director of Compliance
Big Ten Conference
Phone: (847) 696-1010, ext. 119
Fax: (847) 696-1150
gwalter@bigten.org

From: Brinegar, Jennifer L [mailto:jhooker@indiana.edu]
Sent: Wednesday, July 05, 2006 1:22 PM
To: Big Ten - Greg Walter
Subject: MBB Questions
Importance: High

Greg,

Thanks for responding to my eligibility question(s). I hope you had a fun and relaxing 4th of July weekend, since you are now flying solo for the month of July!

Christian is out this week on vacation, so MBB came to me with some clarifications regarding the upcoming July evaluation period. I have a copy of an educational memo sent out by the NABC to all head coaches regarding summer certified event protocol. Here are the questions:

1. Can MBB text a HS coach? According to your email from June 29 at 6:11 p.m., Bylaw 13.1.7.2.2.1, which states that it is okay for MBB to have "telephone contact" with a PSA's HS coach while the PSA is participating in a summer certified event, would allow a HS coach to come to campus to meet with Coach Sampson. Since this Bylaw extends to face-to-face contact, would it not also be okay for MBB to then text the HS coach?

[GW] Yes... again, we're talking about a situation where a HS coach is not involved with or attending a certified event, IU's coach is not attending a certified event, and the only connection to a certified event is that one of the HS coach's athletes just happens to be participating in a certified event at some other location at the same time. Under these circumstances, our take is that the legislation permits text messaging by a college coach to the HS coach or an on-campus meeting between a college coach and the HS coach. (Note, however, that an off-campus meeting including discussion of PSAs would be impermissible since it would be an evaluation not occurring at a certified event, pick-up game, etc.) Neither of these interactions compromises the intent of the original legislation (2001-53), which was to establish "standards governing appropriate behavior of collegiate coaches attending certified events."

2. In light on the third bullet in #4 on the memo (which I will fax to you), this looks like contact at any location is prohibited with both nonscholastic AND scholastic coaches. So, if this is correct (#7 in the memo seems like they just apply 13.1.7.2.2.1 to phone calls only), does your answer on 6/29 and in #1 above change?

[GW] No – see answer to #1 above.

Incidentally, regarding point #7 on the NABC memo, NCAA legislation (13.1.7.2.2.1) does not require that IU's coach not be in attendance at the certified event, it only requires that the high school coach or administrator not be in attendance at or otherwise involved with the certified event. In fact, the rationale for the adoption of this legislation (Proposal 2003-61) specifically contemplates a college coach that is attending a certified event calling a HS coach that is not involved/attending the certified event. See below.

Rationale Statement – 2003-61: "Finally, current regulations preclude coaches from making telephone calls to anyone associated with the prospect during the prospect's participation in a certified event. In some instances, coaches will observe a prospect for the initial time and seek additional information regarding the prospect's qualifications and/or remaining summer schedule. The ability to make phone calls to a high-school coach (or administrator) who is not attending the event to ascertain such information does not compromise the objective of prohibiting all interaction at the event site and continues to further the intended goal of placing greater emphasis on the scholastic environment in the recruiting process."

3. Can MBB attend a regularly scheduled (but not organized – the gym is just open at certain times each day)

pick-up game at a local high school if there are PSAs from other high schools also there?

[GW] Yes.

4. DJ White, a MBB SA at IU, is working the NIKE camp in Indy. Can MBB talk to him (as long as there are no prospects or their coaches around)?

[GW] Possibly, but only if no PSAs, coaches of PSAs, other camp employees, etc. are present and there is no discussion of prospective student-athletes or camp activities (in other words, I'm imagining a discussion between an IU coach and an IU SA that just happens to be occurring during a break in the camp and not a discussion relating to recruiting or camp activities involving PSAs). It probably goes without saying, but the date(s) of the NIKE camp must be certified for your coach(es) to attend in the first place.

I will fax you a copy of the memo. Thanks for helping me out!

Jennifer

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

Brinegar, Jennifer Hooker

From: Brinegar, Jennifer L
Sent: Friday, July 14, 2006 8:52 AM
To: Calhoun, M. Grace
Subject: Weekly Update

Anitra is being Chip, Kathy, and Anitra---handling NCAA Clrnghse and admissions issues; faxing NLI's/Big Ten tenders to Big Ten after validation; answering financial aid questions when possible and deferring others until Kathy's return; still working to complete petitions--- waiting on Sports Medicine and FAR; answering questions for Big Ten for pending petitions; providing information to FAR for remaining faculty sponsorship cases; continuing records review of past for all sports; hoping to update ineligibles and admission summary reports very soon; completing multiple transfer information forms; assisting Jennifer B. with Big Ten self-report to make sure facts are accurate.

Christian updated and then sent off the 06-07 NCAA and IU compliance documents to be copied for the fall compliance meetings; began construction of fall sports rosters for the compliance meetings; emailed softball, baseball and volleyball about their upcoming fall recruiting periods; collected all but men's golf's sports inventory forms and have verified the data with each coach; worked with the training room to request a listing of all minors to disperse NCAA & IU information to those individuals prior to their arrival in August for compliance purposes; conducted weekly meeting with the director of basketball operations to discuss the committee on infractions sanctions, Coach Sampson's public appearances schedule as well as to review all recruiting records as they exist from the assistant coaches to date; and began playing and practice season documentation verification with each sport except for diving which will provide information later in September due to NGB scheduling issues. He continues to provide rules interpretations to all staff.

Jennifer investigated, drafted, submitted to the NCAA and distributed copies of two self reports of secondary violations; drafted and distributed the quarterly reports to the president for both the first and second quarters of 2006 of all secondary violations reported to either the NCAA and/or Big Ten; researched various rules interpretations for Christian, coaches and a parent of a prospect; provided rules education to the staff via the question of the week; and she served as the liaison for the two candidate's interviewing on campus for Chip's position (her staff also participated in an interview with each candidate). She is working with Kip Drew at Legal regarding an Open Records Request - she has provided documentation of how the Special Assistance Fund monies were distributed by sport last year and she is in the process of collecting all of the self reports and correspondence regarding same from August 2001 to present, which she and Kip will cleanse of any confidential information regarding student-athletes names or identities; and working on the eligibility violation self report with Anitra and Bruce. She will be out of the office most of Friday and will be attending a compliance seminar in Minneapolis on Monday and Tuesday. She has arranged for two additional candidates to come in for 1/2 day interviews on Wednesday and has left both resumes with Mattie (she indicated she was willing to facilitate the on-campus interviews with the staff).

Jennifer Brinegar
 Assistant Athletics Director - Compliance
 Indiana University
 12-855-0451

2/19/2008

Brinegar, Jennifer Hooker

From: Pope, Christian Dean
Sent: Wednesday, July 26, 2006 8:06 AM
To: Green, Jerry
Cc: Brinegar, Jennifer L
Subject: RE: Coach Sampson's Calendar

We can these by phone if necessary to expedite the process but we still need the info to make the initial decision. Thanks.

Christian
Director of Compliance
Indiana University
812-856-6074
Go Hoosiers!

From: Green, Jerry
Sent: Wednesday, July 26, 2006 8:04 AM
To: Pope, Christian Dean
Subject: RE: Coach Sampson's Calendar

Chris:
This will need to be a quick turnaround to be able as to placing it on his schedule on your part and ours.

Jerry

Jerry Green
Director of Basketball Operations
Indiana University
812-856-0177

From: Pope, Christian Dean
Sent: Tuesday, July 25, 2006 4:39 PM
To: McElroy, Barbara J; McLaughlin, Elizabeth C; Green, Jerry; McCallum Jr, Ray; Meyer, Jeffrey Dennis; Senderoff, Robert A.; Sampson, Kelvin Dale
Cc: Calhoun, M. Grace; Brinegar, Jennifer L; Fitzpatrick, Timothy Martin; Dolson, Scott M
Subject: Coach Sampson's Calendar
Importance: High

All,

Please be certain whenever you receive a request to have Coach Sampson attend an event of any kind, that you forward it to the compliance office for approval prior to placing the event on his calendar.

2/20/2008

To have an event approved to go on his schedule you need to collect the following:

1. **The name of the event/outing**
2. **The purpose of the function/event.**
3. **Where it is occurring.**
4. **What the date of the event is.**
5. **How we IU ensure that prospective aged students will not be in attendance.**

Due to our current circumstance, every effort to protect Indiana University in this regard is necessary and since you all are asked from time to time to run invitations by coach, I need and appreciate your assistance in this matter. If you have any questions, please do not hesitate to ask.

Christian D. Pope
Director of Compliance
Indiana University
1001 East 17th Street
Bloomington, IN 47408-1590
812-856-6074
Go Hoosiers!

Brinegar, Jennifer Hooker

From: Brinegar, Jennifer L
Sent: Wednesday, July 26, 2006 8:32 AM
To: Calhoun, M. Grace
Cc: Pope, Christian Dean
Subject: FW: Coach Sampson's Calendar

Grace,

See below. While I understand the need for a quick turn-around, it is just as important (if not more so) to have the time needed to thoroughly research each request to ensure compliance with the COI sanctions.

Christian is putting basketball's needs and issues at the top of his priority list and has been extremely responsive to all questions and issues.

I would like to request your assistance (and possibly Rick's) in making sure that basketball understands compliance is here to help them – not only in abiding by the COI penalties, but in complying with all applicable NCAA and Big Ten rules so that they can do their jobs to the best of their abilities. We are not here to make their jobs harder – although it may seem like that – but the rules were voted on by membership, not by the IU compliance office! ☺

I would appreciate your thoughts and assistance in this matter.

Thanks,

Jennifer

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

From: Pope, Christian Dean
Sent: Wednesday, July 26, 2006 8:06 AM
To: Green, Jerry
Cc: Brinegar, Jennifer L
Subject: RE: Coach Sampson's Calendar

We can these by phone if necessary to expedite the process but we still need the info to make the initial decision. Thanks.

Christian
Director of Compliance
Indiana University
812-856-6074
Go Hoosiers!

From: Green, Jerry
Sent: Wednesday, July 26, 2006 8:04 AM
To: Pope, Christian Dean

4/1/2008

Subject: RE: Coach Sampson's Calendar

Chris:

This will need to be a quick turnaround to be able as to placing it on his schedule on your part and ours.

Jerry

Jerry Green
Director of Basketball Operations
Indiana University
812-856-0177

From: Pope, Christian Dean
Sent: Tuesday, July 25, 2006 4:39 PM
To: McElroy, Barbara J; McLaughlin, Elizabeth C; Green, Jerry; McCallum Jr, Ray; Meyer, Jeffrey Dennis; Senderoff, Robert A.; Sampson, Kelvin Dale
Cc: Calhoun, M. Grace; Brinegar, Jennifer L; Fitzpatrick, Timothy Martin; Dolson, Scott M
Subject: Coach Sampson's Calendar
Importance: High

Hi,

Please be certain whenever you receive a request to have Coach Sampson attend an event of any kind, that you forward it to the compliance office for approval prior to placing the event on his calendar.

To have an event approved to go on his schedule you need to collect the following:

1. **The name of the event/outing**
2. **The purpose of the function/event.**
3. **Where it is occurring.**
4. **What the date of the event is.**
5. **How we IU ensure that prospective aged students will not be in attendance.**

Due to our current circumstance, every effort to protect Indiana University in this regard is necessary and since you all are asked from time to time to run invitations by coach, I need and appreciate your assistance in this matter. If you have any questions, please do not hesitate to ask.

Christian D. Pope
Director of Compliance
Indiana University
1001 East 17th Street
Bloomington, IN 47408-1590
812-856-6074
o Hoosiers!

Brinegar, Jennifer Hooker

From: Brinegar, Jennifer Hooker
Sent: Thursday, April 12, 2007 11:10 AM
To: Senderoff, Robert A.
Cc: Kelvin Sampson; McCallum Jr, Ray; Meyer, Jeffrey Dennis; Rickerby, Ian J
Subject: Telephone Calls

Rob,

The telephone call rule for MBB changed as of January 2006, so that you no longer get the three calls in July (for senior prospects). The rule now states:

One call a month (IU is restricted to one every other month through July 31, 2007) to a prospect (or his parents or legal guardians) on or after June 15 of his sophomore year in HS through July 31. Two calls per week (IU is restricted to one per week through July 31, 2007) to a prospect (or his parents or legal guardians) beginning August 1 prior to his senior year in high school.

So, the restrictions running through July 31 (2007) really don't make a difference per the start and end dates for the new rule (which is located on page 89 – Bylaw 13.1.3.1.2).

I hope this helps to clarify the issue. If not, let me know.

Thanks for asking!

Jennifer

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

Go Hoosiers!!!

Blank

Brinegar, Jennifer Hooker

From: Brinegar, Jennifer Hooker
Sent: Monday, April 30, 2007 9:17 AM
To: McElroy, Barbara J
Subject: RE:

The phone restrictions do not lift until July 31 and we will still need to monitor phone calls after that (going forward the same way to show institutional control).

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

Go Hoosiers!!!

From: McElroy, Barbara J
Sent: Monday, April 30, 2007 9:09 AM
To: Brinegar, Jennifer Hooker
Subject:

Jennifer,

After the end of May, when everything is lifted from Coach Sampson, do I still need to have all of the guys sign those phone forms about if they used their home, office, cell, or additional phones for recruiting purposes?

Thanks,

BJ

B.J. McElroy
B.J. McElroy
Assistant to Coach Kelvin Sampson
Indiana University
Men's Basketball
(812)855-2238

Brinegar, Jennifer L

11105

From: Pope, Christian Dean
Sent: Wednesday, August 02, 2006 11:43 AM
To: Senderoff, Robert A.; McElroy, Barbara J; Fitzpatrick, Timothy Martin; Greenspan, Richard I; Meyer, Jeffrey Dennis; Green, Jerry; McLaughlin, Elizabeth C; McCallum Jr, Ray; Sampson, Kelvin Dale; Garl, Tim C
Cc: Brinegar, Jennifer L
Subject: September Contact Period reminder
Attachments: MBK Fall contact-eval 06.doc

Coach,

Please review the attached reminder for the fall contact/evaluation period. As always, do not hesitate if you have any questions. Thank you.

Christian D. Pope
Director of Compliance
Indiana University
1001 East 17th Street
Bloomington, IN 47408-1590
812-856-6074
Go Hoosiers!

8/30/2006

Men's Basketball Fall 2006 Contact Period (08/02/06)

Dates

September 9-October 5

Contact Period (No evaluations at sites other than the prospect's educational institution)

October 6-November 5

Evaluation Period

Recruiting Person Days 13.02.7

Men's basketball staff members cannot exceed **130 recruiting person days**. A recruiting-person day is defined as one coach engaged in an off-campus recruiting activity of a men's basketball prospect on one day (12:01a.m. through midnight). Two coaches engaged in recruiting activities on the same day shall use two recruiting person days.

Contactable Prospects 13.1.1.2

In basketball, contact cannot be made with a prospect prior to the opening day of classes of the prospect's senior year in high school (and in no instance before September 9, 2006, which is the beginning of your contact period this year).

Visit to Prospect's Educational Institution 13.1.5.1

Staff members may not visit a prospect's educational institution on more than one occasion during a particular week within a contact period, regardless of the number of prospects enrolled at that institution or whether any prospect is contacted during that one occasion.

All visits that occur during the time period in which classes are in session must receive the approval of the executive officer (or designee) of the prospect's educational institution.

Number of contacts 13.1.6.3

During the academic year, IU is limited to **seven recruiting opportunities** (contacts and evaluations combined) per prospect, but may not include more than three, in-person, off-campus contacts during the prospect's senior year. Also number, your 130 person day limit during the academic year.

Off-campus recruiting: At any one time 11.7.4.3.1

It is permissible for a coach to leave campus to engage in off-campus contact or evaluation before another coach who is off-campus actually returns to campus, provided the total number of coaches recruiting on behalf of the institution at any time do not exceed the permissible number.

In other words, if the limitation on the number of coaches who are permitted to contact or evaluate prospects off campus at any one time is reached, another coach in that same sport may leave campus to engage in off-campus contacts or evaluations at any time, provided he does not begin any off-campus recruiting activity until the coach being replaced has completed his recruiting activities. Further, the replaced coach may not engage in additional recruiting activities until after he or she has returned to the institution's campus.

Men's basketball is limited to a **maximum of three coaches** who may engage in off-campus recruiting at any one time.

Telephone calls 13.1.3.1.2

The following are the standard regulations for men's basketball. Please remember that the committee on infractions case resulted in a reduction for IU in the following areas. Note the text in parentheses.

In men's basketball, IU is permitted to make **one telephone call per month to a prospect [or the prospect's parents or legal guardian(s)] on or after June 15 of the prospect's sophomore year in high school through July 31 of the prospect's junior year in high school. (COI reduction- one call every other month)**

IU is permitted to make **two telephone calls per week to a prospect [or prospect's parents or legal guardian(s)] beginning August 1 of the prospect's senior year in high school. (COI reduction - one call per week)**

IU is permitted to make **one telephone call per week to a two-year college prospect [or the prospect's parents/legal guardian(s)].**

BRIGGS

Brinegar, Jennifer L

From: Pope, Christian Dean
Sent: Wednesday, August 30, 2006 12:58 PM
To: Sampson, Kelvin Dale; Green, Jerry; Meyer, Jeffrey Dennis; McCallum Jr, Ray; Senderoff, Robert A.
Cc: McElroy, Barbara J; McLaughlin, Elizabeth C; Brinegar, Jennifer L
Subject: FW: Official Visit Question
Importance: High

FYI

Christian D. Pope
Director of Compliance
Indiana University
812-856-6074
Go Hoosiers!

From: Fitzpatrick, Timothy Martin
Sent: Wednesday, August 30, 2006 12:45 PM
To: 'Big Ten - Chad Hawley'
Cc: Brinegar, Jennifer L; Calhoun, M. Grace; Pope, Christian Dean; Green, Jerry; Greenspan, Richard I
Subject: RE: Official Visit Question
Importance: High

Thanks, Chad – we truly appreciate this information and your prompt assistance. I will see to it that this message is quickly distributed to the appropriate parties within our men's basketball staff, our compliance staff and our athletic administration. We will certainly let you know if we have further questions or require additional assistance.

Hope to see you soon. Go Hoosiers!

Tim Fitzpatrick

From: Big Ten - Chad Hawley [mailto:CHawley@bigten.org]
Sent: Wednesday, August 30, 2006 12:37 PM
To: Fitzpatrick, Timothy Martin
Subject: Official Visit Question

Tim:

Just wanted to let you know that I've heard from both Chris Strobel (Dir. of Secondary Enforcement) and Shep Cooper (Dir. of the Committee on Infractions) today, and both confirmed that **the off-campus recruiting ban does NOT preclude Coach Sampson from having off-campus contact (within a 30-mile radius) with a prospect who is making an official (paid) visit to Indiana.** So for example, if Coach Sampson's home is within 30 miles of campus, it would be permissible for him to host prospects making an official visit at his home. Again, this is applicable only to prospects on official visits; the off-campus ban would be in effect for all other off-campus contacts.

Hope this helps. Let me know if you have further questions. I'll leave it up to you to distribute this to the appropriate folks on your end.

8/30/2006

Chad

Chad Hawley
Assistant Commissioner
Big Ten Conference
1500 W. Higgins Rd.
Park Ridge, IL 60068
ph: 847/696-1010, x. 118
fax: 847/696-1150

8/30/2006

Brinegar, Jennifer Hooker

From: Pope, Christian Dean
Sent: Tuesday, September 26, 2006 8:49 AM
To: McLaughlin, Elizabeth C
Cc: Brinegar, Jennifer L
Subject: RE: Question!

Beth,

Coach Sampson is allowed to write correspondence to prospects provided they are juniors or seniors in high school at this point in time. The committee on infractions penalties and Indiana University's adoption of Oklahoma's penalties do not include a ban or restriction on written correspondence. Thank you for the question.

Christian
Director of Compliance
Indiana University
812-856-6074
Go Hoosiers!

From: McLaughlin, Elizabeth C
Sent: Monday, September 25, 2006 11:36 AM
To: Pope, Christian Dean
Subject: Question!

Good Afternoon, I hope your weekend was well! I was wondering if Coach Sampson is allowed to write thank you notes to recruits that have come in this past weekend. Thank you for all your help!

Take Care
Beth

Brinegar, Jennifer Hooker

From: Brinegar, Jennifer L
Sent: Friday, September 29, 2006 4:21 PM
To: Sampson, Kelvin Dale
Cc: Greenspan, Richard I; Fitzpatrick, Timothy Martin; Calhoun, M. Grace; Pope, Christian Dean
Subject: FW: Sampson's request regarding phone calls subsequent to NLI

Coach Sampson,

NCAA Enforcement checked with the COI regarding your question as to whether you would be able to call a prospect once he has signed an NLI with Indiana. Unfortunately, the COI said the ruling last May precludes you from calling prospects, regardless of whether they have signed an NLI.

You may, of course, continue to text message and email the signees.

Thanks for checking,

Jennifer

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

Go Hoosiers!!!

From: Cooper, Shep [mailto:scooper@ncaa.org]
Sent: Friday, September 29, 2006 11:20 AM
To: Brinegar, Jennifer L; Strobel, Chris
Cc: Elworth, Jim
Subject: RE: Sampson's request regarding phone calls subsequent to NLI

Jennifer:

Jim Elworth has been handling most of the issues with regard to the OU case and the sanctions which followed Kelvin Sampson to IU, so I forwarded your e-mail to him.

He is out today, so I'm not certain if he has responded to you. Regardless, I know that he checked with the committee and the ruling was that Coach Sampson should not telephone prospects, regardless of whether they have signed LOIs, as you set forth in #2 of your e-mail below.

Thanks for checking and let me know if you have any additional questions.

Shep Cooper
Shepard C. Cooper
Director of the NCAA Infractions Committees
P.O. Box 6222

4/4/2008

Indianapolis, IN 46206-6222
(317)917-6222

-----Original Message-----

From: Brinegar, Jennifer L [mailto:jhooker@indiana.edu]

Sent: Thursday, September 28, 2006 12:14 PM

To: Cooper, Shep; Strobel, Chris

Subject: FW: Sampson's request regarding phone calls subsequent to NLI

Shep and Chris,

I hope you both are doing well. We have a dilemma at IU. Can Coach Sampson call a prospect once he signs an NLI to attend IU (during the early signing period in November)? We believe there are two ways of looking at this question:

1. Even after a prospect signs a valid NLI, they are still considered a prospect per NCAA Bylaw 13.02.11. The only designation that has changed is that now they are considered "recruited." One could find that the COI would not preclude Coach Sampson from making phone calls on that basis. The reasoning for this stance is that we do have in the COI report that our coaches may make unlimited phone calls per 13.1.3.3.3 subsequent to the signing of the NLI (without regard to the restrictions on phone calls). Therefore, it could be presumed that this exception includes Sampson. Moreover, that line of thought appears to be supported on page 19 of the May 25, 2006 COI public infractions report which says, "any phone calls that relate in any way to recruiting." It can be easily demonstrated that these calls are not for recruiting purposes once a NLI has been signed and processed to the Big Ten.
2. The other train of thought is that the COI may view any phone call to a prospect to be impermissible for Coach Sampson until the "prospect(s)" satisfies one of the three criteria of bylaw 13.02.11 and becomes a student-athlete, or the sanctions are lifted on May 24, 2007.

Would you be able to provide us with some direction on this issue or do we need to take it to the COI? If so, could you please pass the question on to them?

Thanks,

Jennifer

Jennifer Brinegar

Assistant Athletics Director – Compliance

Indiana University

(812)855-0451

Go Hoosiers!

This email and any attachments may contain confidential and privileged information. If you are not the intended recipient, please notify the sender immediately by return email, delete this message and destroy any copies. Any dissemination or use of this information by a person other than the intended recipient is unauthorized and may be illegal.

4/4/2008

Brinegar, Jennifer Hooker

From: Brinegar, Jennifer L
Sent: Monday, October 02, 2006 4:30 PM
To: 'Big Ten - Chad Hawley'
Subject: FW: MBB Incident

FYI – I'll let you know if we hear anything from the COI. Thanks for bearing with me on our other issue today. I do appreciate your advice and assistance, and I'm glad we're on the same side 99% of the time!

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

Go Hoosiers!!!

From: Cooper, Shep [mailto:scooper@ncaa.org]
Sent: Monday, October 02, 2006 11:04 AM
To: Brinegar, Jennifer L; Strobel, Chris; Elworth, Jim
Cc: Greenspan, Richard I; Jaffee, Bruce L.; Calhoun, M. Grace; Sampson, Kelvin Dale
Subject: RE: MBB Incident

Jennifer-

Thank you for your detailed report. I appreciate your efforts in following up with this. I will forward your message to the committee to determine if there is any need for additional information. I'll get back to you as soon as I get a response.

Shep Cooper
Shepard C. Cooper
Director of the NCAA Infractions Committees
P.O. Box 6222
Indianapolis, IN 46206-6222
(317)917-6222

-----Original Message-----

From: Brinegar, Jennifer L [mailto:jhooker@indiana.edu]
Sent: Friday, September 29, 2006 6:20 PM
To: Cooper, Shep; Strobel, Chris; Elworth, Jim
Cc: Greenspan, Richard I; Jaffee, Bruce L.; Calhoun, M. Grace; Sampson, Kelvin Dale
Subject: MBB Incident
Importance: High

Shep, Chris and Jim,

I wanted to bring the following matter to your attention as soon as I was able to gather all of the facts.

Coach Sampson, at the request of the IU Varsity Club and the IU Alumni Association (IUAA), had two speaking engagements in northern Indiana this week. He spoke at Merrillville on Tuesday and Ft. Wayne on Wednesday. In order to ensure compliance with the COI sanctions, we sent the director of compliance to help monitor attendance at these events. Prior to the Ft. Wayne event, one of the staff members in the Varsity Club approached the director of compliance with an article from the Post-Tribune (a Gary, Indiana newspaper) that indicated a prospect-aged individual had attended the event in Merrillville on the previous evening. Upon returning to work on Thursday morning, the director of compliance met with me and I asked him to follow up with the columnist who wrote the article as well as the alum who brought along his daughter's boyfriend (a HS junior who we are not recruiting nor are we recruiting anyone from his high school to play basketball at IU). The columnist interviewed the two outside of the banquet room and did not see them enter the room. However, the alum confirmed in a phone conversation with the director of compliance late yesterday that he and his daughter's boyfriend entered the room after Sampson began speaking and sat in the back of the room.

The director of compliance and the Varsity Club staff had worked extensively with the IUAA staff and the local organizing committee to make sure that all who were invited to this event were informed that no prospect-aged individuals could be in attendance (the invitation specifically stated that this was an NCAA restricted event and that no individual in the 9th through 12th grade could attend this event), and had checked the pre-registration list to ensure that this restriction was being met. The event organizers also questioned people who entered the room (they actually turned away one high school aged individual), and the director of compliance and staff from the Varsity Club circulated the room prior to the event starting to ensure compliance. Apparently, the alum and the high schooler entered unnoticed after the program had started. To be honest, we all find it very strange that no one (the director of compliance, the AD, the coach, the Varsity Club staff, the IUAA staff and the event organizers) noticed these two enter the room, sit through the program and then leave, as the director of compliance believes that 98% in attendance were 50 or older.

Regardless, this is the only time we are aware that such a situation has occurred during Coach Sampson's speaking engagements since May 25, 2006. We will implement additional security procedures to ensure that this does not happen again.

Please let me know if you need any further information.

Jennifer

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

Go Hoosiers!!!

This email and any attachments may contain confidential and privileged information. If you are not the intended recipient, please notify the

4/7/2008

sender immediately by return email, delete this message and destroy any copies. Any dissemination or use of this information by a person other than the intended recipient is unauthorized and may be illegal.

Brinegar, Jennifer Hooker

From: Brinegar, Jennifer L
Sent: Monday, October 16, 2006 2:22 PM
To: Calhoun, M. Grace
Subject: FW: Official Visit Question

FYI

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

Go Hoosiers!!!

From: Cooper, Shep [mailto:scooper@ncaa.org]
Sent: Monday, October 16, 2006 12:00 PM
To: Big Ten - Chad Hawley
Cc: Brinegar, Jennifer L; Elworth, Jim; Strobel, Chris; Najjar, Ameen; Price, David; Yeager, Tom (Business)
Subject: RE: Official Visit Question

Chad-

I have heard back from the majority of the committee members who sat for the OU case. The feedback I received from them confirms the guidance I provided to you back in August (and which you set forth in your August 30 e-mail to Tim Fitzpatrick [below]).

Specifically, Bylaw 13.6.6.1 (General Restrictions) would apply with regard to official paid visits and Kelvin Sampson's recruiting activities during the OPVs. That bylaw addresses the "30-mile rule."

Please let me know if you have any questions. My apologies for any confusion on this issue.

Shep Cooper
Shepard C. Cooper
Director of the NCAA Infractions Committees
P.O. Box 6222
Indianapolis, IN 46206-6222
(317)917-6222

From: Big Ten - Chad Hawley [mailto:CHawley@bigten.org]
Sent: Thursday, October 12, 2006 5:17 PM
To: Cooper, Shep
Subject: FW: Official Visit Question

Shep:

This is the notification I sent to Indiana. Just let me know if I need to tell them something different (or keep me in the loop if you follow up with them). I may be out of the office over the next few days, so if you don't get me here, call my cell phone anytime: 630-772-8801.

4/7/2008

Thanks,
Chad

From: Big Ten - Chad Hawley
Sent: Wednesday, August 30, 2006 11:37 AM
To: IN - Tim Fitzpatrick, MBB/WBB Tournament Contact
Subject: Official Visit Question

Tim:

Just wanted to let you know that I've heard from both Chris Strobel (Dir. of Secondary Enforcement) and Shep Cooper (Dir. of the Committee on Infractions) today, and both confirmed that the off-campus recruiting ban does NOT preclude Coach Sampson from having off-campus contact (within a 30-mile radius) with a prospect who is making an official (paid) visit to Indiana. So for example, if Coach Sampson's home is within 30 miles of campus, it would be permissible for him to host prospects making an official visit at his home. Again, this is applicable only to prospects on official visits; the off-campus ban would be in effect for all other off-campus contacts.

I hope this helps. Let me know if you have further questions. I'll leave it up to you to distribute this to the appropriate folks on your end.

Chad

Chad Hawley
Assistant Commissioner
Big Ten Conference
1500 W. Higgins Rd.
Park Ridge, IL 60068
ph: 847/696-1010, x. 118
fax: 847/696-1150

This email and any attachments may contain confidential and privileged information. If you are not the intended recipient, please notify the sender immediately by return email, delete this message and destroy any copies. Any dissemination or use of this information by a person other than the intended recipient is unauthorized and may be illegal.

Brinegar, Jennifer Hooker

From: Calhoun, M. Grace
Sent: Tuesday, October 31, 2006 11:09 AM
To: Greenspan, Richard I; Cuervo, Frank Martin
Cc: Smithson, Terri J; Fitzpatrick, Timothy Martin; Brinegar, Jennifer L
Subject: RE: Radio Sponsor Lunch

Compliance has approved this event. Christian will follow up on details for his records. Jennifer Brinegar will attend to police the door and ensure that no prospects gain admittance.

MGC

*M. Grace Calhoun, MBA, Ph.D.
Associate Athletic Director/Senior Woman Administrator
Indiana University Department of Intercollegiate Athletics
office phone 812/855-0876*

From: Greenspan, Richard I
Sent: Tuesday, October 31, 2006 9:44 AM
To: Cuervo, Frank Martin
Cc: Smithson, Terri J; Calhoun, M. Grace; Fitzpatrick, Timothy Martin
Subject: RE: Radio Sponsor Lunch

I will ask TJ to put as a tentative on my schedule. Please clear Coach Sampsons appearance with Compliance.

From: Cuervo, Frank Martin
Sent: Tuesday, October 31, 2006 7:50 AM
To: Greenspan, Richard I; Fitzpatrick, Timothy Martin
Subject: Radio Sponsor Lunch

Wanted to let you both know that Coach Jack and Sampson have agreed to speak at a radio sponsor luncheon, coordinated through Artistic Media, at Colorado Steakhouse next Tuesday, Nov. 7 at 11:30am (they will actually speak at about noon and 12:25, respectively). There will be approximately 50-75 people at the lunch (Seminary Hall, where Hep's radio show is held), both current and potential sponsors. You are certainly welcome to attend if you like and your schedule permits.....I plan on being there to make sure everything runs smoothly. Let me know if you have any questions. Thanks.

FC

Frank M. Cuervo
Assistant Director of Athletics- External Operations
Indiana University Department of Athletics
Assembly Hall- 1001 East 17th Street
Bloomington, Indiana 47408 * P: 812-856-1401

① ~~CONFIDENTIAL~~
~~CONFIDENTIAL~~
C. Pope

Brinegar, Jennifer L

From: Pope, Christian Dean
Sent: Monday, December 11, 2006 9:03 AM
To: Brinegar, Jennifer L
Subject: Weekly Basketball update
Attachments: DOBO 12.06.06 Supplement.doc; MBK 12.04-08.06.doc

* @mBB

Jennifer,

Here are the weekly basketball logs as well as the educational materials used in the Wednesday DOBO meeting.

Christian D. Pope
Director of Compliance
Indiana University
1001 East 17th Street
Bloomington, IN 47408-1590
812-856-6074
Go Hoosiers!

Basketball Logs for the week of December 4 - 8, 2006

Monday December 4, 2006

1. 9:22-9:25 AM I reviewed and logged the monthly coaches phone usage declaration sheets.
2. 9:26-9:30 AM I emailed John Tweedie to run a report for my auditing of office phone usage for the basketball staff to cross check records against the Cybersports database.
3. 10:45-10:49 AM I spoke with Jerry Green regarding the athletically related activities process and due dates for reports. He referred me to Tim Garl and said that he would speak with Tim regarding the process and the request for timely reports.

Tuesday December 5, 2006

1. 9:32-9:57 AM I edited the director of basketball operations agenda for tomorrows meeting.
2. 9:58-10:23 AM I searched the Division I official notice on-line for all pertinent men's basketball legislations to include in the rules education supplement for tomorrows basketball weekly meeting.
3. 10:24-10:32 AM I prepared the basketball operation rules education supplement materials from the research materials from item #2 above.
4. 1:45-1:49 PM I spoke with Jerry Green about the weekly meeting for the months of December and January and we planned out the schedule to avoid competitions and days away from campus.

Wednesday December 6, 2006

1. 8:42-8:46 AM I prepared the materials for the weekly director of Basketball operations meeting.
2. 9:00-9:34 AM I met with Jerry Green and Jeff Meyer for our weekly director of basketball operations meeting to include coach Sampson speaking engagement calendar review and a rules education component where new proposed legislation relevant to basketball was introduced.
3. 1:15-1:17 PM I spoke with BJ McElroy about autographed items requests from the IU alumni association. I requested that the forms be sent to my attention for processing and approval. I also approved one autographed item request and processed the form to BJ.

2:40-2:44 PM

I met with Ray McCallum regarding his concern for a potential APR issue. I informed him to approach Anitra for specifics but described the basic framework to retain and stay eligible equating to 2 points per term.

11. 2:58-3:00 PM

I spoke with Beth McLaughlin about autographed items requests.

Friday December 8, 2006

1. 8:45-8:58 AM

I met with Jeff Meyer concerning recruiting materials and the my future at Indian University.

2. 3:12-3:15 PM

I Memoed Eric Behrman about autographed item requests and referred him to BJ McElroy about the new forms and process for requesting such.

DOBO meeting Supplement – (12.06.06)

NO. 2006-23 AMATEURISM — INVOLVEMENT WITH PROFESSIONAL TEAMS — TRYOUT AFTER ENROLLMENT — RECEIPT OF EXPENSES — SUMMER OR NOT FULL TIME

Intent: To specify that a student-athlete may try out with a professional organization in a sport during the summer or during the academic year while not a full-time student, provided the student-athlete does not receive more than one expense-paid visit from each professional team (or a combine including that team) and each visit does not exceed a 48-hour period.

Source: Atlantic 10 Conference.

Effective Date: Immediate

Category: Amendment

Topical Area: Amateurism

Rationale: Currently, tryouts with a professional team are permitted during the summer or during the academic year if the student-athlete is not enrolled full time and does not accept any payment of expenses from the professional team. If the rules permit student-athletes to enter a professional league's draft, the student-athletes should be able to participate in tryouts that may assist them in evaluating their likelihood of being drafted. Permitting professional teams to pay the actual and necessary expenses associated with a tryout would provide more opportunities for student-athletes who may not be able to pay their own expenses. This proposal would also reduce the pressure on student-athletes to seek funding from outside sources. The legislation would continue to restrict tryouts to the summer or during the academic year when a student-athlete is not enrolled full time so that student-athletes do not feel the need to withdraw from their institutions in order to participate in such tryouts. Lastly, current legislation permits an individual prior to collegiate enrollment to participate in one expense-paid visit per professional team, provided such a visit does not exceed 48 hours.

Academics/Eligibility/Compliance Cabinet: The cabinet unanimously [redacted] Proposal No. 2006-23. The cabinet believes that Proposal No. 2006-22 better addresses the sponsor's concerns related to providing tryout opportunities to student-athletes. In addition, the proposal does not further the student-athlete's academic performance, does not provide meaningful solutions to team disruption and may encourage student-athletes to drop to part-time status during the academic year. Finally, the cabinet noted that the NCAA Division I Student-Athlete Reinstatement Committee supports Proposal No. 2006-22 (as modified) and opposes Proposal No. 2006-23.

Men's Basketball Issues Committee: The committee [redacted] the proposal, noting that it does not further the student-athlete's academic performance, does not provide meaningful solutions to team disruption and could encourage student-athletes to drop to part-time status during the academic year in order to engage in a paid tryout.

Student-Athlete Reinstatement Committee: The committee [redacted] Proposal No. 2006-23. The committee generally supports the concept but believes Proposal No. 2006-22 better addresses the issues and thus, would support modifications to that proposal.

NO. 2006-25 AMATEURISM — INVOLVEMENT WITH PROFESSIONAL TEAMS — PROFESSIONAL BASKETBALL DRAFT — FOUR-YEAR COLLEGE STUDENTATHLETE — MEN'S BASKETBALL

Intent: In men's basketball, to specify that an enrolled student-athlete may enter a professional league's draft one time during his collegiate career without jeopardizing eligibility in the sport, provided that within 30 days after the conclusion of the NCAA Division I Men's Basketball Championship game, the student athlete requests that his name be removed from the draft list and declares his intention to resume intercollegiate participation, the student-athlete's declaration of intent is in writing to the institution's director of athletics, and the student-athlete is not drafted.

Source: Big Ten Conference.

Effective Date: August 1, 2007

Category: Amendment

Topical Area: Amateurism

Rationale: Currently, men's basketball student-athletes have as many as 30 days after the NBA draft to indicate their intent to return to collegiate participation (e.g., the 2006 NBA draft date was June 28). This two to three month period between April and July is intrusive on academic performance during the spring, and increases the potential for outside individuals to have a negative influence on the well-being of men's basketball student athletes. Establishing a shorter timeframe by which men's basketball student-athletes must withdraw from the draft and declare their intent to resume intercollegiate participation will encourage student-athletes to refocus on academics sooner and lessen the potential for committing violations that jeopardize amateur status. This proposal complements the proposed legislation that would allow currently enrolled student-athletes to engage in tryouts with professional teams while enrolled full time as potential solutions to address academic concerns and the overall well-being of men's basketball student-athletes.

Academics/Eligibility/Compliance Cabinet: The cabinet unanimously [redacted] Proposal No. 2006-25. The cabinet believes that the proposal would negatively impact the well-being of basketball student-athletes by not providing adequate time for the student athletes to evaluate their status and make an informed decision about their potential professional future. Specifically, the cabinet believes that student-athletes should be able to participate in individual workouts and a professional sports organization's predraft basketball camp opportunities to evaluate their status. A 30-day timeline following the NCAA Division I Men's Basketball Championship game would not allow such activities to occur.

Men's Basketball Issues Committee: The committee [REDACTED] the proposal, noting that the student-athlete may not know his draft status within 30 days after the national championship. The 30-day reflection period should continue to start after the conclusion of the actual draft.

Student-Athlete Reinstatement Committee: The committee [REDACTED] on Proposal 2006-25. The committee does not believe 30 days beyond the NCAA Division I men's basketball championship provides adequate time. The committee agrees that some parameters on the declaration process makes sense but would defer to the NCAA Division I Men's Basketball Issues Committee as to what the appropriate parameters should be.

NO. 2006-52 ADMINISTRATIVE REGULATIONS —RECRUITING CALENDARS — MEN'S BASKETBALL — MARCH CONTACT PERIOD

Intent: In men's basketball, to establish a contact period March 16 through 22.

Source: Southern Conference.

Effective Date: Immediate

Category: Amendment

Topical Area: Recruiting

Rationale: The elimination of the March contact period in men's basketball has condensed the period of time during which off campus contacts between prospective student-athletes and collegiate coaches can occur prior to the start of the spring signing period of the National Letter of Intent. The current men's basketball recruiting calendar provides collegiate coaches with less than six days during which off-campus contact with a prospects can occur prior to the start of spring signing period. The increased external pressures the spring signing period brings to both collegiate coaches and prospective student-athletes are compounded due to the reduction of face-to-face off-campus recruiting opportunities prior to the start of the period. This proposal to reinstate the March contact period will provide prospective student-athletes and their families with increased opportunities to meet with collegiate coaches and should assist the prospective student-athlete in selecting an institution and coach that best fit the needs of the prospective student-athlete. This change also should enable institutions to make more sound recruiting judgments. The current recruiting calendar in women's basketball provides coaches in that sport with 30 days during the month of March (March 1 through 30) during which collegiate coaches can conduct off-campus contacts with prospective student-athletes prior to the start of the spring signing period.

Men's Basketball Issues Committee: The committee [REDACTED] the proposal based on the sponsor's rationale.

Academics/Eligibility/Compliance Cabinet: The cabinet unanimously [REDACTED] Proposal No. 2006-52. The cabinet agrees with the sponsor's rationale statement and notes that the proposal does not increase the number of recruiting-person days. The cabinet noted Men's Basketball Issues Committee supports the proposal.

NO. 2006-53 ADMINISTRATIVE REGULATIONS —RECRUITING CALENDARS — MEN'S BASKETBALL — CHAMPIONSHIP DEAD PERIOD

Intent: In men's basketball, to specify that the dead period surrounding the NCAA Division I Men's Basketball Championship shall be from Thursday prior to the championship game until noon on Thursday following the championship game.

Source: NCAA Division I Championships/Competition Cabinet (Men's Basketball Issues Committee).

Effective Date: Immediate

Category: Amendment

Topical Area: Recruiting

Rationale: Coaches are pressured to increase recruiting activities in April prior to the National Letter of Intent signing period. As a result, many coaches leave the Final Four prior to the championship game or do not attend the related events. By extending the dead period until the Thursday after the conclusion of the championship game, coaches would be able to celebrate their sport by attending all of the associated activities and still have adequate time in April to make last-minute recruiting contacts before the signing period begins.

Academics/Eligibility/Compliance Cabinet: The cabinet unanimously [REDACTED] Proposal No. 2006-53. The cabinet agrees with the sponsor's rationale statement. Specifically, extending the dead period surrounding the Division I Men's Basketball Championship will encourage men's basketball coaches to remain engaged and in attendance at the championship until its conclusion.

Brinegar, Jennifer Hooker

From: Brinegar, Jennifer L
Sent: Friday, January 05, 2007 10:21 AM
To: Fitzpatrick, Timothy Martin
Subject: RE: National Guard Homecoming Party

Thanks for the update. If a compliance presence is needed, let me know. I would go, but am scheduled to be at Crawfordsville for a swim meet that both of my sons are competing in this weekend. Since it is in Ellettsville, I could see if Christian could go, if needed.

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

Go Hoosiers!!!

From: Fitzpatrick, Timothy Martin
Sent: Friday, January 05, 2007 10:10 AM
To: Brinegar, Jennifer L
Subject: FW: National Guard Homecoming Party

Jen -

Here's our guidance directly from Rick....I'll call Jerry and tell him to make sure that Coach Sampson understands that he cannot currently attend this event in the state that we know it to be. We may need to prep for some bad PR, but I'd rather have that issue that a tussle with the COI over a goodwill gesture.

Thanks,

Tim

From: Greenspan, Richard I
Sent: Friday, January 05, 2007 10:02 AM
To: Fitzpatrick, Timothy Martin
Subject: RE: National Guard Homecoming Party

Absolutely.....I mandate full compliance with the COI restrictions and if the event needs to be moved or cancelled so be it. I assume they could restrict attendance as we have with other events but these matters need coordination, clearance and approval as we have stated unequivocally in the past. It is Jerry's responsibility to have full transparency with us in a timely manner so we can handle administratively.

RG

From: Fitzpatrick, Timothy Martin
Sent: Friday, January 05, 2007 9:49 AM
To: Brinegar, Jennifer L
Cc: Green, Jerry; Greenspan, Richard I
Subject: RE: National Guard Homecoming Party

Importance: High

Jen -

Thanks for this information....I appreciate it. I'm going to forward this to both Rick Greenspan and Jerry Green so they can be in this loop. I agree with your assessment about the problem with probable attendance by prospect age individuals as the event is being held at Edgewood High School in Ellettsville. Rick needs to know for a lot of reasons, and I think we need to have Jerry ask Coach Sampson directly whether or not he plans on attending the event. If he's not planning on going, we likely don't have an issue. If he is, then we need to intervene administratively to make sure that he does not, per the sanctions we're working under. I know this particular cause is near-and-dear to Coach Sampson, but we still need to make sure that we comply with the terms and conditions of the sanction. I'll contact Jerry separately and get an answer here quickly.

Be back to you soon with further guidance, and thanks for bringing this matter to my attention. It's far better for us to be safe rather than sorry in this case.

Tim

From: Brinegar, Jennifer L
Sent: Friday, January 05, 2007 9:41 AM
To: Fitzpatrick, Timothy Martin
Subject: National Guard Homecoming Party
Importance: High

Tim,

As you are aware, Coach Sampson was invited to be a part of the celebration for the returning members of the National Guard from the war in Iraq. BJ or Beth contacted me via phone last week and asked if this was permissible. I said it would be permissible only if they could guarantee that no prospect-aged individuals were in attendance - which I stated would probably be impossible since family members would be in attendance.

Last night, I heard an ad on the radio inviting members of the public to this event at Edgewood High School in Ellettsville and this morning there is a big article on page 3 of the front section in the H-T again inviting the public. The radio ad and the H-T article both listed Sampson as co-chair of this event.

I am not sure if he is planning on attending or just is being listed as the co-chair, but he can not attend any event that is open to the public (per the sanctions imposed by the COI) UNLESS they move the homecoming party to somewhere on IU's campus.

Please let me know how I should proceed.

Thanks,

Jennifer

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

4/9/2008



Go Hoosiers!!!

Brinegar, Jennifer Hooker

From: Brinegar, Jennifer Hooker
Sent: Thursday, March 08, 2007 3:18 PM
To: McElroy, Barbara J
Subject: RE: MN Clinic

Thanks - I think we're good to go. Coach Sampson will just need to be very vigilant that no prospect-aged individuals are in his presence at any point during this clinic or he violates the COI sanctions.

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

Go Hoosiers!!!

-----Original Message-----

From: McElroy, Barbara J
Sent: Thursday, March 08, 2007 2:43 PM
To: Brinegar, Jennifer Hooker
Subject: FW: MN Clinic

-----Original Message-----

From: Dan Ninham [mailto:DNinham@clbs.k12.mn.us]
Sent: Thursday, March 08, 2007 2:46 PM
To: McElroy, Barbara J
Subject: Re: MN Clinic

Please forward to your compliance department:

The demonstrators of the 2007 Panther Hoops Coaching Clinic, Cass Lake, MN, on May 6th will be members of the current/former Bemidji State University Men's Basketball Team. No 9th-12th grade individuals will be allowed to be demonstrators or be allowed to be in the audience of Coach Kelvin Sampson's presentations.

Dan Ninham
Director
PHCC

>>> "McElroy, Barbara J" <bjmcelro@indiana.edu> 03/08/07 1:15 PM >>>

I haven't heard from you lately regarding the clinic and the taping issue.

Secondly, our Compliance office needs confirmation that you will not be using any 9th-12th graders as demonstrators at the clinic.

3/26/2008

Thank you so much.

B.J. McElroy
Assistant to Coach Kelvin Sampson
Indiana University
Men's Basketball
(812)855-2238

MBS

Brinegar, Jennifer Hooker

From: Brinegar, Jennifer Hooker
Sent: Monday, May 07, 2007 5:59 PM
To: Kelvin Sampson; Meyer, Jeffrey Dennis; McCallum Jr, Ray; Senderoff, Robert A.
Cc: Greenspan, Richard I; Fitzpatrick, Timothy Martin; Calhoun, M. Grace; Rickerby, Ian J
Subject: COI Sanctions
Importance: High

Folks,

There has been a discrepancy regarding the end date of the telephone call restrictions. While all of Coach Sampson's restrictions end as of May 25, 2007, the restrictions on the number of calls that IU can make don't end until July 31, 2007. I had inadvertently listed this date as June 30, 2007 on the letter of understanding signed off on by Coach Sampson, Rick and Bruce, as well as the COI report we submitted last August. Unfortunately, no one at IU, the NCAA, or the COI caught my error. I have made sure that the weekly agendas have had the correct end dates for all of the penalties since April 4, 2007.

Here are the relevant sections from the original COI report last May 25, 2006:

- E. The number of permissible calls to prospects on or after June 15 of the prospects' sophomore year in high school through July 31 of the prospects' junior year in high school shall be reduced from one call per month to one call every other month for a period of two years commencing August 1, 2005, and concluding July 31, 2007. Exceptions to this penalty will be permitted in accordance with the provisions of NCAA Bylaws 13.1.3.3.2 (Official Visit Exception), 13.1.3.3.3 (Letter of Intent Signing Date Exception) and 13.1.3.3.4 (Telephone Calls Subsequent to National Letter of Intent Signing or Other Written Commitment). This penalty will result in a minimum reduction of 240 calls over a two-year period of implementing the reduction and was imposed to directly address any recruiting advantage gained by the impermissible telephone calls. (Self-imposed penalty adopted by the committee as its own.)
- F. The institution shall reduce the number of permissible calls to prospects on or after August 1 of their senior year in high school from two calls per week to one call per week for a period of two years commencing August 1, 2005, and concluding July 31, 2007. Exceptions to this penalty will be permitted in accordance with the provisions of NCAA Bylaws 13.1.3.3.2 (Official Visit Exception), 13.1.3.3.3 (Letter of Intent Signing Date Exception) and 13.1.3.3.4 (Telephone Calls Subsequent to National Letter of Intent Signing or Other Written Commitment). This penalty will result in a reduction of from at least 780 calls up to possibly more than 1,600 calls over a period of two years. These numbers are based on a calling period from August to April, which is about 40 weeks, and recruiting 20 prospective student-athletes per year. (Self-imposed penalty adopted by the committee as its own.)
- J. The former head coach was restricted to engaging in off-campus recruiting activities for a maximum of 19 days during a period commencing August 1, 2005, and concluding

5/16/2007

June 30, 2006. Further, this prohibition applies to all off-campus appearances at which prospects may be in attendance including exceptions provided in Bylaw 13.1.9. (Self-imposed penalty adopted by the committee as its own.)

K. The institution retroactively implemented a ban on the former head coach's eligibility for bonus compensation due under the former head coach's contract for the two year period of March 2, 2005, through March 1, 2007. During the same two year period, the former head coach's employment contract shall not be renegotiated, amended or extended and he shall not be eligible for any compensation increase. According to financial figures supplied by the institution, the cost to the former head coach over the two year period will be approximately \$180,000. (Self-imposed by the university.)

L. The former head coach's present institution adopted and transferred penalties E, F, J and K above. It noted that the former head coach had already used 15 of the 19 permissible off-campus recruiting days at Oklahoma and would therefore only be able to be off-campus four days from the date of his hiring through June 30, 2006. The present institution has also required weekly meetings between compliance personnel and the director of basketball operations to monitor men's basketball recruiting activities. While the committee recognizes the significance of the transferred penalties it determined that, because of the number and nature of the violations committed by the former head coach as well as the atmosphere of noncompliance he fostered and encouraged among his assistant coaches, further recruiting restrictions are warranted. Therefore, his current employing institution shall, pursuant to the provisions of NCAA Bylaw 19.5.2.2 (I), show cause why it should not be penalized if it does not prohibit the former head coach, for a period of one year from the date of the release of this report (May 25, 2006 through May 24, 2007), from 1) making any phone calls that relate in any way to recruiting or being present when members of his staff make such calls; and 2) engaging in any off-campus recruiting activities. The prohibition on off-campus recruiting activities shall apply to all off-campus appearances at which prospects may be in attendance including banquets, booster functions and exceptions provided by Bylaw 13.1.9. The present institution shall submit a report to the committee by August 1, 2006, in which it details the institution's monitoring of, and rules education sessions for, the former head coach and his staff. The report should also include documentation of the institution's compliance with all other penalties adopted and transferred to the present institution.

While the COI report did not specifically require a summary report at the end of our penalty period, our original adoption of penalties included this provision:

1. The director of compliance will meet, on a weekly basis, with the head coach or the director of men's basketball operations to review men's basketball recruitment activities and documentation for the previous and upcoming weeks. Furthermore, Indiana University will file a written report with the NCAA not later than August 31, 2007, detailing the implementation and fulfillment of the penalties which were transferred to Coach Kelvin Sampson upon his employment at Indiana University.

So, by the last sentence in L above, there appears to be another discrepancy as to whether we will need to file a second written report (this one to the NCAA) no later than August 31, 2007, since the original COI report asked for a report from IU to the COI by August 1, 2006. I will contact Shep Cooper for clarification.

Blank

Brinegar, Jennifer Hooker

From: Brinegar, Jennifer Hooker
Sent: Thursday, May 10, 2007 9:15 AM
To: McElroy, Barbara J
Subject: RE:

Thanks!

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

Go Hoosiers!!!

From: McElroy, Barbara J
Sent: Thursday, May 10, 2007 7:27 AM
To: Brinegar, Jennifer Hooker
Subject:

Per your phone message, this is an email confirming there will be no high school aged children at the Dick Vital event tomorrow evening, per Coach Sampson.

Also, the Big Brothers/Big Sisters event on the 22nd have confirmed no high school aged children in attendance as well.

B.J. McElroy
B.J. McElroy
Assistant to Coach Kelvin Sampson
Indiana University
Men's Basketball
(812)855-2238

Brinegar, Jennifer Hooker

From: Brinegar, Jennifer Hooker
Sent: Thursday, May 10, 2007 10:42 AM
To: Meyer, Jeffrey Dennis
Cc: Rickerby, Ian J
Subject: Recruiting Person Days
Attachments: DOBO 04.20.07 Supplement.doc

Jeff,

Attached please find the rules education for April 20 – which reviewed the recruiting-person day rule. As it states, the 130 day limit on MBB applies to the time period that is IU's academic year. So, Coach Sampson may go and visit our NLI signees on or after May 25, 2007, without that making us go over our limit of 130 (since that is where we ended).

Thanks for having us check on that for you,

Jennifer

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

Go Hoosiers!!!

DOBO meeting Supplement – (04.20.07)

Counting Recruiting-Person Days Subsequent to National Letter of Intent Signing or Other Written Commitment - Men's Basketball (I)

Date Issued: Mar 28, 2007

Type: Official

Item Ref: 2

Interpretation:

The committee determined that, in men's basketball, off-campus recruiting activities involving a prospective student-athlete who has signed a National Letter of Intent (or signed acceptance of the institution's written offer of admission and/or financial aid) must count toward the institution's recruiting-person days limit.

[References: Bylaws 13.02.7 (recruiting-person days - men's basketball) and 13.1.6.8 (contacts subsequent to National Letter of Intent signing or other written commitment sports other than women's basketball)]

A review of the reminders sent out via email by Ian last Friday since we are still getting questions on this issue:

First, it is permissible for a coach to have off-campus contact with a signed prospect during a quiet period. This assumes that there isn't some other rule standing in the way of the contact (e.g., prospect is participating in competition at the time of the contact). 13.1.6.8 – you may still only visit their school ONCE per week, and no contact DURING a tournament in which they are playing. Contact with signed prospects during the July Dead Period is only OK if they are enrolled in summer school here.

With respect to recruiting person days, ERIC – the NCAA's interpretive body – just issued the interpretation below, which should be appearing on ESPDB soon. The interpretation indicates that off-campus recruiting activities with signed prospects count within the limit of 130 person days per academic year. NCAA Membership Services has indicated that the 130 person days are based on the institution's academic year rather than the prospect's academic year. Outside of the institution's academic year, recruiting activities do not need to count within the 130 recruiting person days even if the prospect's academic year is ongoing. This is different from the prospect-specific limits on evaluations, which is based on the prospect's academic year per the 10/31/98-SI. To me, the last two sentences are a little contradictory and confusing, but the bottom line seems to be that come May 4th (the end of IU's academic year), your recruiting activities do not need to count towards the 130 (which reset on August 1).

Also, contacts with signees that are made during a quiet period during the institution's academic year count against the 130 person days (even though 13.1.8.8-[a] only refers to contact and evaluation periods).

So, Coach Sampson MAY go to see the families of the signed players after May 25th, and as IU is out of regular school at that time, those contacts need not be counted towards the 130 (though still should be recorded with Beth).

If, between now and May 4th, anytime anyone else goes to one of their schools (Eli's or EJ's, etc.) OR visits with their families, it can only be once a week and HAS to count within the 130 days.

McCaw, Carrie

From: Harris, Robin Green
Sent: Thursday, May 01, 2008 10:41 AM
To: McCaw, Carrie
Subject: FW: Men's Basketball Staff Meeting

From: Sampson, Kelvin Dale
Sent: Thursday, October 25, 2007 6:04 PM
To: MAM; Sample, Michael M; Greenspan, Richard I; Calhoun, M. Grace; Frapwell, Dorothy J; Brinegar, Jennifer Hooker; Rickerby, Ian J; Fitzpatrick, Timothy Martin; Frapwell, Dorothy J
Cc: Dakich, Daniel John; McCallum Jr, Ray; Senderoff, Robert A.; Meyer, Jeffrey Dennis
Subject: Men's Basketball Staff Meeting

Minutes of Thursday, October 24, 2007, Men's Basketball Staff Meeting:

Those in attendance: Kelvin Sampson, Ray McCallum, Jeff Meyer, Rob Senderoff, Dan Dakich, BJ McElroy

This meeting was conducted to give explicit instructions to the men's basketball staff on new guidelines Coach Sampson wants adhered to on maintaining and providing accurate telephone records, for the IU Athletic Compliance Staff, effectively immediately until November 30, 2008.

Coach Sampson emphasized the necessity for our staff to police ourselves and that we must be pro-active. Our goal is that day-by-day, month-by-month, year-by-year to be 100% correct. He does not want to repeat mistakes made by the staff this year! He does not want to look at a rule to make it work to our advantage. Let's play the rules of the game right!

Coach Sampson wants all coaches to submit both their home, and cell phone records, for the months of July, August, September, and October no later than November 1, 2007, to Dan Dakich. These, in turn, will be submitted to Ian Rickerby, in Compliance. Once these records have been submitted to Ian, Coach Sampson requests a confirming email, from Ian, that he has received everyone's records dating back to July, 2007. In addition, Coach Sampson requests that Ian email him the first of every month once he has received the records from Dan.

As of today's date, each coach will be supplied with Daily Phone Log Forms of which they will be required to submit each day, no later than 8:30 a.m., to Dan Dakich. Dan, in turn, will give these logs to Beth McLaughlin for her to input into the recruiting database (The Recruiter). Once daily phone logs are collected for the week, Dan will submit them to Ian the following Monday morning for the previous week's calls.

Dan Dakich brought up a point that the staff not depend on their memory in order to keep their call logs. They will each have a daily log record and should keep it by their phones at all times, at home, in office, or on the road. If they find themselves out and do not have a log to record a call, call their voicemail on their office phone and leave themselves a message on the information on the call they initiated. Upon returning to the office, they can then retrieve this

5/1/2008

information from their voice mail and add it to their daily phone log. Each coach is personally responsible for their calls.

Starting on December 1, 2007, each coach will be responsible for submitting copies of their cell and home statements, to Dan Dakich, on a monthly basis.

Coach Sampson indicated he will continue to use his home phone for incoming calls and his cell for outgoing. He said the staff can continue using the phones they have used in the past but whenever a phone is used, home or cell, it must be documented, no exceptions!

There is no need to report incoming calls, only calls placed.

Dan also told the staff, if for some reason an error is made, record it on your sheet, do not omit this entry. This is how we are self policing ourselves.

Coach Sampson made it a point of emphasis that even on calls that do not count, record them.

It was noted that Rob Senderoff voluntarily submitted his phone records to Ian through the month of August. Coach Sampson, Ray McCallum, and Jeff Meyer need to submit theirs by November 1, 2007.

A question was brought up concerning the monthly sheets that compliance asked the coaches to sign, the first of each month, from May, 2006 to May 2007 stating which phones, in their possession, they had used to make calls for the month. It hasn't been made clear if these forms are still to be submitted.

Ray McCallum's phone records, relative to his son's basketball teammates, were brought up. Again, Ray was instructed to be pro-active with this, recording these calls and the nature of them so there is never any question as to their intent.

Coach Sampson was emphatic that we keep a well documented paper trail. He wants everyone to recognize that we, as a staff, are doing everything possible to insure these problems never arise again.

Whenever the staff meets, notes of the meeting will be taken and emailed to the following individuals: President McRobbie, Rick Greenspan, Grace Calhoun, Jennifer Brinegar, Tim Fitzpatrick, Ian Rickerby, Dottie Frapwell and Mike Sample.

Brinegar, Jennifer Hooker

2006

From: Rohleder, Mary Ann
Sent: Thursday, March 30, 2006 3:06 PM
To: Brinegar, Jennifer L; Fitzpatrick, Timothy Martin
Subject: RE: MBB

Jennifer,

Tim would like for you to wait until some time next week to schedule a compliance meeting with the entire MBB staff at the same time. You should probably touch base with Tim again toward the middle of next week and, by that time, he may know more about assistants, etc.

Thanks,

MA

From: Brinegar, Jennifer L
Sent: Thursday, March 30, 2006 12:38 PM
To: Fitzpatrick, Timothy Martin
Cc: Rohleder, Mary Ann
Subject: MBB

Tim,

Would you let me know when would be a good time for me to meet with Coach Sampson to briefly review our compliance philosophy, policies and procedures? This should take approximately 30 minutes and is standard with all new coaching hires (both head and assistant).

Then, when his staff is set, my staff needs to meet with the complete MBB staff to more thoroughly (yet still as brief as possible) review the same (see attached agenda from our last meeting). This meeting usually lasts approximately 60 minutes, but can be more or less depending on how many questions are asked).

If possible, could you also brief me on what has been said to Coach Sampson about IU's compliance policies/philosophy? I would just like to be consistent with the message we send to our coaches.

Thanks,

Jennifer

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

Brinegar, Jennifer Hooker

From: Pope, Christian Dean
Sent: Wednesday, April 05, 2006 9:35 AM
To: McElroy, Barbara J
Cc: Brinegar, Jennifer L
Subject: RE: need asap

BJ,

The Dead period for this week ended yesterday at noon. Next week is dead from Monday the 10th through Thursday the 13th. The only other dead period coming up is in the summer.

July 16-21, 2006, [except for (1) below]: DEAD Period
(1) It is permissible for an institution to have contact with a prospect who is enrolled in the institution's summer term (i.e., summer session or summer bridge program) and has signed a National Letter of Intent or other written commitment to attend the institution.
(Adopted: 4/25/02)

Christian
Go Hoosiers!

From: Brinegar, Jennifer L
Sent: Wednesday, April 05, 2006 9:30 AM
To: Pope, Christian Dean
Subject: FW: need asap
Importance: High

Can you respond to this right away? Thanks!

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

From: McElroy, Barbara J
Sent: Wednesday, April 05, 2006 8:52 AM
To: Brinegar, Jennifer L
Subject: need asap

Jennifer,

Please email me back, pronto, with all of the dead period, evaluation period, dates for April and May.

Thanks,

BJ

B.J. McElroy
Assistant to Coach Kelvin Sampson
Indiana University
Men's Basketball
(812)855-2238

9/26/2007

Brinegar, Jennifer Hooker

From: Pope, Christian Dean
Sent: Friday, April 14, 2006 1:31 PM
To: Sampson, Kelvin Dale; McElroy, Barbara J
Cc: Fitzpatrick, Timothy Martin; Brinegar, Jennifer L
Subject: FW: Comments on Two MBK Events

FYI

Christian
Go Hoosiers!

From: Big Ten - Chad Hawley [mailto:CHawley@bigten.org]
Sent: Friday, April 14, 2006 1:11 PM
To: Compliance Group
Cc: Big Ten - Greg Walter
Subject: Comments on Two MBK Events

- 1) The NJCAA Coaches Association junior-college all-star event at Kilgore College (Kilgore, TX on April 22nd) has been approved by the NJCAA. Consequently, coaches may attend this event.
- 2) There's been some chatter about the All Canada Basketball Weekend at Centennial College (Scarborough, Ontario on April 30th). While the event has been sanctioned by Canada Basketball (comparable to USA Basketball), coaches may not attend this event as Canada Basketball—since it isn't a scholastic entity—doesn't have the authority to "approve/sanction/etc." the event for the purpose of 13.1.8.8. That authority would rest with the Ontario Federation of School Athletic Associations (<http://www.ofsaa.on.ca/site/index.cfm?DSP=Chapter&ID=24>), which to date, has not sanctioned the event. I'll let you know if I hear something different about this event, but for now, it remains off-limits.

Let me know if you have any questions.

Chad

Chad Hawley
Director of Compliance
Big Ten Conference
1500 W. Higgins Rd.
Park Ridge, IL 60068
ph: 847/696-1010, x. 118
fax: 847/696-1150

Brinegar, Jennifer Hooker

*Blind-copied to
entire athletics staff*

From: Brinegar, Jennifer L

Sent: Monday, April 17, 2006 6:22 PM

Subject: Questions of the Week

All three questions of the week deal with recently issued (or recently referenced) NCAA interpretations – please read all the way through! I will keep next week's QOTW short and sweet!

Question #1 (of 2): Is it okay for a coach to receive expenses (e.g., travel, lodging) during a recruiting trip from someone (or some organization) outside the athletics department?

Answer #1: Not according to a recent interp! "[P]ursuant to NCAA Bylaw 13.14.1, all funds for the recruitment of prospective student-athletes shall be deposited directly with the member institution, which shall be exclusively and entirely responsible for the manner in which such funds are expended. Therefore, institutions should note that it is not permissible for any outside organization or individual (e.g., recruiting or scouting service, event operator) to provide institutional coaching staff members with expenses (e.g., travel, lodging) to attend any event for recruiting purposes. Such expenses are considered funds donated for recruiting purposes and NCAA rules require that such funds be deposited directly with the member institution, as opposed to being provided directly to an institutional coaching staff member(s)." [NCAA Educational Column 03/17/06 – emphasis added]

Question #2: I keep getting bombarded with requests for balls, apparel or other items from high schools and clubs that are fundraising for their programs. What, if anything, can I donate?

Answer #2: Bylaw 13.15 is fairly specific in that we can't be involved in fundraising for high schools or other organizations consisting primarily of prospects (although, like every other rule, there are exceptions).

Anyway, the NCAA recently issued this Educational Column regarding donations:

Institutions should note that pursuant to NCAA Division I Bylaw 13.15.1, an institution or a representative of its athletics interests shall not offer, provide or arrange financial assistance, directly or indirectly, to pay (in whole or in part) the costs of a prospective student-athlete's educational or other expenses for any period prior to his or her enrollment or so the prospect can obtain a postgraduate education. This prohibition applies to all prospects, including those who have signed a National Letter of Intent or an institutional offer of admission or financial aid. An institution may not provide funding, directly or through paid advertisements, to benefit a high school athletics program.

It is not permissible for an institution's athletics department, in response to requests from high school (or preparatory school or two-year college) groups, to provide items (e.g., autographed balls, jerseys) to assist in raising money for its programs (athletics or other). If another department of the institution is asked to provide donations to benefit prospects, such activity is permissible only if the donations are not earmarked specifically for an athletics purpose or used only to provide benefits to prospects' athletics programs. An institution may not donate institutional memorabilia (e.g., jerseys, hats, T-shirts) to any organization for the purpose of being auctioned to raise funds to provide financial assistance to high school students to attend collegiate institutions.

An institution (or an institution's coach or representative of its athletics interests) may contribute to a nonathletics organization that includes prospective student-athletes and nonprospects (e.g., YMCA, YWCA, Boys and Girls Clubs), provided the assistance is not earmarked for a particular prospective student-athlete and is offered in conjunction with the organization's regular fund-raising activities.

An institution's athletics department staff member (e.g., coaching staff member) may not provide any financial contributions to a high school (or preparatory school or two-year college) athletics program through participation in a fund-raising event (e.g., paying greens fees to participate in a golf outing when any portion of the greens fees will go to benefit an athletics program). Bylaw 13.15.1 also prohibits an institution from sponsoring a table, providing memorabilia or otherwise providing institutional support in conjunction with a banquet or other function that will directly or indirectly benefit prospective student-athletes (e.g., awards banquet conducted by a sports foundation, high school athletics banquet). Therefore, if a sports foundation provides benefits to prospects (e.g., scholarships, donations to high school athletics programs), it would not be permissible for an institution to provide memorabilia or sponsor a table at any of the foundation's functions. Any type of institutional support in conjunction with such functions would, at a minimum, indirectly benefit prospective student-athletes.

Subject to applicable recruiting calendar restrictions, athletics department staff members are not precluded from

individually purchasing admission to attend events (e.g., athletics banquets or meetings, competitions) where funds from the admission fee ultimately will benefit prospects, provided the primary purpose of the event is not to raise funds for prospects. In addition, an institution's coach may participate in activities to raise funds to be donated to a foundation that will distribute the funds to high school (or preparatory school or two-year college) athletics programs, provided the request to participate in such activities is initiated by the foundation, the coach does not make actual financial contributions to the foundation, and the coach or coach's institution is not involved in selecting the educational institutions that are to receive the financial assistance. [NCAA EC 04/11/06].

Question #3: Is it permissible to provide IU student-athletes with energy or calorie-replacement drinks if we get them free from the manufacturer?

Answer #3: The answer depends on whether the drinks meet the definition of a permissible nutritional supplement as set out in the Educational Column from May 2005 (see below - it is long, but a must-read for coaches, strength coaches, trainers and sport administrators). No one from IU should provide ANY nutritional supplement to an IU student-athlete without first receiving permission from the respective sport administrator AND trainer.

NCAA Division I Educational Column - NCAA Bylaw 16.5.2-(g) - Nutritional Supplements

NCAA Division I institutions should note that NCAA Bylaw 16.5.2-(g) (nutritional supplements) indicates that an institution may provide only nonmuscle-building nutritional supplements to a student-athlete at any time for the purpose of providing additional calories and electrolytes, provided the supplements do not contain any NCAA banned substances. Permissible nonmuscle-building nutritional supplements are identified according to the following classes: Carbohydrate/electrolyte drinks, energy bars, carbohydrate boosters and vitamins and minerals. Pursuant to a July 26, 2000, official interpretation, it is not permissible for an institution to provide any nutritional supplement/ingredients to its student-athletes, unless the supplement/ingredient is a nonmuscle-building supplement and is included in one of the four classes identified specifically in Bylaw 16.5.2-(g). The following information is an updated list of examples of permissible and nonpermissible nutritional supplements/ingredients as developed by the NCAA Competitive Safeguards and Medical Aspects of Sports Committee (CSMAS). The list below is not exhaustive but should assist institutions in determining the types of nutritional supplements/ingredients that may be provided to student-athletes.

Permissible

Nonpermissible

Vitamins and minerals	Amino Acids (including amino acid chelates)
Energy bars	Chondroitin*
Calorie replacement drinks (e.g., Ensure, Boost)	Chrysin
Electrolyte replacement drinks (e.g., Gatorade, Powerade)	CLA (Conjugated Linoleic Acid)
	Creatine/creatine-containing compounds
	Garcinia Cambogia (Hydroxycitric Acid)
	Ginkgo Biloba
	Ginseng
	Glucosamine*
	Glycerol **
	Green Tea
	HMB
	Carnitine
	Melatonin
	MSM (Methylsulfonyl Methane)
	Protein powders

Tribulus Yohimbe

It is permissible for an institution to provide Glucosamine and/or Chondroitin to a student-athlete for medical purposes, provided such substances are provided by a licensed medical doctor to treat a specific, diagnosed medical condition (as opposed to prescribing them for preventive reasons).

** Glycerine or glycerol as a binding ingredient in a supplement product is permissible.

A supplement that contains protein may be classified as a nonmuscle-building supplement provided it is included in one of the four permissible categories, does not contain more than 30 percent of its calories from protein (based solely on the package label) and does not contain additional ingredients that are designed to assist in the muscle-building process (see examples of nonpermissible supplements/ingredients). Nutritional supplements containing more than 30 percent of its calories from protein are classified as muscle-building supplements and may not be provided to student-athletes.

One gram of protein equals four calories. Therefore, the percentage of calories from protein contained in a nutritional supplement may be calculated by multiplying the number of grams of protein per serving by four and dividing the product by the total number of calories per serving. For example, a nutritional supplement that contains 120 total calories per serving and nine grams of protein per serving would contain 36 calories from protein (i.e., 9 grams x 4). Therefore, the percentage of calories from protein would be 0.3 or 30 percent (i.e., 36 calories from protein/120 total calories).

Institutions should note that if a supplement product includes any impermissible ingredient, it is not permissible to provide such a supplement to student-athletes. Further, when considering the product's protein content, institutions should consider the listing of the word "protein" and the number of grams included. If any other parts of a protein are listed separately, as in any amino acid or chain, it would not be permissible for an institution to provide such a supplement to its student-athletes. If the product lists a "proprietary protein" or "protein blend," then this is not protein from a whole food source, but rather a concoction created by the manufacturer, and in most instances includes impermissible supplement ingredients.

Finally, in order to assist the membership in applying the legislation, the following steps are recommended.

In considering whether a supplement product is permissible under the legislation, a member institution should:

- Review the label and ingredients to determine if the product meets one of the permissible classes of supplements (e.g., carbohydrate/electrolyte drinks, energy bars, carbohydrate boosters and vitamins and minerals);
- Review the ingredients for any banned substances (see www.ncaa.org/health-safety);
- Determine whether or not the product contains any of the examples of "impermissible" ingredients referenced earlier; and

- Determine if the product contains more than 30 percent calories from protein.

still unsure whether or not a particular supplement is permissible, the institution should contact NCAA membership services for interpretive assistance and should provide full written product ingredient information. Membership services will act in concert with sports medicine consultants to provide a review of the product and response back to the member institution. Products deemed permissible should be reviewed annually to determine if any reformulation of the product has introduced elements that make it no longer permissible to provide to student-athletes. If an institution has an interest in providing a supplement product being offered by a manufacturer or distributor of the product, it is the institution's responsibility to follow the outlined steps. An institution should not refer the manufacturer or distributor to the NCAA for a product review. [NCAA EC 05/23/06]

Thanks for hanging in there! The answer to last week's QOTW was "d" – For sports other than football, [the time period for unlimited calls under the Letter-of-Intent Signing-Date Exception] starts on the first day (Wednesday) and during the two days immediately following the initial signing date (Thursday and Friday). For football, unlimited calls are permissible during the period 48 hours before and 48 hours after 7 a.m. on the initial signing date for the NLI (Monday at 7 a.m. until Friday 7:00 a.m.). Thanks to those of you who responded – I think all those who did respond got it right!

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

Brinegar, Jennifer Hooker

*Blind-copied to entire
athletics staff*

From: Brinegar, Jennifer L

Sent: Wednesday, April 26, 2006 6:04 PM

Subject: Meeting Reminder, Question of the Week and a follow up to last week's QOTW

Don't forget that next Tuesday is the May Compliance Meeting (10-11 in the Hoosier Room), where we plan to have a review session for the 2006-07 NCAA Coaches Certification Exam (and perhaps a short quiz/contest, if time permits!). We will NOT be conducting individual review sessions, so plan on attending this meeting!!

Question: Is it permissible for a coach to have face-to-face contact with a prospect or his/her parents at either a banquet/meeting at which the coach is speaking (per Bylaw 13.1.9) or at a "college night" the coach is attending with IU Admissions personnel?

Answer: Depends - Per Bylaw 13.02.3, any face-to-face encounter between a prospect or the prospect's parents, relatives, or legal guardian(s) and an institutional staff member or athletics representative in excess of an exchange of a greeting is considered a contact. Further, pursuant to Bylaw 13.1.1.1, in-person, off-campus recruiting contact may only be made with contactable prospects (i.e., July 1 following junior year of high school). Therefore, institutional coaches may only have contact with prospects at activities conducted by admissions offices or other institutional entities (e.g., college night events, team banquets) if the prospect is defined as a contactable prospect. It should be noted that pursuant to Bylaw 13.02.4.1, for sports with legislated recruiting periods, contact may only be made with contactable prospects during a specified contact period. Per Bylaw 13.1.2.2, it remains permissible for institutions' regular admissions program representatives to make off-campus recruiting contacts with prospects provided the contact is directed at all prospective students, including nonathletes.

Here is a follow up to one of last week's questions because it generated quite a bit of discussion.

Question #1 (of 2): Is it okay for a coach to receive expenses (e.g., travel, lodging) during a recruiting trip from someone (or some organization) outside the athletics department?

Answer #1: Not according to a recent interp! "[P]ursuant to NCAA Bylaw 13.14.1, all funds for the recruitment of prospective student-athletes shall be deposited directly with the member institution, which shall be exclusively and entirely responsible for the manner in which such funds are expended. Therefore, institutions should note that it is not permissible for any outside organization or individual (e.g., recruiting or scouting service, event operator) to provide institutional coaching staff members with expenses (e.g., travel, lodging) to attend any event for recruiting purposes. Such expenses are considered funds donated for recruiting purposes and NCAA rules require that such funds be deposited directly with the member institution, as opposed to being provided directly to an institutional coaching staff member(s)." [NCAA Educational Column 03/17/06 - emphasis added]

The idea behind 13.14.1 and the 03/17/06 educational column is that the institution should maintain control over the recruiting process and should not let outside parties that are involved in the recruiting process (e.g., prospects, parents of prospects, high school coaches, AAU event organizers) use money to influence that process.

That doesn't mean that an institution is required to self-report every time a coach stays at a friend's house during a recruiting trip or gets a ride from a friend to attend a prospect's competition. The institution should approve of the arrangement and an individual providing such assistance will trigger booster status (see below), but there is no 13.14.1 concern.

On the other hand, the educational column explains that it is not permissible for an event organizer financing/subsidizing the cost of a block of hotel rooms for the purpose of enticing college coaches to come watch an event. If the event organizer is spending funds from the event budget for the purpose of providing travel expenses to attending college coaches, then that organizer is dictating - or at least influencing - the recruiting process by enticing coaches to come to his/her event rather than another event that is not offering a similar

incentive.

This is the type of regulation that should be interpreted with a degree of common sense based on the specific situation and the intent of the legislation. An event organizer cannot spend money to pay/subsidize the cost of a recruiting coach's hotel room, but that doesn't mean it's a problem if the event organizer calls a local hotel, inquires about the availability of a discounted group rate, and then provides information about that rate to attending coaches. Similarly, I don't see a problem with the event organizer providing reasonable hospitality at the event.

Similarly, the parent of a prospect cannot fly a coach to watch the prospect play (see the 3/22/89 SI below), but we've said that a coach can eat a meal in a prospect's home during a recruiting contact. The lodging piece is in the middle of the continuum between the PSA's parent financing the entire trip (not permissible) and the coach eating home-cooked beef stew at the prospect's house (permissible). The Big Ten is uncomfortable with a blanket statement authorizing coaches to receive lodging from parents of prospects, and even more uncomfortable if such lodging is offered by a PSA's parent as an incentive. So, as in the past, continue to run all offers of lodging by the compliance office, so that we can ensure institutional control over all recruiting activities and expenditures.

Institution's coach traveling with friends to view prospect's competition

Date Issued: Dec 09, 1992

Type: Staff Interpretation

Item Ref: e

Interpretation:

e. Institution's Coach Traveling with Friends to View Prospect's Competition: A friend of an institution's coach may provide transportation (e.g., ride in car) to the coach in conjunction with the coach's recruiting trip to observe a prospect's contest, provided the institution has authorized such activity. The staff confirmed that such an individual would be considered an athletics representative and, therefore, may not attend the contest. [References: 13.9.2 (material benefits); 13.15.1 (institutional control); 13.1.2.5-(b) (observing prospect's contest)]

Booster providing services for coaches on recruiting trips

Date Issued: Dec 30, 1988

Type: Staff Interpretation

Item Ref: a

Interpretation:

a. Booster Providing Services for Coaches on Recruiting Trips: Determined that the provisions of Bylaw 1-5-(c) [outside organizations, groups or individuals shall not utilize, administer or expend funds for recruiting prospective student-athletes] would not preclude a booster from providing free services (e.g., lodging accommodations, use of car) to a member institution's coach on a recruiting trip, provided the institution has knowledge and approves of such arrangements; further, it would be permissible for the coach to entertain (e.g., dinner) the booster in exchange for these services, provided such expenses are properly documented and approved.

Use of recruiting funds

Date Issued: Mar 22, 1989

Type: Staff Interpretation

Item Ref: e

Interpretation:

Confirmed that a parent of a prospective student-athlete could not provide an airline ticket to a member institution's coach for purposes of traveling to scout the parents' son or daughter, inasmuch as Bylaw 13.14.1 (institutional control of recruiting funds) stipulates that all funds for the recruiting of prospects shall be deposited with the member institution, which shall be exclusively and entirely responsible for the manner in which the funds are expended.

TMI – I know, I know. Thanks for hanging in there!

Jennifer Brinegar

Assistant Athletics Director - Compliance

2/20/2008



Indiana University
812-855-0451



NBB

Brinegar, Jennifer L

From: Pope, Christian Dean
 Sent: Tuesday, May 02, 2006 2:27 PM
 To: Pope, Christian Dean; Crabb, Charles K; McElroy, Barbara J; Fitzpatrick, Timothy Martin; Greenspan, Richard I; Meyer, Jeffrey Dennis; McCallum Jr, Ray; Sampson, Kelvin Dale; Garl, Tim C
 Cc: Brinegar, Jennifer L; Fitzpatrick, Timothy Martin
 Subject: RE: Follow Up

Just a point of clarification, the event is titled the "Adidas May Classic." Thanks.

Christian
Go Hoosiers!

From: Pope, Christian Dean
 Sent: Tuesday, May 02, 2006 12:26 PM
 To: Crabb, Charles K; Barbara JMcElroy (bjmcelro); Fitzpatrick, Timothy Martin (tmfitzpa@indiana.edu); Greenspan, Richard I (rgreensp@indiana.edu); Jeff Meyer; Ray McCallum; Sampson, Kelvin Dale; Tim CGarl (tgarl)
 Cc: Brinegar, Jennifer L; Fitzpatrick, Timothy Martin
 Subject: FW: Follow Up

Coach,

Please be certain that neither contact nor evaluations take place at this event even though the event is on campus as we are in a quiet period from May 12-14. The event organizer must pay the rental fee which is done through Chuck – and no collegiate (Division I, at least) coaches may be in attendance. Any contact would be impermissible and would need to be reported as a violation. Additionally please see the attached for your information.

Thanks to Greg Walter for the assistance in pulling the legislation together for this correspondence and thanks to you for your attention to this matter. Please do not hesitate with any questions or concerns.

Christian
Go Hoosiers!

On-Campus Evaluations Outside Contact or Evaluation Period (I/II)

Date Issued: Oct 22, 2003 Type: Staff Interpretation Item Ref: a
Interpretation: In sports that have legislated recruiting periods, the membership services staff confirmed that it is not permissible for a coaching staff member to evaluate a prospect participating on the institution's campus in any sport outside a contact or evaluation period. [References: NCAA Division I Bylaw 13.02.6 (evaluation), Division II Bylaw 13.02.5 (evaluation) and 06/08/99 official interpretation, Item No. 1, which has been archived]

13.11:3.3 Activities Not Involving Institution's Staff

The use of a member institution's facilities for physical activities by a group that includes prospects shall not be considered a tryout, provided the institution's athletics department staff members or representatives of its athletics interests are not involved in the conduct, promotion or administration of the activity (other than activities incidental to supervising the use of the facilities) and are subject to all applicable NCAA

recruiting legislation.

3.4.4.1 Recruiting Advertisements

The publication of advertising or promotional material, by or on behalf of a member institution, designed to solicit the enrollment of a prospective student-athlete is not permitted, except as provided in Bylaw 13.4.4.1.1. Accordingly, **a member institution may not buy or arrange to have space in game programs or other printed materials published to provide information concerning the athletics participation or evaluation of prospects (e.g., recruiting publications) for any purpose whatsoever, including advertisements, a listing of prospective or enrolled student-athletes who will attend the institution and informative materials related to the institution.** Violations of this bylaw shall be considered institutional violations per Constitution 2.8.1; however, they shall not affect the prospective student-athlete's eligibility. (Revised: 4/28/05, effective 8/1/05)

High-school game program that includes host member institution's welcome letter

Date Issued: Nov 15, 1991 **Type:** Staff Interpretation **Item Ref:** b
Interpretation: b. High-School Game Program That Includes Host Member Institution's Welcome Letter: Reviewed Bylaw 13.4.3.1 (recruiting advertisements) and determined that **a member institution would be permitted to incorporate a welcome letter in a high-school game program, provided the member institution is hosting the event,** the welcome letter is generic in nature and does not include information designed to solicit the enrollment of prospective student-athletes.

Advertising of Collegiate Contests Held in Conjunction with High-School Contests

Date Issued: May 17, 1995 **Type:** Staff Interpretation **Item Ref:** b
Interpretation: b. Advertising of Collegiate Contests Held in Conjunction With High-School Contests: The legislative services staff determined that when an institution permits competition between high school, preparatory school or two-year colleges to be conducted in conjunction with a collegiate event pursuant to 13.12.1.3, the institution may advertise the event, as well as the names of the participating teams, through those media outlets normally used by the institution. The institution may not publicize the appearance of any specific prospects nor may it use individuals or team photographs of participating teams involving prospects in any advertising activities. [References: Bylaws 13.11.3 (prospect's visit) and 13.12.1.3 (competition in conjunction with a high-school prep school or two-year college)]

Brinegar, Jennifer Hooker

From: Brinegar, Jennifer L
Sent: Thursday, May 11, 2006 3:03 PM
To: Meyer, Jeffrey Dennis
Cc: Pope, Christian Dean
Subject: FW: Two Questions

See below – the answer is exactly what we read (no exceptions or interpretations on this particular issue, so far). You may have contact with an AAU coach this weekend, but just remember that you may not have any contact (even incidental contact if you've put yourself in a position where the incidental contact is inevitable) with any prospect competing in the tournament on the days the tournament is being run. So, you could invite the coach (but tell him not to bring any prospects or parents with him) to come up to your office or you could call the AAU coach on the phone.

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

From: Big Ten - Greg Walter [mailto:gwalter@bigten.org]
Sent: Thursday, May 11, 2006 12:45 PM
To: Brinegar, Jennifer L
Cc: Big Ten - Chad Hawley
Subject: RE: Two Questions

JB:

1. Generally, yes... the only exception would be if the AAU coach is involved with a summer certified event (during the July evaluation period) or -- starting 8/1/06 -- with a nonscholastic event during the April contact period. See 13.1.7.2.2.

From: Brinegar, Jennifer L [mailto:jhooker@indiana.edu]
Sent: Thursday, May 11, 2006 11:18 AM
To: Big Ten - Greg Walter
Subject: Two Questions

Hi Greg,

I hope you're doing well. I'm looking forward to San Diego – will you be there?

I have two hopefully relatively easy questions:

1. Can a member of our MBB coaching staff have contact (face-to-face &/or via telephone) with an AAU coach while they are here in town this weekend for the adidas tournament (obviously not during the time they are coaching their team, but what if they drop by the basketball office or a coach sees them in town)?

Thanks,
Jennifer

1/28/2008

Jennifer Brinegar
Assistant Athletics Director - Compliance
diana University
812-855-0451

Brinegar, Jennifer Hooker

From: Brinegar, Jennifer L
Sent: Tuesday, May 23, 2006 5:05 PM
To: Senderoff, Robert A.; McCallum Jr, Ray
Cc: Pope, Christian Dean
Subject: RE: Question @ seeing [REDACTED]

Rob,

Bylaw 13.1.6.8 governs contacts after a prospect has signed a National Letter of Intent. Since [REDACTED] is one of our NLI signees, then Ray (or any other IU MBB coach) could visit him at his house (NOT at his JC/educational institution), as long as it wasn't during a dead period or on a day he was competing or involved in a multi-day tournament. See below.

13.1.6.8 Contacts Subsequent to National Letter of Intent Signing or Other Written Commitment -- Sports Other Than Women's Basketball

In sports other than women's basketball, subsequent to the calendar day on which a prospective student-athlete signs a National Letter of Intent, there shall be no limit on the number of contacts by the institution with which the prospective student-athlete has signed. For an institution not utilizing the National Letter of Intent in a particular sport, there shall be no limit on the number of contacts with the prospective student-athlete, the prospective student-athlete's relatives or legal guardian(s) by that institution subsequent to the calendar day of the prospective student-athlete's signed acceptance of the institution's written offer of admission and/or financial aid. ***However, the following conditions continue to apply.*** (Revised: 1/16/93, 1/11/94, 4/28/05 effective 8/1/05, 4/27/06)

- (a) Any contact at the prospective student-athlete's educational institution in football and men's basketball shall be confined to the permissible contact period and shall not exceed one visit per week;
- (b) No in-person, on- or off-campus contact may be made during a "dead period;" (Revised: 1/10/92)
- (c) No on- or off-campus contact (including correspondence and telephone calls) may be made by a representative of the institution's athletics interests except those involving permissible pre-enrollment activities (e.g., a discussion of summer employment arrangements); and
- (d) Contact at the site of a prospective student-athlete's competition shall continue to be governed by the provisions of Bylaw 13.1.7.2. Note: contact with the prospective student-athlete's relatives or legal guardians at the site of the prospective student-athlete's competition shall be permitted. (Revised: 1/10/92)

Thanks for checking!

Jennifer

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

From: Senderoff, Robert A.
Sent: Tuesday, May 23, 2006 4:31 PM
To: Brinegar, Jennifer L
Subject: Question @ seeing [REDACTED]

Jennifer-

Ray would like to go see [REDACTED] about his academics and he said that he did not think he was allowed to do it because it is not a contact period. I do know that NC State was with Larry Davis in his home last night and has gone to see him twice since he was given his conditional release. Larry visited IU last week which is how I know this information. I don't see there being a difference between NC State going to see a signed recruit and us going to see [REDACTED]. Could you please check into whether or not Ray could go see [REDACTED], because we really need to make sure that he is doing everything that he needs to do academically. Ray was hoping to go down there on Thursday. Thanks for your help.

Rob Senderoff
Indiana University
Men's Basketball, Assistant Coach
O# 812-855-2238

*Blind-copied to all coaches***Brinegar, Jennifer Hooker****From:** Brinegar, Jennifer L**Sent:** Tuesday, May 30, 2006 11:37 AM**Subject:** QOTW, June Compliance Meeting reminder and BSC Transfer Release & Permission to Speak**Question:** What are the rules regarding tryouts with a professional team after enrollment?

Answer: Pursuant to NCAA Division I Bylaw 12.2.1.2 (tryout after enrollment), it is not permissible for an enrolled (full-time) student-athlete with remaining eligibility to participate in a professional tryout (or permit a professional athletics team to conduct medical examinations) during the academic year, regardless of the location of the tryout. As set forth in NCAA Division I Bylaw 12.2.1.4 (professional team representative at college practice), a professional representative can evaluate an enrolled student-athlete participating in an institution's regular practice session, a physical education class, or out-of-season conditioning or skill instruction workouts during the academic year, provided the activities observed are a normal part of, and take place during, a regular practice session, class or conditioning session.

It is permissible for a professional team to conduct a tryout with an enrolled student-athlete on the institution's campus during the summer vacation period, provided the student-athlete does not receive any expenses (e.g., travel, room or board) in conjunction with the tryout. In addition, it would be permissible for the institution to reserve a facility for the student-athlete to participate in the summer tryout; however, NCAA Bylaw 17 restrictions would prohibit coaching staff members from being present at such tryout sessions. It would be permissible for a student-athlete's family members to be present during the tryout as well as other individuals associated with the student-athlete, as long as those individuals are not agents or acting on behalf of agents. [NCAA Ed. Col. 05-11-06]

Furthermore, a student-athlete may try out with a professional organization in a sport in the summer or during the academic year while not a full-time student, provided the student-athlete does not receive any form of expenses or other compensation from the professional organization. A student-athlete using the pre-draft basketball camp exception set forth in Bylaw 12.2.4.2.1 may participate in a pre-draft camp sponsored by a professional sports organization (during the summer or academic year), provided the student-athlete has received written permission from the institution's director of athletics. If written permission is received, a basketball student-athlete may accept actual and necessary travel, and room and board expenses from a professional sports organization to attend that organization's pre-draft basketball camp.

Finally, a single scouting bureau recognized by a professional league is permitted to conduct one medical examination per student-athlete during the academic year without jeopardizing the student-athlete's eligibility in that sport, provided the examination does not occur off campus. [12.1.1.4.9, 12.2.1.2, 12.2.1.2.1, 14.7.3.2-(e), and 16.10.1.9]

Next week we are having our last monthly compliance meeting for the 05-06 year: June Compliance Meeting on Tuesday, June 6 from 9-10:00 a.m. Now that training table is over for the summer, I would like to start this meeting at 9:00 a.m., unless there is an overwhelming desire to keep it at 10 (I would like to attend my son's "graduation" ceremony at Binford even though I oppose this concept for anything less than HS graduation). For the first part of the meeting, we will go over new legislation as well as a few compliance office reminders (approximately 30-45 minutes). Football and basketball may leave prior to the start of the remainder of the session, which will cover rules education regarding local sports clubs (approximately 10-15 minutes). Remember, all coaches are expected to attend the monthly compliance meetings - if you are on the road recruiting, in camp, teaching, or on vacation, please try to have at least one member from your coaching/administrative staff present to receive the information. We will also send out a memo to all those who don't attend. Coaches are responsible for knowing and abiding by all NCAA and Big Ten rules - these monthly meetings serve to assist you in your efforts!

See below for a blanket release on all of Birmingham Southern's student-athletes due to its reclassification from D-I to D-III. If you do end up contacting any of BS's student-athletes, please be sure to start a log or enter the information in your recruiting database and keep a copy of this email in the file.

Thanks!

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

3/31/2008

From: Big Ten - Greg Walter [mailto:gwalter@bigten.org]
Sent: Tuesday, May 30, 2006 10:42 AM
To: Compliance Coordinators
Cc: Big Ten - Chad Hawley
Subject: FW: [CCACA] BSC Transfer Release & Permission to Speak

Information regarding Birmingham Southern's reclassification from D-I to D-III and the accompanying blanket release...

From: CCACA-talk@lists.pac-10.org [mailto:CCACA-talk@lists.pac-10.org] **On Behalf Of** Dawn Turner
Sent: Tuesday, May 30, 2006 9:01 AM
To: CCACA-talk@lists.pac-10.org; Wayne Bridgeman; John Secord (TAMU-CC); Robbie Davis (RU); Scott McDonald (WU); 'Rosemary Shea (HC)'; 'Brad Woody (UNCA)'; 'Bruce Gregory (CCU)'; 'Cliff Wade (VMI)'; 'Leslie Claybrook (BSC)'; 'Meredith Hollyfield (LU)'; 'Mike Hammond (CSU)'; 'Mike Tuttle (HPU)'
Subject: [CCACA] BSC Transfer Release & Permission to Speak

Everyone:

At this point, I am sure most of you have heard about Birmingham-Southern's decision to transition to Division III. They are issuing blanket releases for their student-athletes. If you have an institution who would like to request a hard copy of the release, please contact Leslie.

Thanks!
awn

To whom it may concern:

Please be advised that Birmingham-Southern College has decided to reclassify its athletic program from NCAA Division I to NCAA Division III. As such, our student-athletes have immediate permission to speak with other institutions about transferring. Also, because of the reclassification, student-athletes' are immediately eligible (14.5.5.2.6 Discontinued/Nonsponsored Sport Exception).

If you have any additional questions, please feel free to contact me via email at lclaybro@bsc.edu or via telephone at 205-226-7732.

Thanks!

Leslie

GO PANTHERS!

Leslie Claybrook
Associate Athletic Director
Birmingham-Southern College
205-226-7732

Brinegar, Jennifer Hooker

From: Brinegar, Jennifer L
Sent: Friday, June 09, 2006 5:14 PM
To: Green, Jerry
Cc: Pope, Christian Dean; Greenspan, Richard I
Subject: RE: Ncaa Rulings

Jerry,

Sorry for the delay. I opened up my emails from today and yesterday top to bottom, which unfortunately meant the first ones sent were the last I opened.

I have not heard back from the committee on infractions (COI) regarding our laundry list of clarifications submitted May 31st.

The COI report was pretty straightforward and indicated that Coach Sampson needed to err on the side of caution as any actions that violate the restrictions would be at his own risk. I believe it is prudent for Coach Sampson to be very conservative in his approach to responding to requests for speaking engagements. Until we are otherwise notified, if there is any chance a prospect could be present, he should not attend a speaking engagement. At this point, if we push too hard and end up crossing the line, we open ourselves up to additional criticism and comment regarding the Oklahoma major infractions case (as well as potentially having the COI extend the penalty and probation period).

Our stance has been and should continue to be that we are taking the high road, accepting responsibility for our past actions, and going forward we will comply with all NCAA and Big Ten rules. No excuses. Eventually, this should go away unless we happen to have secondary violations, including any involving the restrictions set forth in the COI, which could potentially set off another explosion that none of us want to deal with.

Please know that the compliance office is only trying to protect Coach Sampson, the IU MBB program and the institution. We did not assess the penalties, but it is our responsibility to ensure compliance with the penalties and all other NCAA rules.

As soon as I hear back from the COI, I will definitely forward the information on to Coach Sampson, Rick, you, the MBB staff, and Christian, so that we are all operating on the same page.

Have a great weekend and don't hesitate to call me or Christian on our cell phones at any time should you have an urgent question.

Take care,

Jennifer

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

From: Green, Jerry
Sent: Thursday, June 08, 2006 8:38 AM
To: Brinegar, Jennifer L
Subject: Ncaa Rulings

Jennifer, have you received any information from our meetings about Coach

9/27/2007

Sampsons restrictions from the NCAA ? I remember us making a list to check on but have heard nothing in return. Let me know because he does have a schedule. I check with Christian but he has not been informed of any final interps.

Best, Jerry

Jerry Green
Director of Basketball Operations
Indiana University
812-856-0177

9/27/2007

Brinegar, Jennifer Hooker

Blindcopied to

entire athletics staff.

From: Brinegar, Jennifer L

Sent: Wednesday, June 14, 2006 6:15 PM

Subject: QOTW

Question: During the summer, Halle Berry, a member of the women's basketball coaching staff at Hollywood U. always works out in the weightroom from 12:00 - 1:00 p.m. Is it permissible for Coach Berry to remain in the weightroom if a student-athlete from that team comes to the weightroom to participate in a non-mandatory workout at 12:30 p.m.?

Answer: No, it is not permissible. Countable coaches may not be in the weight room with/while SAs are working out. Their presence alone would deem the activity to be countable (we are not allowed to require countable athletically related activities in the summer). Per an email we just received from the Big Ten, the same thing goes with pick-up games—countable coaches may not be there – for ANY reason.

This also applies to camps – if your student-athletes play a pick up game that the campers attend and watch, then coaches should not be present (also, if it is scheduled for the campers to attend, it would then be an impermissible “arranged” practice for your student-athletes as opposed to a nonmandatory pickup game).

Reminder Regarding Off-Campus Contact with Coaches of PSAs During Quiet Period – It is not permissible to engage in off-campus recruiting activities during a quiet period. Consequently, if a coach (of a sport in a quiet period) happens to have contact with the coach of a PSA (e.g., H.S., J.C., or AAU coach), it must be for a legitimate reason unrelated to recruiting (e.g., speaking at a coaches clinic). In the event that off-campus contact does occur, it would not be permissible for the coach to engage in any dialogue related to any PSA(s), as such would be an evaluation per 13.02.6 and thus impermissible.

FYI – the above information was distributed to all Big Ten compliance coordinators in a friendly email reminder yesterday.

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

2/19/2008

Message

Brinegar, Jennifer Hooker

From: Pope, Christian Dean
Sent: Friday, June 23, 2006 2:59 PM
To: Senderoff, Robert A.
Cc: Brinegar, Jennifer L.
Subject: RE: hiring

Coach,

Bylaw 11.7.1.1.1 speaks specifically to your question. Please see the attached on page 60 of your 2005-2006 NCAA Manual. The specifics for staff not counted in the limitations of Bylaw 11.7 (director of basketball operations, video coordinator, and administrative assistant) are as follows:

1. They may not be identified as coaches.
2. They may not engage in any coaching activities. (Attending meetings involving coaching activities, analyzing videotape or film of IU or opponent teams)
3. They may not be involved in any way in the recruiting process.
4. They may be involved in any way with the scouting of opponents.
5. They may participate with or watch student-athletes who are participating in nonorganized or voluntary athletically related activities. (Pick up games)

11.7.1.1.1.1 Noncoaching Activities Effective Date: Aug 01, 2006

Institutional staff members involved in noncoaching activities (e.g., administrative assistants, academic counselors) do not count in the institution's coaching limitations, provided such individuals are not identified as coaches, do not engage in any on- or off-field coaching activities (e.g., attending meetings involving coaching activities, analyzing videotape or film involving the institution's or an opponent's team), and are not involved in any off-campus recruitment of prospective student-athletes or scouting of opponents. A noncoaching staff member with sport-specific responsibilities may not participate with or observe student-athletes in the staff member's sport who are engaged in nonorganized voluntary athletically related activities (e.g., pick-up games).

Christian
Director of Compliance
Indiana University
812-856-6074
Go Hoosiers!

From: Senderoff, Robert A.
Sent: Thursday, June 22, 2006 5:41 PM
To: Pope, Christian Dean
Subject: hiring

Christian-

Can a coaching staff have a Director of Ops, a Video Coordinator and a Administrative assistant? Is there a rule on that?

I need to know this...thanks....also, did you get a final ruling on coaches coming to IU to visit with Coach Sampson yet. Thanks.

-Rob

Brinegar, Jennifer Hooker

From: Brinegar, Jennifer L
Sent: Tuesday, June 27, 2006 2:18 PM
To: Senderoff, Robert A.
Subject: Handout
Attachments: Thank you for attending the Kelvin Sampson Basketball Camp Educational Handout.doc

If you do change any of the text (i.e., opening and/or closing), please let me review it before it goes out. Thanks.

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

Thank you for attending the Kelvin Sampson Basketball Camp the week of June 11-15. Due to an administrative oversight, we forgot to include the NCAA-required educational session. Therefore, please review the following information from our compliance office and feel free to share it with your parents or legal guardian as it is important for you to know and understand the following NCAA rules.

Initial eligibility - All high-school athletes wishing to compete in college must register with the Initial-Eligibility Clearinghouse. Information about the Clearinghouse can be found in the Guide for the College-Bound Student-Athlete. The NCAA national office does not handle initial-eligibility certifications. Please do not contact the NCAA national office with inquiries regarding an individual's initial eligibility status, including whether transcripts, student release forms, etc., were received or about when you will be cleared. The Clearinghouse maintains and processes all of the initial-eligibility certifications. Beginning fall 2006, the NCAA Amateurism Certification Clearinghouse will be the processing center for determining the amateurism eligibility of domestic and international freshman and transfer prospective student-athletes for initial athletics participation at an NCAA Division I member institution.

For the class of 2007:

If you plan to enter college in 2007, you must have 14 core courses to be eligible to practice, play and receive financial aid at a Division I school.

For the class of 2008 and after:

If you plan to enter college in 2008 or after, you will need to present 16 core courses in the following breakdown:

- 4 years of English
- 3 years of mathematics (Algebra I or higher)
- 2 years of natural/physical science (one must be a lab science)
- 1 year of additional English, math or science
- 2 years of social studies
- 4 years of additional core courses (from any area listed above, or from foreign language, non-doctrinal religion or philosophy)

Computer science courses will no longer be able to be used for initial-eligibility purposes. This rule is effective August 1, 2005, for students first entering a collegiate institution on or after August 1, 2005. Computer science courses (such as programming) that are taught through the mathematics or natural/physical science departments and receive either math or science credit and are on the high school's list of approved core courses as math or science may be used after the August 1, 2005, date.

To be declared a qualifier by the initial eligibility clearinghouse, a student-athlete must have graduated from high school and received a minimum cumulative grade-point average as specified in Bylaw 14.3.1.1.1 (based on a maximum 4.000) in a successfully completed core curriculum of at least 14 (16 for 2008 grads) academic courses set forth above AND a minimum combined score on the SAT verbal and math sections or a minimum sum score on

the ACT as specified in Bylaw 14.3.1.1.1. The required SAT or ACT score must be achieved under national testing conditions on a national testing date and must be reported by the testing agency to the NCAA Clearinghouse (student can designate on his test that his results be sent to the Clearinghouse by typing in "9999" under the section allowing a certain number of institutions to receive the results).

The NCAA uses a sliding scale to determine qualifier status, while there isn't enough room here to include the whole chart, here are some basic guidelines:

Core GPA	SAT (verbal and math)	Sum ACT
3.550 & above	400	37
3.000	620	52
2.500	820	68
2.000	1010	86

A 2.000 is the lowest GPA accepted by the Clearinghouse no matter how high your test score. Also, you must have at least a 400 on the SAT or a 37 sum on the ACT. If either your GPA and/or your test score are not on the sliding scale (or you have not graduated from high school), you will be declared a nonqualifier and will be ineligible to practice, compete or receive athletically-related financial aid during your first academic year in residence at a Division I institution.

Gambling – The NCAA opposes all forms of legal and illegal sports wagering on college sports.

- Sports wagering has become a serious problem that threatens the well-being of the student-athlete and the integrity of college sports.
- The explosive growth of gambling has caused a noticeable increase in the number of sports wagering-related cases processed by the Association.
- The Internet has made it easier than ever for student-athletes to place bets, providing easy access, virtual anonymity, and essentially no supervision.
- Student-athletes are viewed by organized crime and organized gambling as easy marks.
- When student-athletes gamble, they break the law and jeopardize their eligibility.
- When student-athletes become indebted to bookies and can't pay off their debts, alternative methods of payment are introduced that threaten the well-being of the student-athlete or undermine an athletic contest - such as point-shaving.

The NCAA membership has adopted specific rules prohibiting student-athletes, athletics department staff members, and conference office staff from engaging in sports wagering (Bylaw 10.3). Sports competition should be appreciated for the inherent benefits related to participation of student-athletes, coaches, and institutions in fair contests, not the amount of money wagered on the outcome of the competition. A student-athlete who is involved in

sports wagering on the student-athlete's institution shall permanently lose all remaining regular-season and postseason eligibility in all sports. A student-athlete who is involved in any sports wagering activity that involves intercollegiate athletics or professional athletics, through a bookmaker, a parlay card or any other method employed by organized gambling, shall be ineligible for all regular-season and postseason competition for a minimum of one year.

The ban on gambling also includes participation in March Madness office, neighborhood, and dorm floor pools, fantasy leagues, and betting on any professional sport if it's a sport that the NCAA also sponsors (bowling, baseball, Superbowl, Wimbledon, World Cup, etc.), where there is an entry fee and a possible reward (risk + reward = violation).

Agents – The NCAA supports the Uniform Athlete Agents Act (UAAA) and its adoption in every state. There can be significant damage resulting from the impermissible and oftentimes illegal practices of some athlete agents. Violations of NCAA agent legislation impact the eligibility of student-athletes for further participation in NCAA competition. Violations also may result in harsh penalties on the team and the university (including the imposition of NCAA sanctions that have resulted in the repayment of monies received from NCAA championship competition, forfeiture of contests and other penalties). The UAAA is an important tool in regulating the activities of athlete agents and protecting NCAA student-athletes and member institutions. The NCAA's Web site (ncaa.org) is a great resource to learn all the applicable rules regarding agents and amateurism, but the bottom line is that you would jeopardize your collegiate eligibility if you entered into a written or verbal agreement with an agent to represent you either now or in the future. Further, you may not receive any extra benefit from an agent. So, while you can certainly talk to an agent or agency about what that person or company can do for you after your college eligibility has expired, do not let the agent/agency buy ANYTHING for you or a family member, not even a lunch at McDonald's or a cup of coffee at Starbuck's!

Banned Drugs/Drug Testing - The NCAA Drug-Testing Program was created to protect the health and safety of student-athletes and to ensure that no one participant might have an artificially induced advantage or be pressured to use chemical substances. The NCAA does assess penalties for positive drug tests. For example, the first time a student-athlete tests positive for any substance on the banned drug list, he or she is immediately ineligible for one calendar year and loses a year of eligibility. The NCAA holds the student-athlete responsible for knowing what is on the most current list of banned drugs. Failure to know the rules or what is on the list is no excuse.

For additional information concerning the NCAA's drug-testing program visit the National Center for Drug-Free Sport Web site (<http://www.drugfreesport.com/index.asp>).

Note: Nutritional supplements are not strictly regulated and may contain substances banned by the NCAA. For questions regarding nutritional supplements, contact the Dietary Supplement Resource Exchange Center (REC) at (<http://www.drugfreesport.com/rec/>).

This handout only touches on the rules regarding initial eligibility, gambling, agents and drugs. For further information, please refer to the NCAA Web site (ncaa.org). Thanks again for attending the Kelvin Sampson Basketball Camp and good luck in your athletic and academic preparations for college!

MBS

Brinegar, Jennifer L

From: Pope, Christian Dean
Sent: Thursday, June 29, 2006 9:23 AM
To: Senderoff, Robert A.; McCallum Jr, Ray; Meyer, Jeffrey Dennis
Cc: Brinegar, Jennifer L; Sampson, Kelvin Dale
Subject: RE: July schedule

Coach,

According to your schedule, you are tentatively set up to visit Montverde Academy Open gym on July 11, 2006. According to Bylaw 13.1.8.8 a-4, [Summer Evaluation Period. During the summer evaluation period, a member of an institution's basketball coaching staff may attend noninstitutional nonorganized events (e.g., pick-up games), institutional basketball camps per Bylaw 13.12.1.1 and noninstitutional organized events (e.g., camps, leagues, tournaments and festivals) that are certified per Bylaw 30.16] you may attend this if it is truly an open gym but know that Montverde must satisfy the criteria below.

1. Montverde cannot set up this "open gym" just for the sole purpose of allowing coaches to attend and watch his players on this singular occasion. This would constitute an impermissible tryout as the "open gym" must be a regularly scheduled scholastic activity. Please see the underlined segment of the interpretation for a clarification as to what the NCAA deems to be regularly scheduled.

NCAA Division I Hot Topic No. 10 -- Division I Men's Basketball - Evaluations- Regular Scholastic Activity

Date Issued: Sep 02, 2005 **Type:** Ed. Column **Item Ref:** 1

Interpretation: In Division I men's basketball, during the fall contact period, live evaluations shall be limited to regularly scheduled high-school, preparatory and two-year college contests/tournaments, practices and regular scholastic activities involving prospective student-athletes only at that institution. During the April contact period, live evaluations are limited to events approved by the appropriate state or national scholastic athletics organizations and the observation of regularly scheduled high-school, preparatory and two-year college contests/tournaments, practices and regular scholastic activities involving prospective student-athletes only at that institution. During the fall contact period, evaluations do not have to occur in conjunction with a contact. During the April contact period, evaluations at a prospect's educational institution [other than observing a nonscholastic event approved by the appropriate state or national scholastic athletics organization] may be made only in conjunction with a contact. For purposes of applying the legislation, a regular scholastic activity is any activity involving only students enrolled at that high-school, preparatory school or two-year college that has been approved by the appropriate authority at that scholastic institution. An activity that is organized for the purpose of allowing a college coach or a college coaches to observe prospects demonstrating their athletics skills is not considered a regular scholastic activity, but would constitute an impermissible tryout.

EXAMPLES

During the fall or April contact period, it is permissible for a Division I men's basketball coach to visit a high-school, preparatory school or two-year college to observe prospects participating in a regularly scheduled physical education class occurring during the regular academic day involving students from that high school.

During the fall or April contact period, it is permissible for a Division I coach to observe prospects from that high-school, preparatory school or two-year college participating in an athletics related activity outside the regular academic day, provided the activity has been approved by the appropriate high school authority. Thus, if the high school basketball team regularly plays pick up games from 3-5 pm three times a week in their gym and the use of the gym has been approved by the appropriate high school authority, such an activity would be considered a regular scholastic activity. Such activity may not involve individuals other than students who attend that school.

2006 Hot Topic #2 -- Men's and Women's Basketball Recruiting Calendars -- Evaluations at Regular Scholastic Activities -- International Prospective Student-Athletes (I)

Date Issued: Apr 11, 2006 **Type:** Ed. Column **Item Ref:** 1

Interpretation: NCAA Division I institutions should note that in men's basketball, NCAA Division I Bylaw 13.1.8.8-(a)-(3) defines the evaluation activities that may be conducted during the April contact period. Specifically, evaluations at nonscholastic events during the April contact period are limited to events that are approved, sanctioned, sponsored or conducted by the applicable state high school, preparatory school or two-year college association, National Federation of High School Associations or the National Junior College Athletic Association. Coaching staff members may only attend such an event on Saturday and/or Sunday, and not on any weekend during which the PSAT, SAT, PLAN or ACT national standardized tests are administered, regardless of where the event occurs. If an approved nonscholastic event occurs at a high school, preparatory school or two-year college, it is not necessary to have a contact with a prospective student-athlete who attends the educational institution.

Evaluations at a prospect's educational institution (other than observing a nonscholastic event approved by the appropriate state or national scholastic athletics organization) shall be limited to regularly scheduled preparatory school or two-year college contests/tournaments, practices and regular scholastic activities involving student-athletes enrolled only at that institution, and only in conjunction with an off-campus contact.

In women's basketball, Bylaw 13.1.8.8-(b)-(1) defines the evaluation activities that may be conducted during the academic year. Specifically, evaluations during the academic year may occur at regularly scheduled high school, preparatory school and two-year college contests/tournaments, practices, pick-up games and open gyms. Evaluations at nonscholastic events during the women's basketball prospective student-athlete's academic year shall only occur during the last full weekend of the fall contact period and the weekend of the spring evaluation period and must be certified.

This legislation applies to both domestic prospective student-athletes and international prospective student-athletes participating in foreign countries in both men's and women's basketball. Thus, during the April contact period, men's basketball coaches may not evaluate at events in foreign countries unless the event has been approved, sanctioned, sponsored or conducted by the applicable scholastic entity for that level of competition in that state or country.

In addition, institutions should note that pursuant to Bylaw 13.1.7.2, recruiting contact shall not be made with a prospective student-athlete from the time the prospect reports on call (at the direction of the prospect's coach or comparable authority) and becomes involved in competition-related activity (e.g., traveling to an away-from-home game) to the end of the competition. Contact includes the passing of notes to a prospect by a third party on behalf of an institutional staff member.

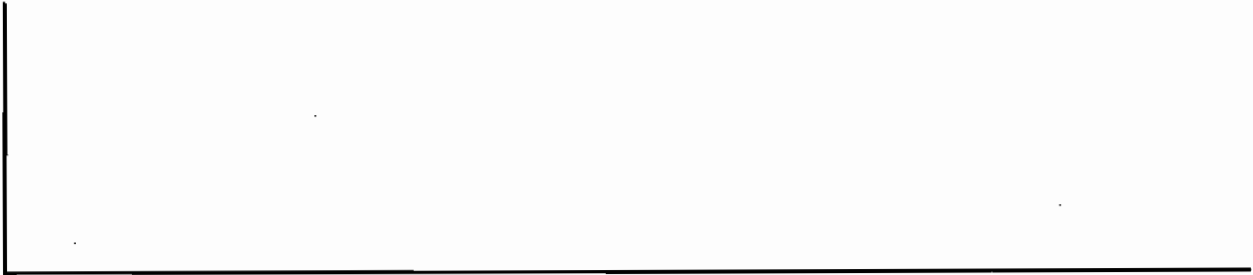
[References: Bylaws 13.1.8.8 (evaluation days -- basketball) and 13.1.7.2 (practice or competition site).]

Please call me if you have any questions regarding this. Thanks.

Christian
Director of Compliance
Indiana University
812-856-6074
Go Hoosiers!

From: Senderoff, Robert A.
Sent: Wednesday, June 28, 2006 2:30 PM
To: Pope, Christian Dean
Subject: july schedule

Rob Senderoff
Indiana University
Men's Basketball, Assistant Coach
O# 812-855-2238



Brinegar, Jennifer Hooker

From: Pope, Christian Dean
Sent: Monday, July 10, 2006 4:01 PM
To: Senderoff, Robert A.; Sampson, Kelvin Dale; Meyer, Jeffrey Dennis; McCallum Jr, Ray; McLaughlin, Elizabeth C; McElroy, Barbara J; Green, Jerry
Cc: Brinegar, Jennifer L
Subject: FW: July rules

Coach,

Per your request below, please be aware of the following dos and don'ts for July. Note that this information was taken from a June 29, 2005 educational column and has changed in that the final portion of Bylaw 13.1.7.2.2 has been eliminated. (Beginning with "During the time period in which...and ending with disseminating the correspondence.(See Bylaw 13.4)")

Per your question about calls, please pay particular attention to items #1,2&3. Thank you.

Institutions should note that July 6-15 and July 22-31 are evaluation periods for basketball. As such, it is not permissible to have recruiting contacts with prospective student-athletes during these periods. In addition, institutions should note that pursuant to NCAA Bylaw 11.7.4, there is a limit of three coaches who may evaluate prospects at any one time during the evaluation periods.

Institutions should note that pursuant to Bylaw 13.1.7.2.2-(b), all communication with a prospect, the prospect's relatives or legal guardians, the prospect's coach or any individual associated with the prospect as a result of the prospect's participation in basketball, directly or indirectly, is prohibited during the time period in which the prospect is participating in the summer certified event. However, printed materials (e.g., letters, recruiting brochures, questionnaires) may be sent via regular mail (see Bylaw 13.4.1) to a prospect's home while the prospect is participating in a certified event. Pursuant to Bylaw 13.1.7.2.2.1, an institutional coaching staff member may have telephone contact with a prospect's high-school coach (or high-school administrator) while the prospect is participating in a summer certified event, provided the high-school coach or administrator is not in attendance at that summer certified event.

The following questions and answers are intended to clarify the application of Bylaw 13.1.7.2.2.

1. Question. If a prospect is participating in a tournament that occurs on consecutive days and at the same site, at what point may a coach initiate communication with a prospect, the prospect's relatives or legal guardians, the prospect's coach or any individual associated with the prospect as a result of the prospect's participation in the certified event?

Answer. A coach may only communicate with such an individual after the prospect's team has been eliminated from the event and the appropriate authorities have released the prospect, except for telephone contact with a prospect's high-school coach (or administrator) as permitted in Bylaw 13.1.7.2.2.1.

2. Question. If a prospect is participating in extended competition (i.e., traveling directly from one competition site to another on an extended road trip), at what point may a coach initiate communication with a prospect, the prospect's relatives or legal guardians, the prospect's coach or any individual associated with the prospect as a result of the prospect's participation in the certified events?

Answer. Once a prospect reports on call to travel with his or her team at the beginning of the extended road trip, it is not permissible for an institutional coaching staff member to have any type of communication with the prospect, the prospect's parents or legal guardians, the prospect's coach or any individual associated with the prospect as a result of the prospect's participation in basketball [except for telephone contact with a prospect's high-school coach (or administrator) as permitted in Bylaw 13.1.7.2.2.1] until completion of the team's final competition of the road trip and the prospect has been released by the appropriate authorities. This restriction on communication should reinforce that collegiate coaches' attendance at events during the July evaluation period should be for observation only and not a venue whereby contacts with outside influences are designed to be made in an effort to recruit particular basketball prospects.

3. Question. A certified event is scheduled to take place July 8-10. Is it permissible for coaches to meet with a prospect's coach the evening prior to the event?

Answer. A coach could not communicate with a prospect's coach on the evening prior to the certified event if the team is in the locale of the event (i.e., team has traveled to the event) and the prospect has reported on call.

4. Question. Is it permissible for a coach to evaluate a prospect who is participating in an organized high school practice during the July evaluation period?

Answer. It would be permissible for an institutional coaching staff member to evaluate a prospective student-athlete participating in a high-school practice (as opposed to a nonscholastic team practice), provided the ability to have organized practice is approved or sanctioned by the appropriate state high-school athletics governing body and the practice is held at the direction and under the supervision of the high school coach. It remains impermissible to attend nonscholastic practices in preparation for a certified event unless that practice time has been designated as part of the certified event.

5. Question. Is it permissible for a coach to have contact with a prospect who has signed a National Letter of Intent with the coach's institution?

Answer. Pursuant to a 8/13/03 official interpretation (reference: Item No. 1) it is not permissible for an institutional coaching staff member to communicate in any way with a prospect who is participating in a summer-certified event under any circumstances, including contact with a prospect who has signed a National Letter of Intent. However, it would be permissible for an institutional coaching staff member to communicate with a prospect who is in attendance at a summer-certified event only if the prospect is not participating in the event and is not associated with any team participating in the event (e.g., prospect travels to event at his or her own expense, prospect is not under the authority of the coach at any time, prospect does not participate in team functions).

Christian
 Director of Compliance
 Indiana University
 812-856-6074
 Go Hoosiers!

From: Senderoff, Robert A.
Sent: Wednesday, July 05, 2006 9:55 AM
To: Pope, Christian Dean
Subject: July rules

Rob Senderoff
 Indiana University
 Men's Basketball, Assistant Coach
 O# 812-855-2238

Christian-

Can you send me another copy of what we can and can't do during July so I can have it with me this month. What I really want to see is about the calls and texts....calling HS and AAU coaches while an event is going on. I read where you can call a HS coach about his player at the event if the coach is not in attendance....i want to know if I am correct with that and if that extends to AAU coaches too. Thanks.

-Rob

Brinegar, Jennifer Hooker

From: Pope, Christian Dean
Sent: Friday, July 14, 2006 1:35 PM
To: Senderoff, Robert A.; McElroy, Barbara J; Fitzpatrick, Timothy Martin; Greenspan, Richard I; Meyer, Jeffrey Dennis; Green, Jerry; McLaughlin, Elizabeth C; McCallum Jr, Ray; Sampson, Kelvin Dale; Garl, Tim C; Finlinson, Jeana Lee; Fitzpatrick, Timothy Martin; Jones, Vera; Legette-Jack, Felisha Ann; Pardue, Tammy J; Athletics Director; Wilson, Marc A.
Cc: Brinegar, Jennifer L
Subject: DEAD period
Importance: High

Coach,

Please be aware that we are approaching a **Dead period on July 16, 2006 which last until July 21, 2006.**

You are not allowed to have any in-person recruiting contacts or evaluations on or off the member institution's campus or to permit official or unofficial visits by prospective student-athletes to the institution's campus. Also note, you cannot serve as a speaker or attend a meeting/banquet at which prospective student-athletes are in attendance and you cannot visit the prospective student-athletes' educational institutions.

You may still write or telephone prospective student-athletes during the dead period. Also during that week, you may have contact with a prospect enrolled at IU (not local schools) for summer school **and** signed a valid NLI or IU financial tender for the fall.

Please do not hesitate if you have any questions. Thank you.

Christian D. Pope
Director of Compliance
Indiana University
1001 East 17th Street
Bloomington, IN 47408-1590
812-856-6074
Go Hoosiers!

Brinegar, Jennifer Hooker

From: Pope, Christian Dean
Sent: Thursday, July 20, 2006 5:25 PM
To: Senderoff, Robert A.; McElroy, Barbara J; Fitzpatrick, Timothy Martin; Greenspan, Richard I; Meyer, Jeffrey Dennis; Green, Jerry; McLaughlin, Elizabeth C; McCallum Jr, Ray; Sampson, Kelvin Dale; Garl, Tim C; Finlinson, Jeana Lee; Fitzpatrick, Timothy Martin; Jones, Vera; Legette-Jack, Felisha Ann; Pardue, Tammy J; Athletics Director; Wilson, Marc A.
Cc: Brinegar, Jennifer L
Subject: FW: Interp PLEASE RAED - URGENT
Importance: High

FYI- Please read and do not hesitate to ask if you need further clarification. Thank you.

Christian
Director of Compliance
Indiana University
812-856-6074
Go Hoosiers!

**2006 Educational Column -- NCAA Division I Proposal No. 2004-124 --
Communication with Basketball Prospects During the July Evaluation Periods (I)**

Date Issued: Jul 12, 2006 **Type:** Ed. Column **Item Ref:** 1

Interpretation:

NCAA Division I institutions should note that NCAA Division I Bylaw 13.1.7.2.2 specifies that, during the July evaluation periods in men's basketball, while a prospect is participating in a certified basketball event, all communication with a prospect, the prospect's relatives or legal guardians, the prospect's coach or any individual associated with the prospect as a result of the prospect's participation in basketball, directly or indirectly, is prohibited. This provision prohibits all forms of communication, including in-person contact, general correspondence (e.g., letters, faxes, text messaging, instant messages, e-mail) and telephone calls.

In men's basketball, institutional staff members (limited to only a countable coach beginning August 1, 2006) may send general correspondence (except for text messages) to the prospect during the time period in which the prospect is participating in a noncertified event, provided it is sent directly to the prospect (e.g., front desk of hotel, the prospect's personal fax machine) and there is no additional party (e.g., camp employee, coach) involved in disseminating the correspondence.

Text messaging is akin to the passing of notes, which is not permissible during the time period a prospect is participating in an athletics event.

Further, women's basketball institutions should note that with the adoption of NCAA Division I Proposal No. 2004-124, effective August 1, 2005, all communication with a prospect, the

9/27/2007

prospect's relatives or legal guardians, the prospect's coach or any individual associated with the prospect as a result of the prospect's participation in basketball, directly or indirectly, is prohibited during the July evaluation periods, regardless of whether the prospect is participating in a certified basketball event.

As a reminder, it is not permissible for a prospect to make official or unofficial visits during the July evaluation period. Finally, pursuant to Bylaw 13.02.11.1, those prospects who are enrolled in an institution's summer term and receiving athletics aid prior to initial full-time enrollment are no longer considered prospects for the purpose of applying contact restrictions and communication with such individuals is permissible.

[References: Division I Bylaws 13.02.11.1 (application), 13.1.3.1.3 (exception ? women's basketball), 13.1.6.4 (women's basketball), 13.1.7.2.2 (additional restrictions ? men's and women's basketball), and 30.11.2 (women's basketball)].

MBB

Brinegar, Jennifer L

From: Pope, Christian Dean
Sent: Thursday, July 27, 2006 10:50 AM
To: Meyer, Jeffrey Dennis
Cc: Brinegar, Jennifer L
Subject: FW: Bylaw 13.1.7.2 Interp

Coach,

Per your question yesterday, please review the attached. Thank you.

Christian
Director of Compliance
Indiana University
812-856-6074
Go Hoosiers!

From: Big Ten - Greg Walter [mailto:gwalter@bigten.org]
Sent: Thursday, July 27, 2006 10:23 AM
To: Pope, Christian Dean
Cc: Big Ten - Chad Hawley
Subject: RE: Bylaw 13.1.7.2 Interp

CP:

The 6/29/05 EC below should help clarify the first two issues...

1. Communication is not permissible during the July evaluation periods in men's basketball – see #2 in the Q&A below.
2. Communication is permissible once the prospect's team has been eliminated and the prospect is released by the appropriate institutional authorities – see #1 in the Q&A below. It is not necessary to wait until the prospect has actually arrived home.
3. If the prospect is traveling from one tournament to another with his parents (and is not traveling with his team or otherwise "on call"), then communication is permissible once the prospect's team has been eliminated from the first tournament and the prospect has been released to his parents by the appropriate institutional authority.

Hope this helps,

Greg

2005 Division I Hot Topic No. 6 - Basketball Summer Recruiting Restrictions

Date Issued: Jun 29, 2005
Type: Ed. Column
Ref: 1

Interpretation:
Institutions should note that July 6-15 and July 22-31 are evaluation periods for men's and women's basketball.

7/27/2006

Would you call when you get this? I just need a little reinforcement in my thinking before I go forward. Thanks.

Christian
 Director of Compliance
 Indiana University
 812-856-6074
 Go Hoosiers!

From: Pope, Christian Dean
Sent: Wednesday, July 26, 2006 5:17 PM
To: Brinegar, Jennifer L
Subject: Bylaw 13.1.7.2 Interp

Jennifer,

I need your help with this. Jeff has asked about email or text messaging or calling a prospect once a July event is over. I know he can do that, but he also wants to know about the following scenarios.

1. Post event but prior to travel to the next venue if a team is going to leave one site and travel to another without returning home.
2. Post event on route home from an event.
3. Post event but prior to travel to the next venue if transported by parents to the next event.

As worded I believe that these are all permissible but the wording in 13.1.7.2.2 and 13.1.7.2 is confusing. Additionally, I have found the attached SI 05.03.06. Please let me you're your thoughts. Thanks.

13.1.7.2 Practice or Competition Site

Recruiting contact may not be made with a prospective student-athlete prior to any athletics competition in which the prospective student-athlete is a participant during the day or days of competition, even if the prospective student-athlete is on an official or unofficial visit. Contact includes the passing of notes to a prospective student-athlete by a third party on behalf of an institutional staff member. Such contact shall be governed by the following: *(Revised: 1/11/89, 1/10/91, 1/11/94, 1/9/96 effective 7/1/96)*

(a) Contact shall not be made with the prospective student-athlete at any site prior to the contest on the day or days of competition; *(Revised: 1/11/89, 1/11/94)*

(b) Contact shall not be made with the prospective student-athlete from the time the prospective student-athlete reports on call (at the direction of the prospective student-athlete's coach or comparable authority) and becomes involved in competition-related activity (e.g., traveling to an away-from-home game) to the end of the competition even if such competition-related activities are initiated prior to the day or days of competition; *(Revised: 1/11/94)*

(c) Contact shall not be made after the competition until the prospective student-athlete is released by the appropriate institutional authority and departs the dressing and meeting facility;

site); and NCAA Division III Bylaw 13.1.4.2 (practice or competition site); and a 01/30/91 staff interpretation, item a, which has been archived and the 03/09/94 staff interpretation, item a, which has been archived.]

Christian D. Pope
Director of Compliance
Indiana University
1001 East 17th Street
Bloomington, IN 47408-1590
812-856-6074
Go Hoosiers!

MBB

Brinegar, Jennifer L

From: Pope, Christian Dean
Sent: Thursday, August 03, 2006 12:31 PM
To: Senderoff, Robert A.
Cc: Brinegar, Jennifer L
Subject: FW: elite camp questions

Coach,

Because Football will be meeting with the compliance staff this Saturday evening, I cannot attend. Although, as we discussed, even though I cannot be there to speak to the group, as the camp director you are required to provide the following information to the campers that attend the session. The information does not have to come from a compliance staffer, it just has to be discussed. Here are the talking points that I use.

1. NCAA.ORG = Great Resource, everything a prospect needs can be found there from Clearinghouse info to recruiting process.
2. Gambling activities = Beware of the 1st time best friend. Someone you never knew before you became good in your sport. Watch out for people trying to leverage you for any sports related information.
3. Agent activity = Similar to the above. Beware of fast friends or people who are looking for information.
4. Drug Testing = The NCAA and most schools test. Even over the counter from Wal-Mart or GNC can cause problems.
5. Initial Eligibility = 14 core courses (4 Eng., 2 Math, 2 Physical Sci., 1 additional from the first three areas, 2 Natural Sci., and 3 Additional core)

Please note on you other point; **there have been no changes that would allow the incoming student-athletes to be employed at an IU camp.** Incoming student-athletes *may not* work a summer camp at IU.

Please see the attached for your information as this is the only information currently on the LSDBi regarding your question. Thanks.

13.12.2.1.5 Employment in Own Institution's Camp or Clinic

In sports other than D I-A football, IU (or employees of its athletics department) **may employ its student-athletes as counselors in camps or clinics, provided:**

- The SA's don't participate in organized practice activities (other than during the institution's playing season in the sport (see Bylaw 17.1.1)).

Four-Year College Transfer Employed at Institution's Summer Camp

Effective Issued: Jun 04, 1997 **Type:** Staff Interpretation **Item Ref:** a

Interpretation: Four-Year College Transfer Employed at Institution's Summer Camp:

- The membership services staff confirmed:
- A **four-year college** student who has received written permission per NCAA Bylaw 13.1.1.3 to discuss transferring with another NCAA institution is considered a senior prospect pursuant to NCAA recruiting regulations.
- Thus, in sports other than football, he may not be employed at any **Division I institution's summer camp** (either on a salaried or volunteer basis) if he or she is being recruited by that institution or if he or she was a high-school, preparatory-school or two-year college athletics award winner.
- Further, such a prospect may not be employed (either on a salaried or volunteer basis) at any **Division II or III institutional camp or at a Division I football camp.**

References: 13.1.1.3 (4-year prospect), 13.13.1.5.1 (employment of prospect), 13.13.1.5.2 (employment of prospect -- Divisions II and III), 13.13.1.2.1 (exception - D. I FB) & 13.13.1.2.1.1 (senior prospect)]

FYI - 13.02.11.1 Application

An individual who is **no longer considered a prospect** per Bylaw 13.02.11-(c) shall not be subject to the contact limitations in Bylaw 13 and shall be considered a student-athlete for purposes of Bylaw 16. The individual would still be considered a prospective student-athlete for purposes of applying the remaining provisions of Bylaw 13 and other bylaws.

The triggers that would allow the above are as follows:

- The individual officially registers and enrolls in a full time program of studies and attends classes in any regular term (excluding summer) at IU, or
- The individual participates in a regular practice or competition at IU that occurs before the beginning of any term; or
- **The individual officially registers and enrolls and attends classes during the summer prior to initial enrollment and receives institutional athletics aid.**

13.12.1.5.1 General Rule

An institution, members of its staff or representatives of its athletics interests shall not employ or give free or reduced admission privileges to a high school, preparatory school or two-year college athletics award winner or any individual being recruited by the institution per Bylaw 13.02.12.1. For purposes of this rule, a high school includes the ninth-grade level, regardless of whether the ninth grade is part of a junior high school system.

Christian
Director of Compliance
Indiana University
812-856-6074
Go Hoosiers!

Christian
Director of Compliance
Indiana University
812-856-6074
Go Hoosiers!

From: Brinegar, Jennifer L
Sent: Thursday, August 03, 2006 9:47 AM
To: Pope, Christian Dean
Subject: RE: elite camp questions

The signees can attend the camp as long as they pay the regular admission fee. They can not work the camp (a question Rob also asked below, but was not addressed in your response).

13.12.1.5.1 General Rule

An institution, members of its staff or representatives of its athletics interests shall not employ or give free or reduced admission privileges to a high school, preparatory school or two-year college athletics award winner or any individual being recruited by the institution per Bylaw 13.02.12.1. For purposes of this rule, a high school includes the ninth-grade level, regardless of whether the ninth grade is part of a junior high school system. *(Revised: 3/10/04)*

Also, you probably need to work up a more detailed informational sheet for Rob to use – he wouldn't know the rules on the 4 topics below to be able to ad lib like you and me.

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

From: Pope, Christian Dean
Sent: Thursday, August 03, 2006 9:37 AM
To: Brinegar, Jennifer L
Subject: RE: elite camp questions
Importance: High

Jennifer,

Would you please take a look and tell me if I am missing anything? Rob also wants to know about allowing incoming signees to pay and attend the camp and I can find nothing on point. Please let me know. Thanks.

Christian

From: Senderoff, Robert A.
Sent: Wednesday, August 02, 2006 8:31 AM
To: Pope, Christian Dean
Subject: elite camp questions

christian-

1. can you speak to our elite-camp on saturday (about 6pm) ?
2. can our incoming players (armon, Xavier and Lance) pay to participate in camp o
work at camp i think the rule changed as of august 1st

Brinegar, Jennifer L

From: Pope, Christian Dean
Sent: Wednesday, August 09, 2006 8:54 AM
To: Meyer, Jeffrey Dennis; Sampson, Kelvin Dale; Green, Jerry; Senderoff, Robert A.; McCallum Jr, Ray
Cc: Brinegar, Jennifer L
Subject: Video Interp

Coach,

Per your question yesterday, it would **impermissible** for Coach Sampson to use of a live video feed, from his office or anywhere on campus, to a remote computer that an assistant coach has set up in a home visit to communicate with a prospect. That is contrary to Bylaw 13.4.2. Please take a look at a portion of an August 26, 2005 Educational column regarding the use of a computer recruiting presentation during the recruiting process.

Q: Is it permissible for an institution to post a PowerPoint presentation on its Web site?

A: Institutions should note that during its April 21, 1998, meeting, the NCAA Division I Academics/Eligibility/Compliance Cabinet Subcommittee on Legislative Review/Interpretations clarified that **it is not permissible for members of an institution's coaching staff to show prospects computer recruiting presentations (e.g., using presentation software) during the recruiting process.** As a result, unless the presentation meets the provisions of Bylaw 13.4.2 (video/audio materials), it is not permissible for an institution to post a recruiting presentation on its Web site.

Thank you.

Christian D. Pope
Director of Compliance
Indiana University
1001 East 17th Street
Bloomington, IN 47408-1590
812-856-6074
Go Hoosiers!

Brinegar, Jennifer Hooker

From: Brinegar, Jennifer L
Sent: Tuesday, August 22, 2006 11:14 PM
To: Amy D.Robertson; King, Barry A; Duane LGoldman; Hoepfner, Terry L; Huber, Jeffrey J; Wilson, Judith B; Kathryn AWeismiller; Kelvin Sampson; Hydingen, Ken; Legette-Jack, Felisha Ann; LinLoring; Lyon, Michael D; Freitag, Michael A; Michael BMayer; Peterson, Stephen Lee; Phillips, Stacey Lee (stalphil@indiana.edu); Randall LeeHeisler; Rlooze; RobertChapman; Smith, Tracy J; Wallman, Clint Donald; Adam Judge; Affolder, Matthew C; McElroy, Barbara J; Barbara LThompson; Beyster, Tarrah Jean; Bloemendaal, Randy Kenneth; Brewer, Joshua Bryon; Brown, Gerald L; Brush, Donald J; Canada, Mathew R; Narey, Cathy Ann; Cooper, Coyte Gene; Ferrand, Barbara W.; Finlinson, Jeana Lee; Flanders, Erika Jean; George, Brian Alan; Green, Jerry; Hogg, Cherie Lisa; Johnson, Robert Shane; Jones, Vera; Leone, Angela Rose; Lorie SueCanada; Lucido, Gina M; Lynch, William Michael; Lynch, William R.; McCallum Jr, Ray; Mena, Michael J; Meyer, Jeffrey Dennis; Mirochna, Carmen R (cmirochn@indiana.edu); Neal, Tyson B; O'Rourke, Frances E.; Palcic, Joseph Thomas; Pardue, Tammy J (tjardue@indiana.edu); Pate, Wayne H; Porch, Dartanya; Prince, Bryan Nathan; Puls, Michelle Kristen; Azcui, Jairo R; Reggie Wright; Rickerby, Ian; Rickerby, Ian J; Ricumstrick, George; Riddle, Michael Gerald; Senderoff, Robert A.; Swander, Pam; Tom, Theodore Alan (ttomjr@indiana.edu); Wright, Trudy L; Westphal, Michael Gordon; Wilson, Marc A.; Wiseman, Jason P; Yeager, Michael R; Yeagley, Todd J; House, Anitra L; Brinegar, Jennifer L; Calhoun, M. Grace; Christian Pope; Clark, Kevin; Crabb, Charles K; Cuervo, Frank Martin; Edge, Ronald D; Fitzpatrick, Timothy Martin (tmfitzpa@indiana.edu); Garrett, Jack Allen; Greenspan, Richard I (rgreensp@indiana.edu); Horan, Jeffrey J; Jaffee, Bruce L.; Arnold, Kathy L; Klingelhoffer, C K; Martin WClark; Mauro Jr, Harold A; Reynolds, Chris; Roberts, George M; Rohleder, Mary Ann; Scott MDolson; Wateska, Mark J

Subject: QOTW and Compliance Reminders

Importance: High

Attachments: ICN August 2006.pub

Tracking:	Recipient	Read
	Amy D.Robertson	
	King, Barry A	Read: 8/23/2006 9:04 AM
	Duane LGoldman	
	Hoepfner, Terry L	Read: 8/23/2006 8:27 AM
	Huber, Jeffrey J	Read: 8/23/2006 11:03 AM
	Wilson, Judith B	Read: 8/28/2006 1:14 PM
	Kathryn AWeismiller	
	Kelvin Sampson	
	Hydingen, Ken	Read: 8/23/2006 9:06 AM
	Legette-Jack, Felisha Ann	Read: 8/23/2006 9:27 AM
	LinLoring	
	Lyon, Michael D	Read: 8/23/2006 1:00 PM
	Freitag, Michael A	Read: 8/23/2006 11:25 AM
	Michael BMayer	
	Peterson, Stephen Lee	
	Phillips, Stacey Lee (stalphil@indiana.edu)	
	Randall LeeHeisler	
	Rlooze	

RobertChapman	
Smith, Tracy J	Read: 8/23/2006 9:00 AM
Wallman, Clint Donald	Read: 8/23/2006 7:39 AM
Adam Judge	
Affolder, Matthew C	Read: 8/23/2006 7:29 AM
* McElroy, Barbara J	Read: 9/28/2006 10:22 AM
Barbara LThompson	
Beyster, Tarrah Jean	
Bloemendaal, Randy Kenneth	Read: 8/23/2006 11:27 AM
Brewer, Joshua Bryon	Read: 8/23/2006 7:50 AM
Brown, Gerald L	Read: 8/25/2006 7:28 AM
Brush, Donald J	
Canada, Mathew R	
Narey, Cathy Ann	Read: 8/23/2006 8:27 AM
Cooper, Coyte Gene	
Ferrand, Barbara W.	Read: 8/23/2006 7:44 AM
Finlinson, Jeana Lee	Read: 8/23/2006 9:11 AM
Flanders, Erika Jean	
George, Brian Alan	Read: 8/23/2006 8:21 AM
Green, Jerry	Read: 8/23/2006 8:18 AM
Hogg, Cherie Lisa	Read: 8/28/2006 4:09 PM
Johnson, Robert Shane	Read: 8/23/2006 11:55 AM
Jones, Vera	Read: 8/23/2006 9:12 AM
Leone, Angela Rose	
Lorie SueCanada	
Lucido, Gina M	Read: 9/13/2006 10:23 AM
Lynch, William Michael	Read: 8/23/2006 5:05 PM
Lynch, William R.	
* McCallum Jr, Ray	Read: 8/23/2006 8:05 AM
Mena, Michael J	
* Meyer, Jeffrey Dennis	Read: 8/23/2006 9:36 AM
Mirochna, Carmen R (cmirochn@indiana.edu)	
Neal, Tyson B	Read: 8/24/2006 9:45 AM
O'Rourke, Frances E.	
Palcic, Joseph Thomas	Read: 8/23/2006 2:24 PM
Pardue, Tammy J (tjardue@indiana.edu)	
Pate, Wayne H	Read: 8/23/2006 9:44 AM
Porch, Dartanya	Read: 8/23/2006 11:29 AM
Prince, Bryan Nathan	
Puls, Michelle Kristen	Read: 8/23/2006 1:01 PM
Azcui, Jairo R	Read: 8/23/2006 9:37 AM
Reggie Wright	
Rickerby, Ian	
Rickerby, Ian J	Read: 8/23/2006 9:37 AM

Ricumstrict, George	
Riddle, Michael Gerald	Read: 8/23/2006 7:13 AM
* Senderoff, Robert A.	Read: 8/23/2006 8:42 AM
Swander, Pam	Read: 8/24/2006 3:39 PM
Tom, Theodore Alan (ttomjr@indiana.edu)	
Wright, Trudy L	Read: 8/23/2006 8:10 AM
Westphal, Michael Gordon	Read: 8/23/2006 11:36 AM
Wilson, Marc A.	Read: 8/23/2006 8:24 AM
Wiseman, Jason P	
Yeager, Michael R	Read: 8/23/2006 8:17 AM
Yeagley, Todd J	Read: 8/23/2006 8:00 AM
House, Anitra L	Read: 8/22/2006 11:57 PM
Brinegar, Jennifer L	Read: 8/22/2006 11:33 PM
Calhoun, M. Grace	Read: 8/23/2006 9:18 AM
Christian Pope	
Clark, Kevin	
Crabb, Charles K	Read: 8/23/2006 10:18 AM
Cuervo, Frank Martin	
Edge, Ronald D	
Fitzpatrick, Timothy Martin (tmfitzpa@indiana.edu)	
Garrett, Jack Allen	Read: 8/23/2006 11:15 AM
Greenspan, Richard I (rgreensp@indiana.edu)	
Horan, Jeffrey J	Deleted: 8/24/2006 1:31 PM
Jaffee, Bruce L.	Read: 8/23/2006 9:58 AM
Arnold, Kathy L	Read: 8/23/2006 7:23 AM
Klingelhoffer, C K	Read: 8/23/2006 9:30 AM
Martin WClark	
Mauro Jr, Harold A	Read: 8/23/2006 8:07 AM
Reynolds, Chris	Read: 8/23/2006 7:43 AM
Roberts, George M	Read: 8/23/2006 8:01 AM
Rohleder, Mary Ann	
Scott MDolson	
Wateska, Mark J	Read: 8/23/2006 8:41 AM
Pope, Christian Dean	Read: 8/23/2006 7:44 AM
Clark, Kevin G	Read: 8/23/2006 9:53 AM

Okay, it's been weeks since the last QOTW, so NO groans! This email has a LOT of relevant and timely info, so be sure to read through ALL of it! This is IT for August QOTWs! ☺

Question: The new legislation allows for all sports but FB (FB – skip down past this Q&A) to do two hours of skill instruction with the whole team each week as part of the permissible 8 hours of countable athletically related activities per week OUTSIDE a sport's declared playing and practice season, so is it okay for a team not in season at the start of the school year (Monday, August 28) to have a one hour practice on two days next week?

Answer: NO – this is only permissible during September 15 through April 15, so until September 15 (and after April 15), a sport that is out of season can only do the skill instruction with no more than four student-athletes from the same team at any one time in any facility. [17.1.5.2.2]

Head Coaches: Remember – regardless of whether your first competition is exhibition or regular season, you **MUST** ensure that **NONE** of your student-athletes participate **UNTIL** you have **VERIFIED** that they are on your sport's **CEL!** If it's getting 2-3 days before your first competition (or travel to your competition if it's away), and you haven't done so already, get with Anitra to see if she has everyone on **YOUR** roster; so, that when the list is finalized, there is no one inadvertently left off. Also, do **NOT** travel or compete without reviewing and confirming that the **CEL** is complete and accurate – this is **YOUR** responsibility! Is that horse dead yet?

(FB – skip to next section) Belated reminder for this preseason, but still applicable for upcoming vacation periods: Volunteer coaches may **NOT** eat at training table meals – even during preseason or vacation periods. This is not an IU rule – this is in Bylaw 11.01.5-(c) – VCs may receive complimentary meals incidental to organized team activities (e.g., pre- or post-game meals, occasional meals, but not training table meals) or meals provided during a prospect's official visit, provided the VC dines with the prospect.

Coaches – remember – no incoming student-athlete (freshmen, transfers and first-time participants regardless of year enrolled at IU) should practice until they have completed the three step certification process. Due to overwhelming demand (as well as a change in the legislation), one day tryouts are permissible once we have verified the student is enrolled in 12 hours at IU-B AND has received medical clearance from the training room. After the one day tryout is over, *anyone* who makes the squad, even tentatively, must complete the rest of the compliance paperwork and receive the rules education by attending one of the many compliance/eligibility meetings scheduled during the month of September. See, call (6-4526), or email (mmooney@indiana.edu) Marni Mooney for more info!

ALL STAFF – remember: no sports gambling, don't provide "inside" information regarding ANY of our teams or student-athletes to anyone **OUTSIDE** of the athletics department, report any suspected violation of any NCAA or Big Ten rules, and don't treat a student-athlete different (away from what they may receive in the course of their athletically-related and academic activities) than you would any other IU student (e.g., no loans, no use of personal property, no free long distance calls – even with your cell phone, no tickets to IU or community events, no overnight stays at your house, no more than an occasional meal in the locale of Bloomington, only occasional local transportation). If anyone can think of 5 creative (and relevant) examples of other extra benefits, send them to me and you'll be entered in a random drawing for a free car wash!

Three recent interps from the NCAA (FB – skip the first two):

1. Educational Column -- NCAA Division I Bylaw 13.11.1.2 and NCAA Proposal No. 2005-72 -- Competition Against Prospective Student-Athletes

NCAA Division I institutions are reminded that in accordance with NCAA Division I Bylaw 13.11.1.2, an institution's varsity intercollegiate athletics team may not compete against a high school or preparatory school team. An institution's varsity team may not participate in a contest against an established outside team that includes high school prospective student-athletes except for permissible

contests while participating on a foreign tour, exempted contests against a foreign team in the United States and contests against the United States national team.

NCAA Proposal No. 2005-72 eliminates the practice of an institution's varsity team competing against any outside team that includes high school prospective student-athletes. The legislation is applicable when an institution's team competes in an organized event against a team of prospective student-athletes. Participation by student-athletes in individual competition (e.g., tennis, track and field, swimming) against high school and/or preparatory school prospects remains permissible. [EC 08/21/06]

2. Prize Money Prior to Initial Full-Time Collegiate Enrollment and Coach's Expenses or Fees (I)

The committee determined that for the purpose of calculating a prospective student-athlete's actual and necessary expenses related to participation in an open athletics event, the calculation may not include the expenses or fees of anyone other than the prospective student-athlete (e.g., coach's fee or expenses, parent's expenses). [S 07/20/06] **[This is good time and place to remind coaches that are recruiting prospects (e.g., international prospects, prospects in Olympic sports) that prize money, which is okay PRE-enrollment as long as it does not exceed actual and necessary expenses, does NOT include an incentive or bonus from a prospect's team based on performance – this violates the bylaw prohibiting payment based on performance – 12.1.2.1.5 – make sure your prospects are fully informed on ALL the regulations relating to amateurism (good luck)!]**

3. Student-Athlete Establishing His or Her Own Business (I)

The committee determined that a student-athlete may establish his or her own business, provided the student-athlete's name, photograph, appearance or athletics reputation are not used to promote such a business. [S 07/20/06]

Last, but not least (and thanks for hanging in there!), don't forget the All-Staff meeting on August 29th – be prepared to sign the Athletics Staff Certification of Compliance form certifying that you have reported all violations that you are aware of involving IU to the compliance office or AD during the past 12 months. Also, the first Compliance Meeting is Tuesday, September 5th from 10-11 in the Hoosier Room – coaches are required to attend, but everyone else is welcome to come. Dates and times for the compliance meetings (coaches and student-athletes) for the fall semester are found in the August ICN – in case you misplaced (or trashed) your pretty color copy, I attached another one to this email for your convenience. Mark the dates (especially the monthly compliance meeting dates) in your calendar!!! Also, this email went out to coaches and administrators – if you see that someone from your sport is missing, let me know and I'll update my list ASAP (FYI – I am sending out an identical email to my Academics, support staff, training room and Varsity Club distribution lists, as well). Thanks!

Remember – we are lucky and blessed to work at IU Athletics (in other words, don't let the rules get you down!)

**Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451**



Go Hoosiers!!!



Brinegar, Jennifer Hooker

From: Pope, Christian Dean
Sent: Friday, September 01, 2006 9:39 AM
To: Garl, Tim C; Meyer, Jeffrey Dennis; Senderoff, Robert A.
Cc: Brinegar, Jennifer L
Subject: FW: MBB Tailgates at Home FB Games
Importance: High

Tim,

Thanks for speaking with me about men's basketball's plans for providing the team with a meal in lieu of training table on Saturday. Please be aware that all walk-on's and prospects must pay the regular cost of the meal that is being provided. They cannot pay the reduced or group rate cost if one is being provided by Chartwells. Please let me know if you have any questions. Thanks.

Christian
Director of Compliance
Indiana University
812-856-6074
Go Hoosiers!

From: Brinegar, Jennifer L
Sent: Friday, September 01, 2006 9:17 AM
To: Pope, Christian Dean
Subject: FW: MBB Tailgates at Home FB Games

Can you come over at some point and get me up to speed on the tailgate for this weekend for MBB? Thanks.

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

Go Hoosiers!!!

From: Fitzpatrick, Timothy Martin
Sent: Wednesday, August 30, 2006 7:02 PM
To: Crabb, Charles K
Cc: Clark, Kevin G; Brinegar, Jennifer L
Subject: RE: MBB Tailgates at Home FB Games

Chuck -

anks....this is on hold until I can run the thing past Rick. We still have some issues to resolve before this one goes forward - please hold the space and we know we can provide a tent. Among other things, I need to talk with Kevin Clark about a funding source and also make sure that the activity has been cleared with Compliance. If

9/27/2007

Tim presses you, send him to see me.

Appreciate it.

Tim

From: Crabb, Charles K
Sent: Wednesday, August 30, 2006 5:51 PM
To: Fitzpatrick, Timothy Martin
Cc: Garrett, Jack Allen
Subject: RE: MBB Tailgates at Home FB Games

I got an e-mail from Erin Erdmann of Chartwells around 2:30 p.m. Tuesday where she'd received a call from Tim Garl asking for a tent with Chartwells providing catering. I responded about the difficulty on west side location and then Tim Garl and I had a couple of exchanges before Tuesday ended. This morning, Tim G. asked about location because he had said Coach Sampson wanted on the west side of Assembly Hall. I had a place north of the TV truck asphalt driveway which has been checked for locates with the "holy molie" people. We have a 20x20 tent available for Saturday which the Athletics Events crew will move from Armstrong to Assembly Hall.

Charles K. Crabb
Assistant Athletics Director
for Facilities Management
Assembly Hall 110-D
1001 E. 17th Street
Bloomington, IN 47408-1590
812/855-2127
FAX 812/856-5155

From: Fitzpatrick, Timothy Martin
Sent: Wednesday, August 30, 2006 3:18 PM
To: Crabb, Charles K
Cc: Garrett, Jack Allen
Subject: MBB Tailgates at Home FB Games

Chuck –

I need a quick "down and dirty" on this notion.....can you fill me in when you have a minute?

Thanks,

Tim

From: Giddens, Chad C
Sent: Wednesday, August 30, 2006 3:12 PM
To: Fitzpatrick, Timothy Martin; Roberts, George M
Subject: RE: MBB Tailgates at Home FB Games

I have no idea...I first heard of it yesterday when Chuck confirmed with me that there was no space on the Southwest quadrant of Assembly Hall because of our Kids Zone setup. At that time he and I talked about alternative locations, such as the Southeast area between Assembly Hall and Gladstein.

Chad Giddens
Director of Marketing
Indiana University Athletics

9/27/2007

812.856.0088
812.855.2447 (fax)

Defend the Rock!

Call 866-IUSPORTS for Tickets

2006 IU Football commercials

Tackle http://youtube.com/watch?v=MU_SWroTyKU

Voice from Above http://www.youtube.com/watch?v=zrGAOL_KaiU

From: Fitzpatrick, Timothy Martin
Sent: Wednesday, August 30, 2006 3:04 PM
To: Giddens, Chad C; Roberts, George M
Subject: MBB Tailgates at Horie FB Games
Importance: High

Guys –

Does anyone have any idea where this men's basketball tailgate idea came from? I'm totally in the dark on this one, but at the same time realize we're in a position where we may need to react quickly.

Thanks,

Tim

Brinegar, Jennifer Hooker

From: Pope, Christian Dean
Sent: Friday, September 01, 2006 10:03 AM
To: Senderoff, Robert A.
Cc: Brinegar, Jennifer L
Subject: FW: Compliance Question....urgent!!!

Coach,

Jennifer's suggestion is that you run the prospects by the equipment room to display some of the items that we supply to student-athletes. In the equipment room, apparel/gear is definitely not a decorative item or a special addition. Also, I know that you understand, so I don't need to emphasize, that the prospect's name or number cannot be affixed to any item in any way. Thank you.

Christian
Director of Compliance
Indiana University
812-856-6074
Go Hoosiers!

From: Pope, Christian Dean
Sent: Friday, September 01, 2006 9:00 AM
To: Brinegar, Jennifer L
Subject: RE: Compliance Question....urgent!!!

Jennifer,

Yes, they could do that. I don't know if they want to visit the equipment room on the tour of the facility but I'll offer that to Rob. Good thinking.

Christian
Director of Compliance
Indiana University
812-856-6074
Go Hoosiers!

From: Brinegar, Jennifer L
Sent: Friday, September 01, 2006 8:57 AM
To: Pope, Christian Dean
Subject: RE: Compliance Question....urgent!!!

Then, could they take the prospects by the equipment room to show them the different types of shoes and apparel that Adidas provides for the men's basketball team?

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

Go Hoosiers!!!

From: Pope, Christian Dean

9/27/2007

Sent: Friday, September 01, 2006 8:54 AM
To: Brinegar, Jennifer L
Subject: RE: Compliance Question....urgent!!!

Jennifer,

The bylaw states that it would. "Personalized recruiting aids include any decorative items and special additions to any location the prospective student-athlete will visit (e.g., hotel room, locker room, coach's office, conference room, arena) regardless of whether or not the items include the prospective student-athlete's name or picture." Thanks.

Christian
 Director of Compliance
 Indiana University
 812-856-6074
 Go Hoosiers!

From: Brinegar, Jennifer L
Sent: Friday, September 01, 2006 8:51 AM
To: Pope, Christian Dean
Subject: RE: Compliance Question....urgent!!!

Would this fall under "personalized" if nothing has the PSA's name or number on it?

Jennifer Brinegar
 Assistant Athletics Director - Compliance
 Indiana University
 812-855-0451

Go Hoosiers!!!

From: Pope, Christian Dean
Sent: Thursday, August 31, 2006 3:37 PM
To: Senderoff, Robert A.
Cc: Brinegar, Jennifer L; Sampson, Kelvin Dale; Green, Jerry; McCallum Jr, Ray; Meyer, Jeffrey Dennis
Subject: RE: Compliance Question....urgent!!!
Importance: High

Coach,

Per 13.7.3, you cannot display any "decorative items" even if those items are not personalized. You may show them in the locker room but you cannot have a display of gear/apparel that will display what they will receive if they choose to attend IU. Thank you.

Here is the Bylaw from page 116.

13.7.3 Activities During Unofficial Visit

An institution may not arrange miscellaneous, personalized recruiting aids (e.g., personalized jerseys, personalized audio/visual scoreboard presentations) and may not permit a prospective student-athlete to engage in any game-day simulations (e.g., running onto the field with the team during pregame introductions) during an unofficial visit.

Personalized recruiting aids include any decorative items and special additions to any location the prospective student-athlete will visit (e.g., hotel room, locker room, coach's office, conference room, arena) regardless of whether or not the items include the prospective student-athlete's name or picture. (Adopted:

8/5/04; Revised: 5/14/05, 4/27/06)



Brinegar, Jennifer Hooker

From: Brinegar, Jennifer L
Sent: Friday, September 08, 2006 5:51 PM
To: Barbara JMcelroy; Fitzpatrick, Timothy Martin (tmfitzpa@indiana.edu); Green, Jerry; Greenspan, Richard I (rgreensp@indiana.edu); Kelvin Sampson; McCallum Jr, Ray Ray (rmccallu@indiana.edu); Meyer, Jeffrey Dennis (jedmeyer@indiana.edu); Senderoff, Robert A.; Tim CGarl
Cc: Pope, Christian Dean
Subject: Important - Please Read - New Interp!
Importance: High

2006 Educational Column -- NCAA Bylaw 13.1.8.8 -- Fall Contact Period -- Men's Basketball (I)

Date Issued: Sep 08, 2006
Type: Ed. Column
Item Ref: 1

Interpretation:

NCAA Division I institutions should note that during the men's basketball fall contact period (September 9 through October 5), live evaluations shall be limited to regularly scheduled high school, preparatory and two-year college contests/tournaments, practices and regular scholastic activities involving prospective student-athletes only at that institution. Evaluations do not have to occur in conjunction with a contact. A regular scholastic activity is any activity involving only students enrolled at that high school, preparatory school or two-year college that has been approved by the appropriate authority at that scholastic institution. An activity that is organized for the purpose of allowing a college coach or college coaches to observe prospects demonstrating their athletics skills is not considered a regular scholastic activity, but would constitute an impermissible tryout.


In evaluating whether a specific contest/tournament (e.g., preseason "jamboree") is regularly scheduled, institutions are encouraged to contact the applicable governing scholastic entity (e.g., high school or two-year college association) in addition to reviewing the institution's schedule and/or Web site. A jamboree (or other contest/tournament) that appears on a high school or two-year college schedule or Web site may be an indication that such a contest/tournament is regularly scheduled; however, it is important that such information is verified with the applicable governing scholastic entity.

Finally, institutions are reminded that coaches may attend pick-up games during the fall contact period provided the activity is part of the prospect's regular scholastic activities (e.g., physical education class, regular practice activities), involves only prospects at that educational institution and is approved by the appropriate institutional authority.

[Reference: Division I Bylaw 13.1.8.8 (fall contact period/men's basketball)]



Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University

4/4/2008



812-855-0451

Go Hoosiers!!!

4/4/2008

Brinegar, Jennifer Hooker

*Hand-copied to coaches,
administrators
& staff.*

From: Brinegar, Jennifer L
Sent: Monday, September 25, 2006 10:27 AM
Subject: Question(s) of the Week - Recruiting Coordination Functions (Week of 9/25/06)

There are lots of questions/confusion regarding recruiting coordination functions and the application of 2005-77-B (from IU and other Big Ten schools), so here is a good Q&A from the Big Ten office on this topic. This is a MUST read if you are a coach, as well as an administrative assistant, director of operations, GA, volunteer coach, or other support staff dealing with recruiting.

Question #1: Is it permissible for staff members, other than countable coaches, to make and/or receive calls to/from prospects and their parents and/or legal guardians? I understand the purpose of the call cannot be recruiting in nature. In planning official and unofficial visits we will need to accept RSVPs, communicate itineraries, and answer questions regarding the visit.

Answer #1: Per Bylaw 11.7.1.2, a countable coach must coordinate the recruiting process, including deciding which activities will occur during recruiting visits and communicating with prospects regarding the details of the visit (such as RSVPs and itineraries). As a general matter, if an activity relates to the recruiting process and is not clerical in nature (see #7 below), that activity must be performed by a countable coach.

That said, the Big Ten says there is room for reasonable (and limited) flexibility in application – for example, if a PSA calls en route to an official visit and requests directions, a violation does not occur if a noncoaching staff member provides the information rather than trying to track down a countable coach – but, as a general matter, the coordination and day-to-day performance of these duties must be done by a countable coach.

Question #2: Is it permissible for staff members, other than countable coaches, to respond to emails requesting general information about Indiana and/or our football program?

Answer #2: Since “preparation of general recruiting correspondence to prospective student-athletes” is defined as a recruiting coordination function in the legislation, a countable coach would need to provide the content of such an e-mail. A noncoaching staff member could engage in clerical tasks relating to the preparation of the e-mail (e.g., type an e-mail dictated by a coach and physically send it to the PSA). See the final paragraph of the 7/27/06 EC below for further clarification on which specific tasks a noncoaching staff member may perform with respect to the dissemination of general correspondence.

Question #3: If it is not permissible to do #1 and/or #2, how do you suggest we best handle these situations? That is, at what point do we need to transfer the call, forward the email, etc?

Answer #3: As a general matter, the call should be transferred to a countable coach or the e-mail should be forwarded to a countable coach as soon as it is determined that it is related to

recruitment.

Question #4: For recruiting materials, how involved can staff members, who are not countable coaches, be in the creative process? Can we help design, draft, and/or edit recruiting materials?

Answer #4: Noncoaching staff members should not be involved with the creative process as it relates to recruiting correspondence – the substance of recruiting communication with prospects should come from the “creative mind” of a countable coach. A noncoaching staff member could, for example, type a letter whose substance has been dictated by a countable coach and could perform grammar checks, spelling checks, and other clerical functions related to the preparation of the correspondence.

Question #5: Is it permissible for staff members other than the countable coaches to sort and catalog film? These individuals do not evaluate or make recommendations to coaches. They open mail, sort, log video.

Answer #5: Yes, under the conditions that you’ve identified. The staff members must be merely preparing the video for review by a countable coach rather than evaluating prospects on the videotape.

Question #6: Can they send a letter acknowledging receipt of the film to the prospect?

Answer #6: Same answer as #2.

Question #7: What is considered a “routine clerical task?”

Answer #7: Here is a nonexclusive list of examples of routine clerical tasks: typing dictated letters or e-mails, printing a letter and putting it in an envelope, addressing/stamping/ mailing a letter, making copies, making reservations and appointments for visit-related activities (ideas for the activities themselves should come from a countable coach), preparing compliance-related paperwork, receiving and sorting mail, entering biographical and compliance-related information into recruiting database, and answering the phone (subject to #1 above).

I’ve highlighted two sections of the 7/27/06 EC below – the first helps to clarify the application of this legislation as it relates to phone calls, the second as it relates to recruiting correspondence. Please contact Christian if you have additional questions.

2006 Educational Column – NCAA Division I Bylaw 11.7.1.2 - Recruiting Coordination Functions (I)

Date Issued: Jul 27, 2006

Type: Ed. Column

Ref: 1

Interpretation:

NCAA Division I institutions should note that with the adoption of NCAA Division I Proposal No. 2005-77-B, effective August 1, 2006, all recruiting coordination functions (except routine clerical tasks) must be performed by

a coach who counts toward the numerical limitations of head or assistant coaches (NCAA Division I Bylaw 11.7.4) in all sports. Such functions include:

1. Activities involving athletics evaluation and/or selection of prospective student-athletes.
2. Telephone calls to prospective student-athletes (or prospective student-athletes' parents, legal guardians or coaches).
3. Preparation of general recruiting correspondence to prospective student-athletes (or prospective student-athletes' parents or legal guardians).

Further, inasmuch as telephone calls are a recruiting-coordination activity, it is not permissible for athletics department staff members (other than a coach who counts toward the numerical limitations of head or assistant coaches or other specific staff members pursuant to legislated exceptions), including volunteer coaches (Bylaw 11.01.5), to make calls to or receive calls from prospects (or the prospects' parents, legal guardians, or coaches) that may involve conversations related to the recruitment of the prospects. In Division I-A football and women's rowing, such telephone calls also may be made by the graduate assistant coach, provided the coach has successfully completed the coaches' certification examination per Bylaw 11.5.1.1.

It is also important to note that with the adoption of Proposal No. 2005-77-B, the exceptions noted in Bylaw 13.1.3.4.1.1, which permitted noncoaching institutional staff members to make telephone calls to prospective student-athletes in football under specified conditions, now apply to all sports. The following institutional staff members may continue to make telephone calls to or receive telephone calls from prospective student-athletes (or the prospective student-athlete's parents or legal guardians) as specified:

1. President or chancellor/director of athletics. It is permissible for an institution's president or chancellor and director of athletics to return (as opposed to initiate) telephone calls from prospective student-athletes (or the prospective student-athletes' parents or legal guardian). Under such circumstances, there are no restrictions on the content of the conversation that may occur during the call; however, any return call is subject to any applicable limitations on the number of telephone calls that an institution may place to prospective student-athletes.
2. Academic advisors. It is permissible for academic advisors (including academic advisors within the athletics department) to make calls to or receive calls from prospective student-athletes (or the prospective student-athlete's parents or legal guardians) related to admissions or academic issues, subject to any applicable limitation on the number of telephone calls an institution may place to prospective student-athletes.
3. Compliance coordinators. It is permissible for a compliance coordinator to return telephone calls from prospective student-athletes with no limit on the timing or number of such telephone calls, provided the calls relate only to compliance issues. It is permissible for a compliance coordinator to initiate telephone calls to a prospective student-athlete, provided the calls relate only to compliance issues and such calls occur subsequent to the prospective student-athlete's signing a National Letter of Intent with that institution (or, for those institutions not subscribing to the National Letter of Intent, subsequent to the prospective student-athlete's signed acceptance of the institution's written offer of admission and/or financial aid).
4. Noncoaching staff members with sport specific responsibilities. It is permissible for a noncoaching staff member with sport specific responsibilities to initiate and receive telephone calls from prospective student-athletes, provided the calls relate only to general pre-enrollment administrative issues and such calls occur subsequent to the prospective student-athlete's signing a National Letter of Intent with that institution (or, for those institutions not subscribing to the National Letter of Intent, subsequent to the prospective student-athlete's signed acceptance of the institution's written offer of admission and/or financial aid).

Finally, institutions are reminded that electronically transmitted correspondence (e.g., electronic mail, instant messenger, facsimiles, pages, text messaging) must be prepared by a coach who counts toward the numerical limitations of head or assistant coaches (Bylaw 11.7.4). It is permissible for an individual other than a coach who counts toward the numerical limitations of head or assistant coaches to send the correspondence; however, this individual may neither respond to correspondence from nor prepare correspondence to prospects. For example, a coach who counts toward the numerical limitations of head or assistant coaches composes a message to be sent to a large group of prospective student-athletes. Such a message may be provided to a staff member that is not a countable coach (e.g., administrative assistant, graduate assistant, director of operations, volunteer coach) and that individual may coordinate and engage in the act of sending the message to the intended recipients. In this manner, while the institutional staff member who is not included in the numerical coaching limitations actually sent the correspondence, the coach who counts toward the numerical limitations of head or assistant coaches prepared the content and, thus, satisfied the provisions of Bylaw 11.7.1.2.

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

Go Hoosiers!!!

Message

Brinegar, Jennifer Hooker

From: Pope, Christian Dean
Sent: Thursday, October 12, 2006 8:51 AM
To: Senderoff, Robert A.
Cc: Brinegar, Jennifer L
Subject: RE: do's and don't

Rob,

Under Bylaw 13.4.1.1 there is nothing we can produce that you would be able to provide to him regarding recruiting. The only guidance/documentation you can provide is NCAA educational material published by the NCAA such as the NCAA Guide for the College bound student-athlete. He can find this information on the NCAA web site at www.ncaa.org. Unfortunately, that is all we can provide at this time for him.

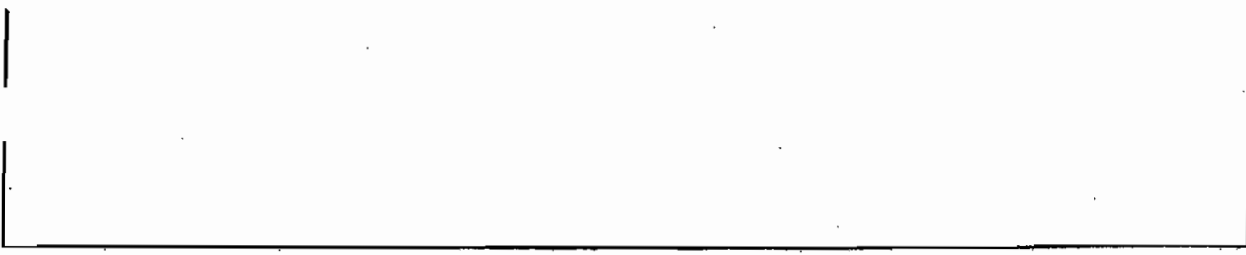
Christian
Director of Compliance
Indiana University
812-856-6074
Go Hoosiers!

From: Senderoff, Robert A.
Sent: Thursday, October 12, 2006 8:44 AM
To: Pope, Christian Dean
Subject: do's and don't

reshman (2010) is going to be here for our midnight madness and his father asked me if we can give him a do's and don't's sheet for recruiting. Do we have anything on that that I can hand them? Thanks

Rob Senderoff
Indiana University
Men's Basketball, Assistant Coach
O# 812-855-2238

9/27/2007



Brinegar, Jennifer Hooker

From: Brinegar, Jennifer L
Sent: Monday, October 23, 2006 10:21 AM
To: Calhoun, M. Grace
Subject: FW: over signing scholarships
Importance: High
Attachments: mbk07-08.xls

FYI – as noted in my previous email to you.

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

Go Hoosiers!!!

From: Arnold, Kathy L
Sent: Monday, October 23, 2006 10:17 AM
To: Meyer, Jeffrey Dennis
Cc: Brinegar, Jennifer L
Subject: over signing scholarships
Importance: High

Coach,
Big Ten Rule 15.5.1 states that we shall not at any time issue a tender to a prospective student which, if accepted, would exceed the maximum number of grants-in-aid allowed under the limits of NCAA Bylaw 15.5

Note: Football has an exception to this policy, but basketball does not.

So currently you will have 5 scholarships to give for next year. I have attached my numbers for you to see. Hope this helps.

Kathy

Brinegar, Jennifer Hooker

From: Brinegar, Jennifer Hooker
Sent: Wednesday, October 31, 2007 4:30 PM
To: Sampson, Kelvin Dale; Meyer, Jeffrey Dennis
Cc: Calhoun, M. Grace; Rickerby, Ian J
Subject: Status of Prospects' Eligibility

Coach Sampson and Coach Meyer,

I just got off the phone with Liz Perry in Student-Athlete Reinstatement ("SAR"). She has verbally reinstated Devin Ebanks, so he is now eligible to play at IU.

Before she and the SAR staff will consider our request to reinstate Derek Elston's eligibility, she would like a signed and dated statement from the high school coach as to why he let Elston return to camp the second day after being told not to by Coach Meyer.

Further, we will need a signed and dated statement from Elston as to:

1. Why he came back for the second day (why he didn't go home as Meyer instructed his coach to have him do).
2. What he knew about taking an "unofficial visit" on the first day of a 2-day camp.
3. How significant was this particular visit (on the evening of June 30) and the verbal offer extended by Coach Sampson at that time in his decision to make a verbal commitment to attend IU.

Please keep me updated on your progress in getting these two statements.

Thanks,

Jennifer

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

Go Hoosiers!!!

Brinegar, Jennifer L

From: Pope, Christian Dean
Sent: Wednesday, November 08, 2006 3:44 PM
To: Green, Jerry
Cc: Sampson, Kelvin Dale; Meyer, Jeffrey Dennis; McCallum Jr, Ray; Senderoff, Robert A.; McElroy, Barbara J; McLaughlin, Elizabeth C; Brinegar, Jennifer L
Subject: Question
Importance: High

Jerry,

Based upon your question, you can attend Ray Jr.s events, not practice per the following. In any event, you cannot evaluate or recruit and may not speak to or have contact with any of the prospects participating or their parents or legal guardians. Thank you for the question.

Noncoaching Athletics Department Staff Members with Sport-Specific Responsibilities (I)

Date Issued: Oct 11, 2000 **Type:** Official **Item Ref:** 1

Interpretation: A noncoaching athletics department staff member with sport-specific responsibilities (e.g., basketball administrative assistant, director of football operations) **may not attend** any on- or off-campus athletics event (e.g., high-school contest, evaluation camp) in that sport in which prospective student-athletes are participating **unless:**

- a. The staff member is an immediate family member (or legal guardian) of a prospect involved in the activity; **or**
- b. The activity is a competition (as opposed to a camp) conducted in the locale of the institution.

In the case of (a) and (b) above, the staff member's **attendance shall not be for evaluation purposes, the staff member shall not have direct contact with any prospect participating in the activity and the staff member shall not act as an institutional recruiter** (e.g., by reporting back to the institution's coaching staff about the performance of a prospect, by speaking to a prospect's parents or coach). [References: NCAA Bylaws 11.7.1.1.1 (countable coach), 11.7.1.1.1 (noncoaching activities), 11.7.4.3 (off-campus contact and evaluation of prospects), 13.1.2.1 (general rule), 13.1.2.1.1 (off-campus recruiters) and 13.1.2.2 (general exceptions)]

Christian D. Pope
Director of Compliance
Indiana University
101 East 17th Street
Bloomington, IN 47408-1590

11/8/2006



812-856-6074
Go Hoosiers!



Brinegar, Jennifer Hooker

From: Brinegar, Jennifer L
Sent: Friday, January 05, 2007 10:21 AM
To: Fitzpatrick, Timothy Martin
Subject: RE: National Guard Homecoming Party

Thanks for the update. If a compliance presence is needed, let me know. I would go, but am scheduled to be at Crawfordsville for a swim meet that both of my sons are competing in this weekend. Since it is in Ellettsville, I could see if Christian could go, if needed.

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

Go Hoosiers!!!

From: Fitzpatrick, Timothy Martin
Sent: Friday, January 05, 2007 10:10 AM
To: Brinegar, Jennifer L
Subject: FW: National Guard Homecoming Party

Jen -

Here's our guidance directly from Rick....I'll call Jerry and tell him to make sure that Coach Sampson understands that he cannot currently attend this event in the state that we know it to be. We may need to prep for some bad PR, but I'd rather have that issue than a tussle with the COI over a goodwill gesture.

Thanks,

Tim

From: Greenspan, Richard I
Sent: Friday, January 05, 2007 10:02 AM
To: Fitzpatrick, Timothy Martin
Subject: RE: National Guard Homecoming Party

Absolutely.....I mandate full compliance with the COI restrictions and if the event needs to be moved or cancelled so be it. I assume they could restrict attendance as we have with other events but these matters need coordination, clearance and approval as we have stated unequivocally in the past. It is Jerry's responsibility to have full transparency with us in a timely manner so we can handle administratively.

RG

From: Fitzpatrick, Timothy Martin
Sent: Friday, January 05, 2007 9:49 AM
To: Brinegar, Jennifer L
Cc: Green, Jerry; Greenspan, Richard I
Subject: RE: National Guard Homecoming Party

3/26/2008

Importance: High

Jen -

Thanks for this information....I appreciate it. I'm going to forward this to both Rick Greenspan and Jerry Green so they can be in this loop. I agree with your assessment about the problem with probable attendance by prospect age individuals as the event is being held at Edgewood High School in Ellettsville. Rick needs to know for a lot of reasons, and I think we need to have Jerry ask Coach Sampson directly whether or not he plans on attending the event. If he's not planning on going, we likely don't have an issue. If he is, then we need to intervene administratively to make sure that he does not, per the sanctions we're working under. I know this particular cause is near-and-dear to Coach Sampson, but we still need to make sure that we comply with the terms and conditions of the sanction. I'll contact Jerry separately and get an answer here quickly.

Be back to you soon with further guidance, and thanks for bringing this matter to my attention. It's far better for us to be safe rather than sorry in this case.

Tim

From: Brinegar, Jennifer L
Sent: Friday, January 05, 2007 9:41 AM
To: Fitzpatrick, Timothy Martin
Subject: National Guard Homecoming Party
Importance: High

Tim,

As you are aware, Coach Sampson was invited to be a part of the celebration for the returning members of the National Guard from the war in Iraq. BJ or Beth contacted me via phone last week and asked if this was permissible. I said it would be permissible only if they could guarantee that no prospect-aged individuals were in attendance - which I stated would probably be impossible since family members would be in attendance.

Last night, I heard an ad on the radio inviting members of the public to this event at Edgewood High School in Ellettsville and this morning there is a big article on page 3 of the front section in the H-T again inviting the public. The radio ad and the H-T article both listed Sampson as co-chair of this event.

I am not sure if he is planning on attending or just is being listed as the co-chair, but he can not attend any event that is open to the public (per the sanctions imposed by the COI) UNLESS they move the homecoming party to somewhere on IU's campus.


Please let me know how I should proceed.

Thanks,

Jennifer

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

3/26/2008



Go Hoosiers!!!

*Blind-Copied to
athletics staff*

Brinegar, Jennifer Hooker

From: Brinegar, Jennifer L
Sent: Wednesday, January 10, 2007 11:57 PM
Subject: Question of the Week (week of January 15)
Attachments: ARA Log 0607 (6D).doc

Question: If a team is currently not in its playing and practice season, is the coach allowed to require any athletically related activity on Monday (MLK Day)?

Answer: No, on official IU vacation days, teams that are out of season CANNOT have any required athletically related activities (e.g., no required weightlifting, conditioning, or skill instruction). [17.1.5.2.1.1]

This reminder is on your ARA logs that you complete monthly (see highlighted sentence on the attached log), but since it is a relatively newly observed holiday at IU I thought it would be "prudent at this juncture" to send this out as an early QOTW for next week (so this is it for the QOTW until the week of January 22!).

**Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451**

Go Hoosiers!!!

Brinegar, Jennifer Hooker

*Hand-copied to
athletic staff*

From: Brinegar, Jennifer Hooker
Sent: Friday, January 26, 2007 7:34 PM
Subject: Questions of the Week for the Weeks of January 22 and 29 - Please Read!
Importance: High

Question #1: When is it permissible to use an outside consultant?

Answer #1: IU may arrange for a temporary consultant to provide in-service training for the coaching staff. However, NO interaction with student-athletes is permitted unless the individual is counted against the applicable coaching limits. An outside consultant may NOT be involved in any on- or off-field or on- or off-court coaching activities (e.g., attending practices and meetings involving coaching activities, formulating game plans, analyzing videotape or film involving IU's or an opponent's team) without counting the consultant in the coaching limitations in that sport. [11.7.1.1.1.4]

Also, a student-athlete can hire someone from outside the IU community to work with him or her (on either sport-specific or conditioning activities) as long as IU (including coaches) is not involved in arranging for such services, IU coaches don't observe the activity and the activity doesn't occur at an IU facility (either during voluntary workouts or during regular IU practice sessions), the student-athlete pays the going rate for such services, and he/she does not receive preferential compensation arrangements not available to the general public.

Remember, if you want to use an outside fitness consultant to work with your team on conditioning, you can only use an institutional employee (such as someone from our strength and conditioning staff or someone from the SRSC fitness center). We cannot hire someone to come in and train your student-athletes in yoga, Pilates, cycle-fit, etc.

See the second interp below for a more permissible view towards sports psychologists (as long as they don't engage in any coaching activities).

NCAA Bylaws 11.7.1.1.1, 11.7.1.1.1.1 and 11.7.1.1.1.3 – countable coach/outside consultants – Division I

Date Issued: Nov 20, 1995

Type: Ed. Column

NCAA Division I institutions should note that in accordance with Bylaw 11.7.1.1.1, an athletics department staff member must count against coaching limits as soon as the individual participates (in any manner) in the coaching of the intercollegiate team in practice, games or organized activities directly related to that sport, including any organized staff activity directly related to the sport. Further, pursuant to Bylaw 11.7.1.1.1.1, institutional staff members involved in noncoaching activities (e.g., administrative assistants, recruiting coordinators in sports other than football, academic counselors) do not count in the institution's coaching limitations, provided such individuals are not identified as coaches, do not engage in any on- or off-field coaching activities (e.g., attending meetings involving coaching activities, analyzing videotape or film involving the institution's or an opponent's team) and are not involved in any off-campus recruitment of prospects or scouting of opponents. Pursuant to Bylaw 11.7.1.1.1.3, an institution may hire a temporary consultant to provide in-service training for its coaching staff; however, no interaction with student-athletes is permitted unless the individual is counted against applicable coaching limits. During its August 31 and October 5, 1995, telephone conferences, the NCAA Interpretations Committee determined that it is permissible for a student-athlete(s) to receive assistance from an individual outside of the institution (e.g., consultant, professional instructor) without the individual being counted in the institution's coaching limitations, provided the institution

is not involved in any way in arranging for such activity, institutional coaching staff members do not observe such activity and such activity does not occur at the institution's facility (either during voluntary workouts or during regular institutional practice sessions). Further, the student-athlete(s) must pay all the fees (at the going rate) associated with the activity and may not receive preferential compensation arrangements (e.g., discount rate, deferred payments) not available to the general public.

Sports Psychologist/Use of Outside Consultants (I)

Date Issued: Jul 02, 2003

Type: Staff Interpretation

It is permissible for an institution's athletics department to employ a sports psychologist without including such an individual in the institution's coaching limitations in a particular sport, provided the individual does not engage in any on- or off-field coaching activities (e.g., use equipment, review game films, set-up offensive or defensive alignments when meeting with the student-athletes, meetings involving coaching activities). A sports psychologist may engage in "mental imaging" with a student-athlete without being considered a countable coach, provided no coaching activities occur; however, if a student-athlete is required to meet with the sports psychologist, such a meeting is considered a countable athletically related activity. [References: NCAA Bylaws 11.7.1.1.1 (countable coach), 11.7.1.1.1.1 (noncoaching activities) and 11.7.1.1.1.4 (use of outside consultants), 01/08/03 official interpretation, Item 1, and 12/15/95 staff determination, item 1, which has been archived.]

Question #2 [For sports who can utilize the Local Sports Club exception to the Tryout Rule – football and Basketball, skip past this Q&A and go to the end of this email]: Is it possible for a high school student (e.g., a freshman, sophomore or junior) to "temporarily" change his or her legal residence for the summer by changing his or her driver's license to show Bloomington, Indiana, and then go back home when the summer is over in order to meet the residency requirement for local sports clubs?

Answer #2: No, the change in residency status must be permanent. See below:

Application of "Legal Resident" to Involvement with a Local Sports Club (I)

Date Issued: Jan 07, 2007

Type: Official:

The NCAA Division I Management Council determined that for purposes of the application of the local sports clubs legislation, a prospective student-athlete who relocates to within a 50-mile radius of an institution on a temporary basis (e.g., to participate on a club team or attend an institution while maintaining a permanent residence outside of the 50-mile radius) is not a "legal resident of the area" of the institution regardless of whether the prospect meets a legal standard of state or local residency for governmental purposes. Therefore, an institution's coach may not be involved with a local sports club team in the coach's sport that includes such a prospect. [References: NCAA Division I Bylaw 13.11.2.3 (local sports clubs)]

Thanks for reading to the end! The first coach and the first staff member to contact me via email in response to this email will each win a car wash (inside and out) from the Bloomington Car Wash on South Walnut Street.

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

Go Hoosiers!!!

Brinegar, Jennifer Hooker

From: Brinegar, Jennifer Hooker
Sent: Wednesday, February 14, 2007 4:05 PM
To: McElroy, Barbara J
Subject: RE: Proposal for Campuswide Philanthropy Event
Attachments: Promotional Activity Request (10A).doc

This event sounds like it would be permissible per 12.5.1.1, but we would need the attached form filled out to be sure and all of the following parameters would need to be met and followed by the fraternity and the involved student-athletes:

- (a) The student-athlete receives written approval to participate from the director of athletics (or his or her designee who may not be a coaching staff member), subject to the limitations on participants in such activities as set forth in Bylaw 17;
- (b) The specific activity or project in which the student-athlete participates does not involve cosponsorship, advertisement or promotion by a commercial agency other than through the reproduction of the sponsoring company's officially registered regular trademark or logo on printed materials such as pictures, posters or calendars. The company's emblem, name, address and telephone number may be included with the trademark or logo. Personal names, messages and slogans (other than an officially registered trademark) are prohibited;
- (c) The name or picture of a student-athlete with remaining eligibility may not appear on an institution's printed promotional item (e.g., poster, calendar) that includes a reproduction of a product with which a commercial entity is associated if the commercial entity's officially registered regular trademark or logo also appears on the item;
- (d) The student-athlete does not miss class;
- (e) All moneys derived from the activity or project go directly to the member institution, member conference or the charitable, educational or nonprofit agency;
- (f) The student-athlete may accept actual and necessary expenses from the member institution, member conference or the charitable, educational or nonprofit agency related to participation in such activity;
- (g) The student-athlete's name, picture or appearance is not used to promote the commercial ventures of any nonprofit agency;
- (h) Any commercial items with names, likenesses or pictures of multiple student-athletes (other than highlight films or media guides per Bylaw 12.5.1.8) may be sold only at the member institution at which the student-athlete is enrolled, institutionally controlled (owned and operated) outlets or outlets controlled by the charitable or educational organization (e.g., location of the charitable or educational organization, site of charitable event during the event). Items that include individual student-athlete's name, picture or likeness (e.g., name on jersey, name or likeness on bobble-head doll), other than informational items (e.g., media guide, schedule cards, institutional

publications), may not be sold; and

(i) The student-athlete and an authorized representative of the charitable, educational or nonprofit agency sign a release statement ensuring that the student-athlete's name, image or appearance is used in a manner consistent with the requirements of this section.

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

Go Hoosiers!!!

-----Original Message-----

From: McElroy, Barbara J
Sent: Wednesday, February 14, 2007 10:13 AM
To: Brinegar, Jennifer Hooker
Subject: FW: Proposal for Campuswide Philanthropy Event

Jennifer:

Coach Sampson asked that I forward this on to you to check on compliance issues. Please advise. Thank you,

J. McElroy
Assistant to Coach Kelvin Sampson
Indiana University
Men's Basketball
(812)855-2238

-----Original Message-----

From: Danner, Brent Eric
Sent: Monday, January 29, 2007 3:42 PM
To: McElroy, Barbara J
Subject: Proposal for Campuswide Philanthropy Event

Dear Barbara,

My name is Brent Danner and I am the Director of Philanthropy for Beta Theta Pi. I am writing you in regards to a philanthropy project my fraternity would like to develop. As a method to bring the entire campus together for a philanthropy event, we would like to hold a dunk contest with the IU men's basketball team sometime in April. In addition to having student-athletes participate, we would also like to include a select amount of students from the student body at large. All proceeds would be donated to a charity of the University's choice. We feel that this project could generate a substantial amount of revenue.

In fact, this same concept has seen great success at other schools in major conferences.

I have been in contact with Professor Bruce Jaffee and with SAAC and they are supporting the idea

1/28/2008

enthusiastically. I have also talked to Christian Pope and am working with him on compliance issues. He recommended that I contact you to discuss if there would be any issues in regard to scheduling this event. I can be contacted by email at bedanner@indiana.edu and by phone at 574.453.1810. I look forward to working with you on this idea and I thank you graciously for your time.

Thanks again and GO HOOSIERS!

--
Brent Danner

Director of Philanthropy, Beta Theta Pi Fraternity bedanner@indiana.edu 574.453.1810

1/28/2008

Brinegar, Jennifer Hooker

From: Brinegar, Jennifer Hooker
Sent: Monday, February 19, 2007 11:44 AM
To: Garl, Tim C
Subject: RE: Ball

Thanks – I will just attach this to the approval form. Consider your request approved.

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

Go Hoosiers!!!

From: Garl, Tim C
Sent: Monday, February 19, 2007 8:26 AM
To: Brinegar, Jennifer Hooker
Subject: RE: Ball

Jennifer:

The ball is going to be used as a prize for a "Corn Hole" competition to raise money for Pediatric Aids.

The competition is Feb 23rd.

The ball had Sampson's signature on it and I told them I would get the team to sign it for the winner.

Tim

Brinegar, Jennifer Hooker

From: Brinegar, Jennifer Hooker
Sent: Tuesday, February 27, 2007 2:28 PM
To: McElroy, Barbara J
Subject: RE:
Attachments: Athletics Inventory- Donation Preapproval Form.doc

Since it's an IU event and the money is going to Riley, it's okay. Just make sure to fill out the attached form. Thanks for checking!

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

Go Hoosiers!!!

From: McElroy, Barbara J
Sent: Tuesday, February 27, 2007 12:57 PM
To: Brinegar, Jennifer Hooker
Subject:

Jennifer:

Below is a paragraph out of a letter our office received from a student that was wanting a basketball signed for the IU Dance Marathon. the March Madness bracket thing threw up a red light to me, so tell me if we are allowed to sign something for this.

"This year, IUDM, is holding a March Madness forecasting event consisting of predicting a March Madness bracket. It will be suggested that participants make a 10 dollar donation to enter. We are searching for an attractive prize to give people incentive to participate. It would be greatly appreciated if Coach Sampson could sign a ball for the winning participant."

Let me know, and thanks.

B.J. McElroy
B.J. McElroy
Assistant to Coach Kelvin Sampson
Indiana University
Men's Basketball
(812)855-2238

✓ MBB
WBB

Brinegar, Jennifer Hooker

From: Brinegar, Jennifer Hooker
Sent: Thursday, March 01, 2007 9:39 AM
To: Barbara JMcelroy; Finlinson, Jeana Lee (jfinlin@indiana.edu); Fitzpatrick, Timothy Martin (tmfitzpa@indiana.edu); Green, Jerry (wjegreen@indiana.edu); Hogg, Cherie Lisa; Jones, Vera (verjones@indiana.edu); Kelvin Sampson; Legette-Jack, Felisha Ann (flegette@indiana.edu); McCallum Jr, Ray Ray (rmccallu@indiana.edu); Meyer, Jeffrey Dennis (jedmeyer@indiana.edu); Pardue, Tammy J (tjpardue@indiana.edu); Senderoff, Robert A. (rsendero@indiana.edu); Tim CGarl; Wilson, Marc A. (wilson38@indiana.edu)
Cc: Calhoun, M. Grace
Subject: Ball State basketball faces NCAA sanctions

This is a great reminder of the rules regarding off-season and summer pick-up games involving our student-athletes:

Ball State basketball faces NCAA sanctions

To view this article on IndyStar.com, go to:

<http://www.indystar.com/apps/pbcs.dll/article?AID=2007703010524>

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

Go Hoosiers!!!

Brinegar, Jennifer Hooker

From: Brinegar, Jennifer Hooker
Sent: Thursday, March 08, 2007 5:14 PM
To: Kelvin Sampson; Senderoff, Robert A.; McCallum Jr, Ray; Meyer, Jeffrey Dennis; Green, Jerry
Cc: Green, Jerry; Fitzpatrick, Timothy Martin; McElroy, Barbara J
Subject: Upcoming Contact Period Interpretation

FYI - This was just listed today on the LSDBi (although this staff interpretation was apparently issued on 2/21/07):

Permissible Evaluation Activities During March Contact Period - Men's Basketball (I)

Interpretation:

The membership services staff confirmed that during the March contact period, evaluations at sites other than the prospective student-athlete's educational institution are prohibited in men's basketball. Live (in-person, at the event) evaluations at a prospective student-athlete's educational institution shall be limited to regularly scheduled high school, preparatory school and two-year college contests/tournaments and practices and regular scholastic activities involving Bylaw 13.1.8.8-(a).
Good luck tomorrow night!

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

Go Hoosiers!!!

Blank

Brinegar, Jennifer Hooker

From: Brinegar, Jennifer Hooker
Sent: Tuesday, March 13, 2007 10:45 AM
To: McElroy, Barbara J
Subject: RE:

The prohibition on speaking engagements in front of prospect-aged individuals ends as of May 25, 2007. So, this coaching clinic should be okay for him to attend as long as the following parameters are followed:

An institution may not provide gifts or material benefits to high school, prep school or junior college coaches in conjunction with its coaching clinic. [13.8.2.1]

An institution may not provide a door prize to a high school, prep school or junior college coach in conjunction with its coaching clinic, even if the value of the prize has been included in the cumulative admission fee (i.e., the admission fee charged to each person, when combined, would cover the cost of the door prize). [13.8.2.1]

It is not permissible for a vendor (e.g., apparel or equipment manufacturers) who has a booth or is sponsoring a coaching clinic to provide any gifts or prizes to clinic participants. [S 2/9/94]

Materials such as clipboards and file folders may be provided to coaching clinic participants provided such the fair market value of the items is included in the registration or admissions fee for each person (e.g., if the item is donated to you for the clinic, you still must assess the fair market value of the item in the registration or admissions fee). [13.8.2.1]

A prospective student-athlete may not serve as a demonstrator for an institution's coaching clinic. [13.11.1]

Thanks for checking this out.

Jennifer

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
812-855-0451

Go Hoosiers!!!

From: McElroy, Barbara J
Sent: Tuesday, March 13, 2007 10:03 AM
To: Brinegar, Jennifer Hooker
Subject:

1/28/2008

I believe I sent you an email last week about another coaching clinic Coach Sampson is scheduled to do in Tennessee, on September 29th. Again, I had checked this out through Christian. Is this one ok?

B.J. McElroy
B.J. McElroy
Assistant to Coach Kelvin Sampson
Indiana University
Men's Basketball
(812)855-2238

Brinegar, Jennifer Hooker

From: Brinegar, Jennifer Hooker
Sent: Wednesday, March 14, 2007 2:11 PM
To: Barbara JMcelroy; Finlinson, Jeana Lee (jfinlin@indiana.edu); Fitzpatrick, Timothy Martin (tmfitzpa@indiana.edu); Green, Jerry (wjegreen@indiana.edu); Hogg, Cherie Lisa; Jones, Vera (verjones@indiana.edu); Kelvin Sampson; Legette-Jack, Felisha Ann (flegette@indiana.edu); McCallum Jr, Ray Ray (rmccallu@indiana.edu); Meyer, Jeffrey Dennis (jedmeyer@indiana.edu); Pardue, Tammy J (tpardue@indiana.edu); Senderoff, Robert A. (rsendero@indiana.edu); Tim CGarf; Wilson, Marc A. (wilson38@indiana.edu)
Cc: Rickerby, Ian J; Calhoun, M. Grace
Subject: Ed Column on MBB & WBB Evaluations During the Academic Year
Importance: High

Coaches,

Please read the following educational column below that was issued on March 12, sent out to membership yesterday (March 13) and revised today (March 14) as even Membership Services sometimes gets called on an offensive charge when it comes to rules interpretations. This is the final (corrected) version. It supplements and supports the memo that Ian distributed to both coaching staffs yesterday (March 13).

Thanks and good luck in your respective post-season tournaments!

Jennifer

**Educational Column -- NCAA Division I Bylaw 13.1.8.8 -- Evaluation Days --
 Basketball -- Attendance at Scholastic and Nonscholastic Events During the Academic
 Year (I)**

Interpretation: NCAA Division I institutions should note the following restrictions apply to evaluations in men's and women's basketball during the academic year:

General

For purposes of applying the legislation, a regular scholastic activity is any activity involving only students enrolled at a particular high school, preparatory school or two-year college that has been approved by the appropriate authority at the scholastic institution. An activity that is organized for the purpose of allowing a college coach or coaches to observe prospects demonstrating his or her athletics skills is not considered a regular scholastic activity, but would constitute an impermissible tryout.

Men's Basketball

1. All evaluations during the academic year evaluation periods (refer to recruiting calendars) are limited to regularly scheduled high school, preparatory school and two-year college contests/tournaments, practices and regular scholastic activities involving prospects enrolled only at the educational institution at which the activity occurs.
2. During the April contact period, evaluations at nonscholastic events are limited to events that are approved, sanctioned, sponsored or conducted by the applicable high school, preparatory school or two-year college association, National Federation of High School Associations or the National Junior College Athletic Association. In addition, coaches may only attend such an event on

Saturday and/or Sunday and not on any weekend during which a national standardized test (e.g., SAT, ACT) is administered.

3. Please note that the adoption of NCAA Proposal No. 2006-52 established a contact period March 16 through 22. During this contact period, evaluations at sites other than the prospective student-athlete's educational institution are prohibited. Live evaluations at a prospective student-athlete's educational institution shall be limited to regularly scheduled high school, preparatory school and two-year college contests/tournaments and practices and regular scholastic activities involving prospective student-athletes enrolled only at that institution.

[Consequently, it remains permissible to evaluate, for example, state tournament games that are played at large public arenas.]

Women's Basketball

1. During the academic year, evaluations may occur at regularly scheduled high school, preparatory school and two-year college contests/tournaments, practices, pick-up games and open gyms.
2. Evaluations at nonscholastic events shall occur only during the last full weekend of the fall contact period and the weekend of the spring evaluation period. Nonscholastic events are limited to those that are certified per Bylaw 30.17 and events that are approved, sponsored or conducted by an applicable state (e.g., state high school federation, national (e.g., National Junior College Athletic Association) or international governing body (e.g., USA Basketball, United States Olympic Committee or international equivalent).

[References: Bylaw 13.1.8.8 (evaluation days -- basketball); Bylaw 30.17 (basketball event certification -- women's basketball); and a staff interpretation (2/21/07, Item No. 2)]

Jennifer Brinegar
Assistant Athletics Director - Compliance
Indiana University
317-855-0451

Go Hoosiers!!!

Brinegar, Jennifer Hooker

From: Rickerby, Ian J
Sent: Friday, July 06, 2007 2:50 PM
To: Dakich, Daniel John; Garl, Tim C; McCallum Jr, Ray; McElroy, Barbara J; McLaughlin, Elizabeth C; Meyer, Jeffrey Dennis; Senderoff, Robert A.
Cc: Massey, Kimya Ford; Fitzpatrick, Timothy Martin; Brinegar, Jennifer Hooker
Subject: Some Foreign Tour Info

All,

While discussing your upcoming late August / early September foreign tour with the Big 10 last month, I inquired about the status of any ineligible players in regards to the tour. They confirmed bylaw 30.7.2 that states that any ineligible student-athletes are prohibited from going on foreign tours.

30.7.2 Eligibility of Student-Athletes.

The eligibility of student-athletes on the tour shall be governed by the following:

(a) If the tour takes place during the summer, the student-athletes shall have been eligible for intercollegiate competition during the previous academic year or shall have been enrolled at the institution as a full-time student during the previous academic year and have established by the beginning of the tour that he or she is eligible for competition the academic year immediately following the tour; or *(Revised: 8/11/98 effective immediately for tours conducted during the 1998-99 academic year and thereafter)*

(b) If the tour takes place after the academic year has started, the student-athletes shall be regularly enrolled in the institution and eligible for intercollegiate competition.

It was confirmed however, that any such ineligible players MAY take part in the practices leading up to the tour.

Let us know if you have any questions,

Thanks,

Ian

Ian Rickerby
Director of Compliance
Indiana University Department of Intercollegiate Athletics
812 856 6074
www.iuhoosiers.com



**DEPARTMENT OF
INTERCOLLEGIATE
ATHLETICS**

INDIANA UNIVERSITY
Office of the Director
Bloomington

November 1, 2007

Coach Ray McCallum
Men's Basketball
Indiana University
Assembly Hall
1001 E. 17th Street
Bloomington, IN 47408

Dear Coach McCallum:

This letter is regarding your failure to inform the compliance office when you used your home phone to make one known recruiting call.

As you know, Indiana University has a strong commitment to compliance with NCAA and Big Ten rules governing intercollegiate athletics. You had direct access to the compliance office staff for any interpretative questions that might arise. You also signed monthly declarations that you were not using your home phone to make recruiting calls. This proved to be inaccurate as you did make one known recruiting call from your home phone. Your conduct jeopardized the compliance staff's ability to monitor the recruiting calls of the men's basketball staff.

I am particularly troubled that this failure to disclose the use of your home phone and to report this call occurred during a time period when the entire men's basketball coaching staff should have been even more attentive to the application of NCAA rules and compliance-related issues as a result of the violations that occurred while Coach Sampson was at the University of Oklahoma.

In response to the phone calls made by coaches on the men's basketball staff that were contrary to the sanctions and in violation of NCAA rules, as set forth in the university's October 3 and October 26 reports to the NCAA, the university has taken actions regarding the men's basketball program, as detailed in the attachment to this letter. The following actions are specific to you:

- a. A copy of this letter will be included in your personnel file.
- b. You will be required to attend mandatory compliance meetings every other week for the full men's basketball coaching staff (i.e., head coach, assistant coaches, and director of basketball operations) for one year.

Rick Greenspan Director of Athletics

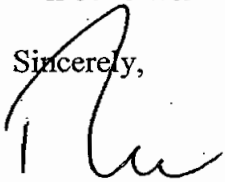
- c. You will be required to attend the same 2008 NCAA Regional Rules Seminar as a member of the university's compliance staff.
- d. The university will reduce the number of permissible calls to prospects on or after August 1 of their senior year in high school from two calls per week to one call per week from September 17, 2007, through the end of the regular National Letter of Intent signing period (May 21, 2008). Further, Coach Sampson is only eligible to make every other one of these phone calls.

It is my hope that these actions illustrate to you the critical importance of athletics compliance and I trust that you will take every measure possible to ensure that your future conduct adheres to NCAA, Big Ten Conference and Indiana University regulations and guidelines.

Please be advised that your involvement in or failure to report your knowledge of any prior or subsequent violation of NCAA, Big Ten Conference or Indiana University bylaws, regulations, policies, procedures (including the actions listed in this letter and the attachment) or interpretations may result in additional disciplinary action, including but not limited to suspension or termination of your employment.

I am confident that you fully understand the impact of this issue. It is imperative that you work closely and collaboratively with the compliance staff going forward as one of their top priorities will be to work with and assist the men's basketball staff to ensure complete compliance.

Sincerely,



Rick Greenspan

Attachment

cc: Dottie Frapwell
Chad Hawley
Bruce Jaffee
Kelvin Sampson

Attachment B

14. Corrective Actions and Self-Imposed Sanctions Taken by the Institution:

In light of the actions of the men's basketball coaches and the calls that were contrary to the sanctions and to NCAA rules, the university determined that significant additional corrective actions and sanctions were necessary. These penalties were designed to directly impact the coaches involved as well as the men's basketball program as a whole, and are intended to address both violations of Committee on Infractions sanctions reported previously as well as violations of NCAA bylaw 13.1.3.1.2 as contained herein. The university believes that these corrective actions and sanctions send a strong message that complete commitment to NCAA compliance is expected and required of all coaches and staff. (It should be noted that in evaluating the extent of the additional sanctions, the university used the maximum number of potentially impermissible calls.)

Corrective Actions

- a. Sampson voluntarily agreed over the next twelve-month period to forego his scheduled \$500,000 raise for this current contract year.
- b. Senderoff and Meyer will not be entitled to any bonuses for the 2007-08 academic year or salary increases for the 2008-09 academic year.
- c. Letters of reprimand will be issued to Sampson, Senderoff and Meyer.
- d. A letter will be included in the personnel file for McCallum.
- e. The university will require Senderoff to sign a form each month reminding him of these corrective actions and sanctions and will require him to submit his monthly home phone records for review, in addition to his cell and office phone records.
- f. The compliance office will conduct mandatory compliance meetings every other week for the full men's basketball coaching staff (i.e., head coach, assistant coaches, and director of basketball operations) for one year.
- g. Sampson and Senderoff will be required to attend at their own expense the same 2008 NCAA Regional Rules Seminar as a member of the university's compliance staff. Meyer and McCallum will also be required to attend the seminar.
- h. The university has ceased the recruitment of prospective student-athlete Jonathon "Bud" Mackey, the subject of the vast majority (25) of the NCAA violations.

Self-Imposed Sanctions

- a. The university has, effective September 17, 2007, reduced the number of coaches allowed to be involved in recruiting by one through July 31, 2008. Specifically,

Senderoff will be prohibited from: (i) making ANY phone calls that relate in any way to recruiting (whether or not they are countable under NCAA rules); and (ii) engaging in any off-campus recruiting activities. When Senderoff leaves the employment of the University's men's basketball program, if prior to July 31, 2008, another assistant coach will serve the remainder of this sanction.

- b. The university will reduce by one the number of men's basketball financial aid awards for the 2008-09 academic year.
- c. The university will reduce the number of permissible calls to prospects on or after August 1 of their senior year in high school from two calls per week to one call per week from September 17, 2007, through the end of the regular National Letter of Intent signing period (May 21, 2008). Further, Sampson is only eligible to make every other one of these phone calls. [Note: With approximately 20 senior recruits and a 35-week period, this sanction reduces calling opportunities by approximately 700 phone calls, including an additional reduction of 350 calls for Sampson].
- d. The university will reduce the number of phone calls Sampson will be permitted to make to junior prospective student-athletes to every other one of the monthly calls to junior prospects beginning September 17, 2007, and ending July 31, 2008.

[Note: With approximately 177 junior recruits and a 10-month period, this sanction reduces Sampson's calling opportunities by approximately 885 phone calls.]

- e. The university limited Sampson to four (4) off-campus recruiting contact days during the fall 2007 contact period.
- f. The university will limit Sampson to no more than ten (10) additional off-campus recruiting days to be used from the conclusion of the fall contact period (October 5, 2007) through July 31, 2008.

[Note: Sampson's typical practice has been to divide the off-campus recruiting person-days by the four coaches. Therefore, since there are 130 recruiting person-days during the academic year and 20 person-days by three coaches during the July recruiting period, his off-campus recruiting days have been reduced from approximately 48 ($130 + (20 \times 3) = 190 \div 4 \text{ coaches} = 48$) to 14, a 71% reduction.)]

- g. The university will reduce the number of permissible official paid visits from 12 to six (6) during the 2007-08 academic year.
- h. The university will submit a report to the Committee by September 30, 2008, documenting compliance with these additional sanctions.



DEPARTMENT OF
INTERCOLLEGIATE
ATHLETICS

INDIANA UNIVERSITY

Office of the Director
Bloomington

November 1, 2007

Coach Jeff Meyer
Men's Basketball
Indiana University
Assembly Hall
1001 E. 17th Street
Bloomington, IN 47408

Dear Coach Meyer:

This shall serve as a letter of reprimand and will become a part of your personnel file at Indiana University. This letter is warranted due to your failure to abide by NCAA sanctions and NCAA Bylaw 13.1.3.1.2 regarding telephone calls during your recruitment of Scott Martin and Jordan Crawford, and NCAA Bylaw 13.12.1.3 when you arranged an unofficial visit during Derek Elston's participation in the Kelvin Sampson Team Camp June 30 – July 1 this past summer.

As you know, Indiana University has a strong commitment to compliance with NCAA and Big Ten rules governing intercollegiate athletics. You had direct access to the compliance office staff for any interpretative questions that might arise. You also signed monthly declarations that you were not using your home phone to make recruiting calls. This proved to be inaccurate as you did make a few recruiting calls from your home phone, none of which were documented in CyberSports or otherwise reported, including one call to Scott Martin. This call violated NCAA Bylaw 13.1.3.1.2, which states you may only call a junior prospect once per month. As a result, you jeopardized the compliance staff's ability to monitor the telephone activity of the men's basketball staff and its ability to report the violation regarding Martin in a more timely fashion. In addition, you made four recruiting phone calls that were contrary to the sanctions imposed on the men's basketball program.

Additionally, your involvement in arranging for a prospect to meet with Coach Sampson so that he could extend a verbal scholarship offer without ensuring that the prospect's participation in an institutional camp or clinic was completed is clearly contrary to NCAA Bylaw 13.12.1.3 which states (emphasis added):

The interaction during sports camps and clinics between prospective student-athletes and those coaches employed by the camp or clinic is not subject to the recruiting calendar restrictions. *However, an institutional staff member employed at any camp or clinic (e.g., counselor, director) is prohibited from recruiting any prospective*

Rick Greenspan Director of Athletics

student-athlete during the time period that the camp or clinic is conducted (from the time the prospective student-athlete reports to the camp or clinic until the conclusion of all camp activities). The prohibition against recruiting includes extending verbal or written offers of financial aid to any prospective student-athlete during his or her attendance at the camp or clinic. Other coaches wishing to attend the camp as observers must comply with appropriate recruiting contact and evaluation periods. In addition, institutional camps or clinics may not be conducted during a dead period.

The meeting with Coach Sampson and his verbal offer of a scholarship to Derek Elston on the evening of June 30, the first day of a two-day team camp, resulted in a violation of this clearly established legislation. Although I understand that you directed Elston's coach to send him home after this unofficial visit occurred, you did not (nor did you direct anyone else to) follow up to ensure his participation in the camp was indeed over before he returned to campus on the evening of June 30.

I am particularly troubled that these violations and the failure to disclose the use of your home phone and to report the recruiting calls you made from home occurred during a time period when the entire men's basketball coaching staff should have been even more attentive to the proper application of NCAA rules and compliance-related issues as a result of the violations that occurred while Coach Sampson was at the University of Oklahoma.

In response to the phone calls made by coaches on the men's basketball staff that were contrary to the sanctions and in violation of NCAA rules, as set forth in our October 3 and October 26 reports to the NCAA, the university has taken actions regarding the men's basketball program, as detailed in the attachment to this letter. The following actions are specific to you:

- a. You will not be entitled to any bonuses for the 2007-08 academic year or salary increases for the 2008-09 academic year.
- b. A copy of this letter of reprimand will be issued to you and placed in your personnel file.
- c. You will be required to attend mandatory compliance meetings every other week for the full men's basketball coaching staff (i.e., head coach, assistant coaches, and director of basketball operations) for one year.
- d. You will be required to attend the same 2008 NCAA Regional Rules Seminar as a member of the university's compliance staff.
- e. The university will reduce the number of permissible calls to prospects on or after August 1 of their senior year in high school from two calls per week to one call per week from September 17, 2007, through the end of the regular National Letter of Intent signing period (May 21, 2008). Further, Coach Sampson is only eligible to make every other one of these phone calls.

In response to the recruiting activities that took place during Derek Elson's camp participation that in violation of NCAA Bylaw 13.12.1.3, the university has taken the following actions:

- a. The relevant legislation was discussed with you in September and with Coach Sampson in October.
- b. The relevant legislation was reviewed with the entire men's basketball staff on October 23, 2007.
- c. The men's basketball staff is limited to six recruiting opportunities for this prospect during his senior year.
- d. Letters of reprimand will be issued to you (the purpose of this letter) and Coach Sampson.

It is my hope that these actions illustrate to you the critical importance of athletics compliance and I trust that you will take every measure possible to ensure that your future conduct adheres to NCAA, Big Ten Conference and Indiana University regulations and guidelines.

Please be advised that your involvement in or failure to report your knowledge of any other prior or subsequent violation of NCAA, Big Ten Conference or Indiana University bylaws, regulations, policies, procedures (including the actions listed in this letter and the attachment) or interpretations may result in additional disciplinary action, including but not limited to suspension or termination of your employment.

I am confident that you fully understand the impact of this issue. It is imperative that you work closely and collaboratively with the compliance staff going forward as one of their top priorities will be to work with and assist the men's basketball staff to ensure complete compliance.

Sincerely,



Rick Greenspan

Attachment

cc: Dottie Frapwell
Chad Hawley
Bruce Jaffee
Kelvin Sampson

Attachment B

14. Corrective Actions and Self-Imposed Sanctions Taken by the Institution:

In light of the actions of the men's basketball coaches and the calls that were contrary to the sanctions and to NCAA rules, the university determined that significant additional corrective actions and sanctions were necessary. These penalties were designed to directly impact the coaches involved as well as the men's basketball program as a whole, and are intended to address both violations of Committee on Infractions sanctions reported previously as well as violations of NCAA bylaw 13.1.3.1.2 as contained herein. The university believes that these corrective actions and sanctions send a strong message that complete commitment to NCAA compliance is expected and required of all coaches and staff. (It should be noted that in evaluating the extent of the additional sanctions, the university used the maximum number of potentially impermissible calls.)

Corrective Actions

- a. Sampson voluntarily agreed over the next twelve-month period to forego his scheduled \$500,000 raise for this current contract year.
- b. Senderoff and Meyer will not be entitled to any bonuses for the 2007-08 academic year or salary increases for the 2008-09 academic year.
- c. Letters of reprimand will be issued to Sampson, Senderoff and Meyer.
- d. A letter will be included in the personnel file for McCallum.
- e. The university will require Senderoff to sign a form each month reminding him of these corrective actions and sanctions and will require him to submit his monthly home phone records for review, in addition to his cell and office phone records.
- f. The compliance office will conduct mandatory compliance meetings every other week for the full men's basketball coaching staff (i.e., head coach, assistant coaches, and director of basketball operations) for one year.
- g. Sampson and Senderoff will be required to attend at their own expense the same 2008 NCAA Regional Rules Seminar as a member of the university's compliance staff. Meyer and McCallum will also be required to attend the seminar.
- h. The university has ceased the recruitment of prospective student-athlete Jonathon "Bud" Mackey, the subject of the vast majority (25) of the NCAA violations.

Self-Imposed Sanctions

- a. The university has, effective September 17, 2007, reduced the number of coaches allowed to be involved in recruiting by one through July 31, 2008. Specifically,

Senderoff will be prohibited from: (i) making ANY phone calls that relate in any way to recruiting (whether or not they are countable under NCAA rules); and (ii) engaging in any off-campus recruiting activities. When Senderoff leaves the employment of the University's men's basketball program, if prior to July 31, 2008, another assistant coach will serve the remainder of this sanction.

- b. The university will reduce by one the number of men's basketball financial aid awards for the 2008-09 academic year.
- c. The university will reduce the number of permissible calls to prospects on or after August 1 of their senior year in high school from two calls per week to one call per week from September 17, 2007, through the end of the regular National Letter of Intent signing period (May 21, 2008). Further, Sampson is only eligible to make every other one of these phone calls. [Note: With approximately 20 senior recruits and a 35-week period, this sanction reduces calling opportunities by approximately 700 phone calls, including an additional reduction of 350 calls for Sampson].
- d. The university will reduce the number of phone calls Sampson will be permitted to make to junior prospective student-athletes to every other one of the monthly calls to junior prospects beginning September 17, 2007, and ending July 31, 2008.

[Note: With approximately 177 junior recruits and a 10-month period, this sanction reduces Sampson's calling opportunities by approximately 885 phone calls.]

- e. The university limited Sampson to four (4) off-campus recruiting contact days during the fall 2007 contact period.
- f. The university will limit Sampson to no more than ten (10) additional off-campus recruiting days to be used from the conclusion of the fall contact period (October 5, 2007) through July 31, 2008.

[Note: Sampson's typical practice has been to divide the off-campus recruiting person-days by the four coaches. Therefore, since there are 130 recruiting person-days during the academic year and 20 person-days by three coaches during the July recruiting period, his off-campus recruiting days have been reduced from approximately 48 ($130 + (20 \times 3) = 190 \div 4 \text{ coaches} = 48$) to 14, a 71% reduction.])

- g. The university will reduce the number of permissible official paid visits from 12 to six (6) during the 2007-08 academic year.
- h. The university will submit a report to the Committee by September 30, 2008, documenting compliance with these additional sanctions.



DEPARTMENT OF
INTERCOLLEGIATE
ATHLETICS

INDIANA UNIVERSITY
Office of the Director
Bloomington

November 1, 2007

Coach Kelvin Sampson
Men's Basketball
Indiana University
Assembly Hall
1001 E. 17th Street
Bloomington, IN 47408

Dear Coach Sampson:

This is a letter of reprimand for you and your staff's failure to abide by the Committee on Infractions' recruiting calls restrictions adopted in its report dated May 25, 2006, and, in multiple cases, the NCAA Bylaw 13.1.3.1.2 regarding telephone calls, as set forth in Indiana University's October 3 and October 26 reports submitted to the NCAA. It also serves as an additional reprimand for your failure to abide by NCAA Bylaw 13.12.1.3 regarding the prohibition against recruiting activities during a prospect's attendance at an institutional camp.

On April 19, 2006, you signed a compliance agreement that specifically stated, in part that you and your staff would:

2. Reduce the number of permissible calls by the men's basketball coaching staff to prospects on or after June 15 of the prospect's sophomore year in high school through July 31 of the prospect's junior year in high school from one call per month to one call every other month for a period commencing March 29, 2006, and concluding June 30, 2007.
3. Reduce the number of permissible calls by the men's basketball coaching staff to prospects on or after August 1 of the prospect's senior year in high school from two calls per week to one call per week for a period commencing March 29, 2006, and concluding July 31, 2007.

On June 9, 2006, you signed a revised compliance agreement that repeated these restrictions on the normal NCAA legislation involving permissible telephone calls and also added the prohibition on your participation in any recruiting calls, as follows:

Rick Greenspan Director of Athletics

7. Coach Kelvin Sampson is prohibited, for a period of one year beginning May 25, 2006 through May 24, 2007, from making any phone calls that relate in any way to recruiting or being present when members of his staff make such calls.

The use of 3-way calling for recruiting, and specifically the impermissibility of your involvement, was clarified in a memorandum (in question and answer format, emphasis added) sent out on June 13 stating:

8. If an AAU or HS coach calls one of the IU assistant coaches and then adds a prospect in on a 3-way call, can the assistant coach add in Coach Sampson at that time (since the original call was initiated by the AAU or HS coach and not by anyone at IU)? No.

Therefore, your participation, ^{all be it unknowingly,} in 3-way calls involving recruiting was contrary to the Committee on Infractions sanctions. Further, the assistant coaches' inability to abide by the telephone call restrictions adopted and imposed by the COI and, in some cases, NCAA legislation itself are a direct reflection on your inability to promote compliance and to monitor the activities of your assistant coaches satisfactorily.

Finally, extending a verbal offer to a prospect during his participation in an institutional camp or clinic is clearly contrary to NCAA Bylaw 13.12.1.3 which states (emphasis added):

inducement

The interaction during sports camps and clinics between prospective student-athletes and those coaches employed by the camp or clinic is not subject to the recruiting calendar restrictions. However, an institutional staff member employed at any camp or clinic (e.g., counselor, director) is prohibited from recruiting any prospective student-athlete during the time period that the camp or clinic is conducted (from the time the prospective student-athlete reports to the camp or clinic until the conclusion of all camp activities). The prohibition against recruiting includes extending verbal or written offers of financial aid to any prospective student-athlete during his or her attendance at the camp or clinic.

Other coaches wishing to attend the camp as observers must comply with appropriate recruiting contact and evaluation periods. In addition, institutional camps or clinics may not be conducted during a dead period.

Your verbal offer of a scholarship to Derek Elston ("Elston") on June 30, on the evening of the first day of a two-day team camp, was in violation of this established legislation. While I understand that Coach Jeff Meyer ("Meyer") directed Elston's coach to send him home after this unofficial visit occurred, no one on your staff followed up to ensure his participation in your camp had in fact concluded before he returned to campus on the evening of June 30. This violation is again a reflection on your inability to promote compliance and to monitor the activities of your assistant coaches satisfactorily.

As you know, Indiana University has a strong commitment to compliance with NCAA and Big Ten rules governing intercollegiate athletics. In addition to the normal monthly compliance meetings with the entire coaching staff, meetings prior to specific contact and evaluation periods, and availability of the compliance staff to answer any questions regarding the rules and/or sanctions, the director of compliance met with the director of basketball operations (DOBO) every week and had copies of the agendas and rules education supplements for the DOBO to

distribute to the coaching staff. As stated earlier, the coaching staff had direct access to the compliance office staff for any interpretative questions that might arise. Each of your assistant coaches also monthly signed declarations that indicated that he was not using his home phone for recruiting phone calls, even though several of your assistant coaches had made a number of recruiting calls from their home phones during several months. Moreover, the prohibition on recruiting during camps and clinics was covered at the February Compliance Meeting and is clearly stated in the Spring 2007 Camp & Clinic Guide, which was distributed to all coaches in March.

I am particularly troubled that these issues and violations occurred during a time period when the entire men's basketball coaching staff should have been even more attentive to potential violations and issues regarding recruiting phone calls as a result of the violations that occurred while you were at the University of Oklahoma.

In response to the phone calls that were made contrary to the sanctions and in violation of NCAA rules, the university has taken the following actions regarding you and the men's basketball program.

- a. We have previously agreed as part of the disciplinary actions to be taken your compensation from Indiana University will be reduced by \$500,000 over the specified twelve-month period.
- b. Senderoff and Meyer will not be entitled to any bonuses for the 2007-08 academic year or salary increases for the 2008-09 academic year.
- c. Letters of reprimand will be issued to you (the purpose of this letter), Senderoff and Meyer.
- d. A letter will be included in the personnel file for McCallum.
- e. The university will require Senderoff to sign a form each month reminding him of these corrective actions and sanctions and will require him to submit his monthly home phone records for review, in addition to his cell and office phone records.
- f. The compliance office will conduct mandatory compliance meetings every other week for the full men's basketball coaching staff (i.e., head coach, assistant coaches, and director of basketball operations) for one year.
- g. You and Senderoff will be required to attend at your own expense the same 2008 NCAA Regional Rules Seminar as a member of the university's compliance staff. Meyer and McCallum will also be required to attend the seminar.
- h. The university has ceased the recruitment of prospective student-athlete Jonathon "Bud" Mackey, the subject of the vast majority (22) of the NCAA violations.
- i. The university has, effective September 17, 2007, reduced the number of coaches allowed to be involved in recruiting by one through July 31, 2008. Specifically, Senderoff will be prohibited from: (i) making ANY phone calls that relate in any way to recruiting (whether or not they are countable under NCAA rules); and (ii) engaging in any off-

campus recruiting activities. If Senderoff leaves the employment of the University's men's basketball program prior to July 31, 2008, another assistant coach will serve the remainder of this sanction.

- j. The university will reduce by one the number of men's basketball financial aid awards for the 2008-09 academic year.
- k. The university will reduce the number of permissible calls to prospects on or after August 1 of their senior year in high school from two calls per week to one call per week from September 17, 2007, through the end of the regular National Letter of Intent signing period (May 21, 2008). Further, you are only eligible to make every other one of these phone calls.
- l. The university will reduce the number of phone calls you will be permitted to make to junior prospective student-athletes to every other one of the monthly calls to junior prospects beginning September 17, 2007, and ending July 31, 2008.
- m. The university limited you to four (4) off-campus recruiting contact days during the fall 2007 contact period.
- n. The university will limit you to no more than ten (10) additional off-campus recruiting days to be used from the conclusion of the fall contact period (October 5, 2007) through July 31, 2008.
- o. The university will reduce the number of permissible official paid visits from 12 to six (6) during the 2007-08 academic year.
- p. The university will submit a report to the Committee on Infractions by September 30, 2008, documenting compliance with these additional sanctions.

In response to the verbal scholarship that was extended to Derek Elston during his participation in your team camp this past summer, which was in violation of NCAA Bylaw 13.12.1.3, the university has taken the following actions regarding you and the men's basketball program.

- a. The relevant legislation was discussed with the assistant coach in September and with you in October.
- b. The relevant legislation was reviewed with the entire men's basketball staff on October 23, 2007.
- c. The men's basketball staff is limited to six recruiting opportunities for this prospect during his senior year.
- d. Letters of reprimand will be issued to you (the purpose of this letter) and Meyer.

It is my hope that this action will further demonstrate to you the critical importance of athletics compliance with all applicable legislation, including rules, regulations, interpretations, policies and sanctions. It is your duty, as well as a policy and rule of Indiana University, to ^{insuring} ~~comply~~ ^{insure} in all respects to all applicable NCAA, Big Ten Conference and Indiana University legislation, rules, ^{compliance}

regulations, interpretations, policies and sanctions. Any failure to do so is also a violation of the stated mission of the university. I trust that you will take every measure possible to ensure that your future conduct, and that of all men's basketball assistant coaches and other staff members, is in full conformity to such legislation, rules, regulations, interpretations, policies and sanctions.

It is my sincere desire that we can put these issues behind us and move forward with ^{the same} positive working relationship. ^{we have enjoyed to date} However, please be advised that the university is reserving its right to impose additional disciplinary action, including but not limited to suspension or termination of your employment for "Just Cause", if (a) we determine that you or your staff have not been completely honest with the university concerning these issues, (b) additional facts arise that indicate other or additional violations of applicable NCAA, Big Ten Conference and Indiana University legislation, rules, regulations, interpretations, policies or sanctions by you or your staff have occurred prior to the date hereof, or (c) other or additional violations of applicable NCAA, Big Ten Conference and Indiana University legislation, rules, regulations, interpretations, policies or sanctions by you or your staff occur on or after the date hereof.

I am confident that you fully understand the impact of these issues and that this letter will serve as the only necessary reminder to you to carefully monitor your staff in all areas, and particularly regarding all recruiting activities, including phone calls. It is imperative that you ^{continue to} work closely and collaboratively with the Indiana University compliance staff going forward as one of their top priorities will be to work with and assist you and your staff to ensure complete compliance with all applicable NCAA, Big Ten Conference and Indiana University legislation, rules, regulations, interpretations, policies and sanctions.

If you have any questions, please do not hesitate to contact me. Please acknowledge your receipt of this letter and your agreement to abide by the terms hereof by signing a copy of this letter in the place indicated below and by returning it to me as soon as possible.

Sincerely,



Rick Greenspan

ACKNOWLEDGED AND AGREED TO:

Kelvin Sampson

cc: Dottie Frapwell
Chad Hawley
Bruce Jaffee



DEPARTMENT OF
INTERCOLLEGIATE
ATHLETICS

INDIANA UNIVERSITY
Office of the Director
Bloomington

December 13, 2007

Mr. Kelvin Sampson
Head Men's Basketball Coach
Indiana University
Assembly Hall
Bloomington, IN 47405

Dear Coach Sampson,

I am writing with regard to the letter of admonishment dated November 1, 2007 that I issued to you. After you reviewed the letter, you provided me with a marked-up copy indicating some suggested changes to the letter that you wanted me to consider. I have now had a chance to give thought whether the letter is inaccurate or otherwise in need of revision. I have also discussed with our university legal counsel the appropriate way in which to provide you the opportunity to express any disagreement with the content of the letter or to provide any clarification or context that you believe the letter lacks. The November 1 letter was not intended to be a draft and so should remain in its original form without editorial comments or changes. However, if you like, I will place your marked-up copy of the November 1 letter in your personnel file along with my original letter. In the alternative, if you want to provide me with a responsive letter or memo of your own, I will be happy to add that to your file.

Please feel free to contact me if you have any questions.

Thanks,

Rick Greenspan
Director of Athletics

RIG/tjs

Rick Greenspan Director of Athletics

Secondary Infractions Case Precedent

Secondary Case Number: 33572 **Eligibility Case Number:** 30536 **Eligibility Decision**

Date: Aug 29, 2007

Secondary Decision Date: Dec 04, 2007

Division: I

Involved Sports:

Baseball

Facts:

During August, September, and October of 2006, a volunteer baseball coach participated in 57 impermissible phone calls involving 48 prospective student-athletes (PSAs). Specifically, the volunteer coach took the coaching test and was informed of the rules change prior to the 2006 fall semester, but continued to make calls and document them in institutional call logs. None of the baseball PSAs were called more than the permissible number of times. Due to a breakdown in communication, the violation went undetected by the compliance office for several months. The compliance office discovered the violation July 1, 2007, when the head baseball coach called the compliance office to confirm volunteer coaches were permitted to call PSAs. On clarifying this misunderstanding of the legislation, the compliance office reviewed all previous call logs for violations.

Additional Facts:

Institution Action:

The institution has declared all PSAs involved ineligible and will seek reinstatement for two of the PSAs. A letter of reprimand has been issued to the head baseball coach and the volunteer coach and it will include a review of NCAA rules as they relate to activities performed by coaches who do not count against their sport's coaching numerical limitations. A letter of admonishment was issued to the Compliance staff person responsible for monitoring recruiting activities of coaches. All rules education sessions with all coaching staffs will be conducted in a formal manner in a designated room as opposed to being occasionally done in the coach's offices. A record of attendance will be kept for all rules education sessions. All members of the institution's coaching staffs will be required to attend rules education meetings instead of the previous policy of requiring only the head coach and full-time assistants. The telephone log submission grid will include names of only those coaches who are permitted to call PSAs. The staff member responsible for monitoring phone logs will be required to note their review of each phone log by placing his/her initials and date of review on each phone log. As a means of checking accuracy of phone logs, the logs will be compared with the names and dates of PSAs that are scheduled to make, or have made, official visits to campus. It is reasonable to assume that a

PSA making an official visit to campus would be called by coaches on a regular basis. Additional scrutiny would be appropriate for a prospect making an official visit that is not listed a number of times in the phone log. The institution secured the services of a compliance entity to assess the current procedures for monitoring telephone calls and to assist in implementing any needed changes.

Enforcement Action:

No further action.

Eligibility Action:

STAFF: Eligibility reinstated.

Rationale:

STAFF: Based on case precedent

1	0
---	---

Associated Bylaws

Sel	Bylaw	Title
18697	13.1.3.4.1	<u>Institutional Coaching Staff Members -- General Rule.</u>

Secondary Case Number: 32041 Eligibility Case Number: 29721

Eligibility Decision Date: Feb 21, 2007

Secondary Decision Date: Apr 20, 2007

Division: I

Involved Sports:

Men's Track, Outdoor

Facts:

During the 2006-07 academic year, the head men's track coach had 16 impermissible telephone contacts with a men's track prospective student-athlete (PSA #1) and eight impermissible telephone contacts with a second PSA (PSA #2). Specifically, between October 31, 2006, and January 5, 2007, the coach made 16 impermissible calls to the PSA #1, and between December 27, 2006, and January 5, 2007, the coach made eight impermissible calls to PSA #2. Poor record keeping led to the track and field recruiting coordinators and the compliance office being unable to accurately monitor the coach's telephone calls. Most of the calls were made because neither of the PSAs spoke English and the coach spoke their language and could explain the process of enrolling and other translational issues.

Additional Facts:

Institution Action:

The coach will not make recruiting phone calls for a period of 48 weeks beginning January 22, 2007. Other men's track staff members, no calls for 24 weeks. The coach will not have any off-campus contact with PSAs of any kind for 48 weeks beginning January 22, 2007. A full time recruiting coordinator will be designated/hired to oversee all areas of recruiting in the track program and ensure timely submission of all required reports. The coach will review rules with compliance office. Letter of reprimand will be placed in the coach's file. Sport supervision for track will be reassigned within the department and supervisor will supply monthly reports on all recruiting activity to the director of athletics, as well as ensure that all reports are timely submitted. The coach will be placed on a probationary status and any further NCAA infractions in his track reassignment or termination of employment at the athletic director's discretion. The coach, in consultation with athletic director, shall review and consolidate all non-track obligations that the coach currently has outside of the athletic department, in order to focus on his primary responsibilities within the track program. The coaches in track and in cross country will attend 2007 rules seminars. Two student-athletes are being held out and will not participate in the 2006-07 indoor season.

Enforcement Action:

No further action.

Eligibility Action:

STAFF: Eligibility reinstated.

Rationale:

STAFF: Based on case precedent. Please note the staff considered imposing a reinstatement condition to negate the recruiting advantage gained by the institution specifically the high number of phone calls made to PSA no. 1. However, the staff felt that the responsibility for this violation fell on the institution and cannot be appropriately addressed in Student-Athlete Reinstatement.

1	0
---	---

Associated Bylaws

Sel	Bylaw	Title
18851	13.1.3.1.6	<u>Application of Telephone Call Limitations.</u>

Secondary Case Number: 30418 **Eligibility Case Number:** 0

Eligibility Decision Date:

Secondary Decision Date: Oct 12, 2006

Division: I

Involved Sports:

Women's Basketball

Facts:

During the 2005-06 academic year, an assistant women's basketball coach exceeded one permissible telephone call per week to six women's basketball prospective student-athletes (PSAs). Specifically, the assistant coach initiated impermissible telephone calls, after it otherwise was permissible to have such contact, to the PSAs on 28 different occasions. The assistant coach initiated 12 impermissible telephone calls to one PSA (four of which were messages), six impermissible telephone calls to a second PSA (five of which were messages), six impermissible telephone calls to a third PSA (four of which were messages), one impermissible telephone call to a fourth PSA, one impermissible telephone call to a fifth PSA and one impermissible telephone call and one impermissible message to a sixth PSA. The violations occurred because the assistant coach failed to accurately document his recruiting activities. Each of the six PSAs signed with and will be attending other institutions.

Additional Facts:

Institution Action:

As a result of this violation, the interim head women's basketball coach has provided the assistant coach with a logging system that can be used to maintain an accurate log of his recruiting activities. In addition, the compliance office has reviewed the applicable recruiting legislation with the assistant coach with the expectation that this type of recruiting violation does not reoccur, and the assistant coach will receive a letter of reprimand. The assistant coach will be notified in his letter of reprimand that the office of human resources will be contacted to determine if additional punitive action can be taken. Since the institution is no longer recruiting the above mentioned PSAs, the assistant coach will be prohibited from contacting any PSA for four weeks beginning September 1, 2006. In addition, the assistant coach will be prohibited from recruiting off-campus for half of the permissible recruiting period during the 2006-07 year.

Enforcement Action:

The enforcement staff is extremely concerned regarding the number of impermissible calls made and the assistant coach's apparent lack of knowledge of basic NCAA recruiting legislation and failure to monitor recruiting calls. Further, the staff gave serious consideration to processing these violations as a major infractions case. The institution should be required to prohibit the entire women's basketball coaching staff from telephoning any PSAs who have not previously

signed a National Letter of Intent with the institution from May 1, 2007, until September 1, 2007. In addition, please note that four of the PSAs are ineligible for intercollegiate competition at the institution until their eligibility is restored by the NCAA student-athlete reinstatement staff. If the institution seeks reinstatement for any of these PSAs in the future, the enforcement staff will re-evaluate this matter to determine if additional actions or penalties should be imposed.

Eligibility Action:

STAFF:

Rationale:

STAFF:

1	0
---	---

Associated Bylaws

Sel	Bylaw	Title
17974	13.1.3.1.3	<u>Exception -- Women's Basketball.</u>

Secondary Case Number: 30669 Eligibility Case Number: 28446

Eligibility Decision Date: Jun 21, 2006

Secondary Decision Date: Jun 21, 2006

Division: I

Involved Sports:

Administrative

Football

Facts:

Institution engaged in impermissible recruiting activity with 57 prospective student-athletes (PSAs) during their official visits. In addition, the associate athletics director for football operations sent impermissible letters to PSAs prior to their official visits during the 2004-05 and 2005-06 academic years. Specifically, institution discovered associate athletics director for football operations sent impermissible letters (in that it was not prepared by a head coach or one of the full-time assistant coaches) to PSAs prior to their official visits in fall 2005 and similar letters from him had been placed in the hotel rooms of the PSAs on their arrival to campus for official visits. The main purpose of the letters was to provide a copy of the institution's campus visit conduct guidelines. Institution discovered that similar letters had been sent during the 2004-05 academic year as well. During institution's review, it discovered a large cookie snack was placed in the hotel room for each PSA on an official visit and the cookie was personalized with the PSA's first name, which is a violation of NCAA legislation as it is a personalized recruiting aid. The violations were discovered in January 2006 during a review of the official visit reports.

Additional Facts:

Institution Action:

Compliance staff reviewed applicable recruiting legislation with the associate athletics director for football operations and his support staff. They were informed that no personalized recruiting aids could be used at any location when a prospect visits the institution. They were informed that only the head football coach and nine full-time assistant coaches are permitted to prepare and send general recruiting correspondence to PSAs, their parents or their legal guardians. They were also informed that no personalized recruiting aids could be used at any location when a PSA visits. Athletics director sent a letter of reprimand to the associate athletics director for football operations and a letter of admonishment to the assistant director of football operations and required them to attend a 2006 NCAA Regional Rules Compliance Seminar. An additional full-time compliance assistant has been hired to assist with monitoring of recruiting in all sports. Finally, applicable recruiting legislation will be points of emphasis at the institution's next NCAA Rules and Compliance Education meeting with all coaches.

Enforcement Action:

No further action.

Eligibility Action:

STAFF: Eligibility reinstated for all 57 PSAs.

Rationale:

STAFF: Based on case precedent.

1	0
---	---

Associated Bylaws

Sel	Bylaw	Title
19298	13.4.1.1	<u>Printed Recruiting Materials.</u>
17823	13.4.4.1	<u>Recruiting Advertisements.</u>
17311	13.6.7.9	<u>Activities.</u>

Secondary Case Number: 32391 Eligibility Case Number: 29859

Eligibility Decision Date: Mar 09, 2007

Secondary Decision Date: Mar 09, 2007

Division: I

Involved Sports:

Administrative

Facts:

For several years, numerous prospective student-athletes (PSAs) across all sports incorrectly received institutional waivers of the admissions and housing deposits. Specifically a waiver was provided from the admissions office of the \$250 acceptance deposit to PSAs that sign a National Letter of Intent (NLI), as well as a waiver of the housing deposit for all PSAs on scholarship living in the athletics department allotment of on-campus housing. Institution reported this had been a standard practice that the previous compliance personnel did not question. Specifically, the waiver of deposit fees was used because the NLI itself was a binding promise to attend the institution if admitted and, therefore, a deposit was not needed to hold that PSA's seat in the incoming class. The housing deposit was waived as it was a portion of PSA's future housing charges, which would be paid by the athletics scholarship. PSAs not signing a NLI or not on a full scholarship did not receive these waivers. These waivers covered deposits, which were permissible to refund to the athletes and thus the SAs did not receive any net financial benefit but standard practice was impermissible in procedure. The violation was discovered when the director of compliance was reviewing the draft of a self-study report.

Additional Facts:

Institution Action:

Institution has modified its procedures with an immediate effective date to require PSAs pay all acceptance and housing deposits and fees. The expenses will be refunded only to PSAs who have been awarded financial aid covering institutional fees. A memorandum has been issued to all athletics department staff concerning this change of policy. The compliance office has also notified the admissions and housing offices of the legislation and will schedule a yearly educational meeting with each office.

Enforcement Action:

No further action.

Eligibility Action:

STAFF: Eligibility reinstated.

Rationale:

STAFF: Based on case precedent.

1	0
---	---

Associated Bylaws

Sel	Bylaw	Title
984	15.2.1.4	<u>Fees and Related Expenses for Prospective Student-Athletes.</u>

Secondary Case Number: 27263 Eligibility Case Number: 26643

Eligibility Decision Date: Apr 29, 2005

Secondary Decision Date: Dec 20, 2005

Division: I

Involved Sports:

Men's Soccer

Men's Tennis

Women's Lacrosse

Softball

Facts:

For approximately the last 10 summers, including the summer of 2004, the institution provided numerous student-athletes (SAs) on various sports teams with impermissible athletically related aid during the summer in that the amounts received exceeded amounts permitted by NCAA legislation for summer school. (The institution is seeking reinstatement for 25 SAs who have eligibility remaining and who received impermissible aid during the 2003 and 2004 summers. The amounts of impermissible aid received by SAs ranged between \$400.50 and \$2,142.50.) Specifically, the institution incorrectly calculated the equivalency amounts for athletically related summer financial aid over this 10-year period. When determining the percentage of summer aid awarded for each SA who received less than a full grant-in-aid during the academic year, the institution used the value associated with full-time enrollment for summer term as defined by the financial aid office as the cost of tuition, room and board and books for an SA taking three eight-week courses. However, per NCAA regulations, the percentage of summer aid should have been awarded based on the SA's actual cost of attendance, as opposed to the cost of full-time enrollment for the summer term. Prior to the start of the summer term, SAs received notification of the amount of summer aid they were to receive and that it was equal to the percentage of aid they received during the academic year. The SAs relied on this information when making their decision whether to attend summer school. With the exception of one SA, none of the 25 SAs involved required summer courses to be eligible the following year. In addition, the institution could have used the Student-Athlete Opportunity Fund to permissibly pay for the SA's courses in the summer of 2004. The institution discovered the violations through an established, periodic audit documented by an outside agency per institutional policies and procedures.

Additional Facts:

Institution Action:

Institution will reduce the amount of summer aid awarded to its SAs in the summers of 2006 and 2007 by the amounts of \$20,952.63 and \$6,127.10, which

represent the total amount of summer aid that was over-awarded to currently enrolled SAs during the summers of 2004 and 2003. In addition, staff members from the compliance, academic services and financial aid offices will be required to attend a financial aid educational session conducted by the conference office and will also attend the conference spring workshop scheduled for April 2006 and the 2006 NCAA Regional Rules Compliance Seminar. The internal auditing procedures have been amended to include an annual review of the summer financial aid awarding procedures for at least the next five years after which the institution will evaluate whether to return to the one-in-four years audit. Letters of reprimand would have been placed in the files of those responsible for the oversight of the financial aid process between 1995 and 2004; however, those individuals no longer are employed by the institution. As a result of a comprehensive investigation to determine how the violations could have occurred, the institution has made several changes relative to the process for awarding athletically related summer financial aid. The compliance office will also increase educational efforts for its SAs relative to financial aid with emphasis placed on the calculation of summer athletically related aid.

Enforcement Action:

Although the enforcement staff is concerned regarding the length of time over which the violations occurred and the number of SAs involved, it was determined that the case should be classified as secondary. The decision to process the case as secondary primarily was based on the following factors: (1) The violations were the result of a misinterpretation of only one area of the financial aid legislation; (2) only one of the student-athletes with eligibility remaining needed summer school courses to be eligible; and (3) during the summer of 2004 (which involved the vast majority of the impermissible funds) the institution could have paid for the summer courses through the Student-Athlete Opportunity Fund. In addition, it was determined that no further action should be taken in the matter.

Eligibility Action:

STAFF: Eligibility reinstated for 24 SAs who did not need summer school hours. Eligibility reinstated based on repayment of impermissible aid for the one SA who required the courses.

Rationale:

STAFF: Based on the totality of the circumstances. The staff provided relief in this case based on the institutional error. SAs made decision to take summer school classes based on information provided by institution regarding the amount of aid they would receive. Further, the staff noted the classes were not needed for eligibility purposes. In this case, the staff felt the high institutional involvement in arranging, encouraging and incorrectly informing SAs of amount of aid for which they were eligible was more appropriately addressed through actions against institution than through requiring repayment of SA's who did not need the class. Repayment was required for the SA who needed the classes to be eligible.

1	0
---	---

Associated Bylaws

Sel	Bylaw	Title
17119	15.2.8.1	<u>General Stipulations.</u>

I/2028906.2

WEEK - 6/18/25/06

~~6/18/06 Julius Mayer call appears on 6/15/06 on cyber sports @ 6/18/06~~

RECRUITING PHONE LOG

6/18/06 JR Ellis call appears on 6/22/06 on phone record, but 6/18/06 on cyber sports.

JOE Boyd appears on phone records on 6/20/06 BUT NOT on CYBER SPORTS.

week

Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	
6/18	Julius Mayer	4-765-951-4094	cell	Left message	NP
6/18	[REDACTED]	[REDACTED]	cell	Left voice	7
	JORI Boyd	815-535-9016	"	Returned	
6/18	Gary McGee	765-356-0262	"	Returned	
6/18	Eshante Jones	260-715-0269	"	Eshante Jones We are in top 3	
6/18	Keenan ELLIS	317-258-1082	"	Keenan - Vely . he will reclassify 2006 2007 interested in IU he + mom are open to coming to camp	
6/18	Tyler Kitchel	317-319-7667	"	Left voice mail ABOUT CAMP	2007
		317-349-4700		Fax Machine	
6/18	[REDACTED]	[REDACTED]		Left message on voice mail	
	"	"		"	FOUND ✓

*COULD NOT FIND GARY MCGEE on phone records
*LISTED on CYBER SPORTS 6/18/06

*ESHANTE JONES DOES NOT APPEAR on PHONE RECORDS, LOCATED on CYBER SPORTS 6/18/06

*KEENAN [REDACTED] on CYBER SPORTS COULD NOT LOCATE on PHONE RECORD

Coach's Signature

Ry McCall

RECRUITING PHONE LOG

Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
✓ 6/25	Scott Martin	(219) 464-9671	(812) 679-9086	Left Voice Message	8:56 pm	
✓ 6/25	" "	(219) 928-8760	"	" " "	8:57 pm	
✓ 6/25	Scott Martin	219 928-8760	(812) 679 9086	" " "	8:58 pm	
✓ 6/26	Anthony Crater	810 836 5750	812 679 9086	Left Voice Message	8:27 am	
✓ 6/26	Scott Martin	(219) 928 8760	"	" " "	2:07 p	
✓ 6/26	Scott Martin	(219) 464 9671	"	Scott > Elite Camp 8/5	2:08 p	
✓ 6/29	Robbie Hummel	(219) 362 7086	"	Left Voice Mail	2:02 p	
✓ 6/29	" "	(219) 241 8810	"	" " "	2:03 p	
✓ 6/29	" "	(219) 241 8810	"	" " "	2:08 p	
✓ 6/29	" "	(219) 241 8810	"	" " "	2:11 p	
✓ 6/29	E'twaun Moore	(219) 796 4555	"	" " "	2:15 p	
✓ 6/29	Robbie Hummel	(219) 241 8810	"	" " "	5:34 p	

6/25/06 SCOTT MARTIN 3RD Phone
 CALL NOT LOCATED ON RECORD

Coach's Signature

Jeff Meyer

RECRUITING PHONE LOG

Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
6/29	[REDACTED]	[REDACTED]	812 679 9086	Left Message	5:51 p	
6/29	Robbie Hummel	(214) 241-8810	"	" "	5:54 p	
6/29	E'twaun Moore	(214) 397-6010	"	E'twaun > Elite Camp	6:07 p	
6/29	Robbie Hummel			Robbie →	Called me 8:16 p	
6/30	Jeff Teague	317 985-5125	812 679-9086	Jeff's Dad Shawn Teague	5:41 p	
7/						

Coach's Signature Jeff Meigs

WEEK - 7/9 →

Handwritten scribbles and lines at the top right of the page.

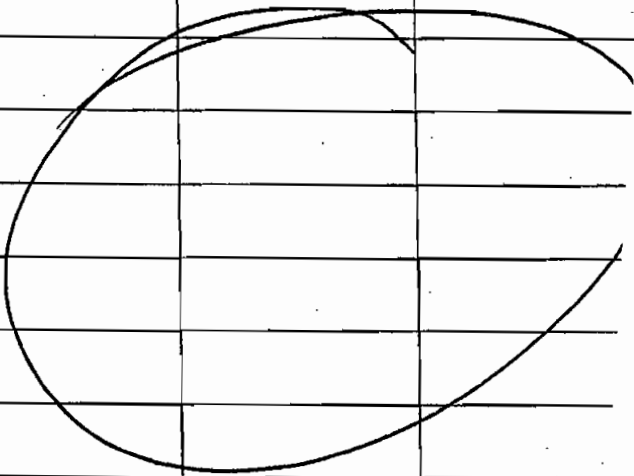
RECRUITING PHONE LOG

Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
✓ 7/10	Robbie Hummel	219 241 8810	812 679 9086	Left Message	VOICEMAIL	
✓ 7/10	" "	" "	" "	" "	" "	
✓ 7/10	" "	" "	" "	" "	VOICEMAIL	

Coach's Signature Jeff Meyer

RECRUITING PHONE LOG

Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
7/17	Robbie Hummel	(219) 241-8810	(812) 629-9086	Left Message		
7/17	" "	Called	Me	Robbie Hummel	→ Committed To Purdue	



Could
find on Plan
Board sheet
Jun 26 - July 25

Coach's Signature Jeffrey D Meyer

WEEK - June 3-9

RECRUITING PHONE LOG

Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
6/5	Bud Mackay	859-69-6398	Cell			
6/7	Steven Taylor	561-827-2990	Cell	Steven		✓
6/8	[REDACTED]	313-706-8661	"	LM		

Coach's Signature Kelin Sampson, ECM

WEEK 5/7-11/06

RECRUITING PHONE LOG

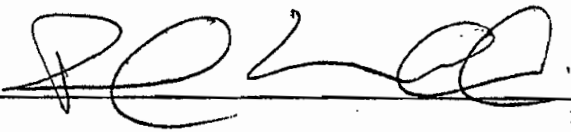
Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
5/7	MANNY HARRIS	313-592-1980	cell	MOM ✓		
5/8	EVAN TURNER	773-557-8446	cell	IRIS TURNER MOM ✓		
5/9	ALEX TYUS	314-837-5823	cell	DAO ✓		
5/9	DEMETRI McCAMEY	760-544-0915	cell	DEMETRI ✓		
5/9	DANTE JACKSON	937-981-3726	cell	DANTE		

Coach's Signature Rob

WEEK 5/14 - 5/20/06

RECRUITING PHONE LOG

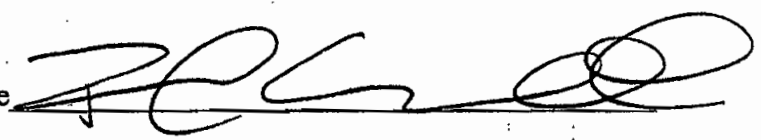
Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
5/14	Larry Davis JR	718-541-9705	cell	Larry Jr	week of off visit	
5/16	Larry Davis	917-359-2469	cell	SR (DAD)	" " "	
5/16	DEJUAN BUAIR	412-913-9473	cell	Grandmother Donna	only talked for 2min DEJUAN WASN'T HOME	
5/16	BRANDON WOOD	765-438-9994	cell	Brandon		
5/19	JOE LEVER	763-286-1441	cell	dad (Joe)	talked @ IU	

Coach's Signature 

WEEK - may 21-27 / 06

RECRUITING PHONE LOG

Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
5/23	LARRY DAVIS SR	917-359-2469	cell	LARRY SR (DAD)		
5/23	MARKEIFF MORRIS	215-606-8782	cell	Markeiff		

Coach's Signature 

WEEK - 5/28-6/3/06

RECRUITING PHONE LOG

Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
6/1	Marcus Morris	215-606-8780	cell	LEFT MESSAGE	NOT COURTING - LEFT MESSAGE	
6/1	DELVON ROE	216-404-0374	cell	DELVON SR. He is H.S ASST / AAU Coach		
6/1	CRAIG BRACKINS	661-860-3542	cell	LEFT MESSAGE	NOT COURTING LEFT MESSAGE	

Coach's Signature

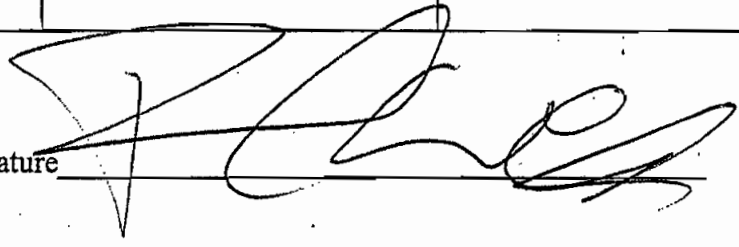


WEEK - 6/9-6/10

RECRUITING PHONE LOG



Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
6/9	✓ Jason Washburn	269-209-597	cell	BOB	DOES NOT WANT RETURN OF CALL	
6/9	Justin B. White	317-525-7889	"	MRS		
6/10	Orlando Williams	513-266-0965	"	ORLANDO SR		

Coach's Signature  ECM



RECRUITING PHONE LOG

Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
JUNE 15	Melquan Bolding	845-857-0198	office #	melquan		
JUNE 15	Nick FROST	630-406-0979	office #	Nick		
JUNE 15	DELVAN ROE	216-404-0374	cell	MESSAGE	NO PHONE CALL	
JUNE 15	DELVAN ROE	216-965-4630	cell	Delvon Jr		
JUNE 15	LAVAN SR PERRY	909-239-1623	cell	LAVAN SR	Doesn't count - he is an AAU coach	
JUNE 15	Will Buford	419-243-6635	cell	Will Sr.		
JUNE 15	Sylvan Landers	917-337-0376	OFFICE #	Sylvan + Dad		
JUNE 15	Darius Miller	606-584-4656	cell	MESSAGE	DOES NOT COUNT	
JUNE 15	LUKE BASSITT	775-750-5044	cell	MESSAGE	" " "	
JUNE 16	KEN FREASE	330-309-6730	cell	"	DOES NOT COUNT	
JUNE 16	Ken Frease	513-699-3192	cell	MESSAGE	" " "	
JUNE 17	KEN FREASE	330-309-6730	"	MESSAGE	" " "	

✓ ² called twice + left 2 MESSAGES

Coach's Signature

ECM

RECRUITING PHONE LOG

Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
6/18	✓ Josh Critt	708-415-4866	2008 cell	MESSAGE	NO CALL	
6/18	✓ TYLER STORM	309-945-0265	2008 cell	MESSAGE	NO CALL	
6/19	✓ Josh Critt	708-493-0705	cell	SPOKE w/ MOM		—
6/19	✓ LUKE FABRIUS	847-736-1285	91	SPOKE w/ LUKE		—
6/20	✓ Shawn MOSELY	443-224-4445		MESSAGE	NO CALL	
6/20	✓ Jason WASHBURN	269-281-5177		MESSAGE	NO CALL	
6/20	✓ Shawn MOSELY	443-983-2675		DAD		✓
6/22	✓ ROZ WILSON	440-915-6313		ROZ	was at our elite camp + got hurt, ved in w/ him	
6/19	✓ Angel Garcia	787-504-3795		SPOKE w/ Angel		✓
6/23	✓ Darius Miller	606-584-4656		SPOKE w/ Darius		✓

Coach's Signature



ECM

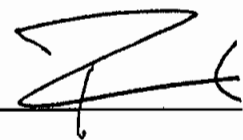
RECRUITING PHONE LOG

Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
6/25	TyronE Nash	646-642-2865	cell			
✓ 6/26	Laval Lucas Perry	810-691-0245				
✓ 6/26	Yancy GATES	513-699-3192		message	NO CALL	
✓ 6/27	Yancy GATES	513-699-3192		message	NO CALL	
6/29 6/29	Yancy GATES	513-699-3192	*	Yancy		
✓ 6/28	Laval Perry <u>SR</u>	989-239-1623		Laval Sr.	HE IS AN AAU COACH	

6/25 call to Ty Nash would appear on previous bill (NOT on current bill) 6/26-7/25

6/29 Call to Yancy Gates does NOT appear on phone bill

6/30 Call to Darius Miller is listed on the cyber log, however, NOT listed here ec on the phone bill.

Coach's Signature 

RECRUITING PHONE

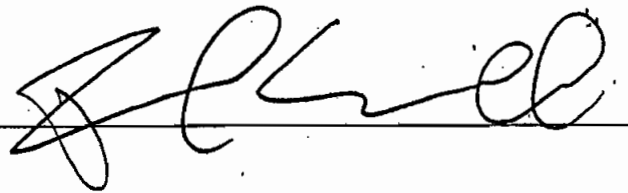
7/3 Only one call to Ty Nash is listed on the phone bill (646-337-2371)

7/3 Marcus Morris does NOT appear on phone bill.

7/5 Ty Nash call does NOT appear on phone bill

Date	Prospects Name	Phone Number Called	Phone Number Called From	as	Check
✓ 7/2	Kent Frase	330-309-3795	cell	me	NO
✓ 7/2	Ty Nash	646-337-2371		me	NO
✓ 7/2	DELE COKER	301-219-5040		DE	✓
✓ 7/2	ALEX TYOS	314-837-5823		DA	✓
✓ 7/2	Daimion GARRETT	812-746-7285		K:	✓
✓ 7/2	JON LEWER	763-476-0710		KID	✓
✓ 7/3	DANTE JACKSON	937-403-6072		MESSAGE	NO
✓ 7/3	Ty Nash	646-337-2371		MESSAGE	NO
7/3	TY NASH	646-337-2371 (46-337-2371)		MESSAGE	NO
✓ 7/3	DEWON ROE	216-731-7237		MESSAGE FOR DAD	H.S/AAU COACH NO
7/3	ADRIAN ^{MARCUS} MORRIS	215-520-3392		MESSAGE FOR MOM	NO
7/5	Ty Nash	646-337-2371		MESSAGE	NO

Coach's Signature



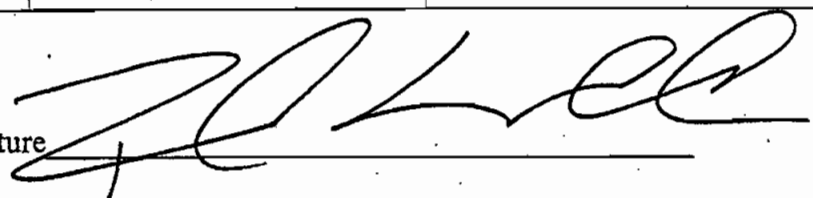
WEEK - 7/9-15

RECRUITING PHONE LOG

Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	ck
7/9	Ty NASH	646-337-2371	cell	MESSAGE	
7/9	Manny Harris	313-334-0224		MESSAGE	
✓ 7/10	Manny Harris	"		MESSAGE	
7/10	Manny Harris	"		SPOKE w	
✓ 7/11	Yancy Gates	513-699-3192		MESSAGE FOR	
7/12	Ty NASH	646-337-2371		TALKED	
✓ 7/12	DELVON ROE	216-731-7237		TALKED	

7/9 Call to Ty NASH not listed on phone bill or other log.
 7/10 Call to Manny Harris not on phone bill
 7/10 Call to Manny Harris not on phone bill
 7/9 Call to Ty NASH not on phone bill

7/12 Two calls placed to Delvon ROE, only 1 listed on phone log

Coach's Signature 

WEEK - JULY 16 - 22

RECRUITING PHONI

7/18 CALL TO KEVIN JONES APPEARS ON 7/19 IN THE CALL LOG.

7/18 TWO CALLS PLACED TO DEJUAN BLAIR

Date	Prospects Name	Phone Number Called	Phone Number Called From	
✓ 7/17	malcolm Delaney	443-278-6032	cell	mes
✓ 7/17	malcolm Delaney	443-278-6032		
✓ 7/17	Dante Jackson	937-403-6072		n
7/17	Deandre Deandre Liggins	773-696-8041		n
✓ 7/18	DANTE JACKSON	937-403-6072		D.
7/18	Marcus Morris	787-504-3795		m.
✓ 7/18	NICK WINBUSH	216-548-6555		w/
7/18	KEVIN JONES (2008)	914-473-4648		Talk
✓ 7/18	DEJUAN BLAIR	412-867-1298		me
7/19	Marcus Morris	787-504-3795		talk
7/20	[REDACTED]	[REDACTED]		talk
7/20	DEJUAN BLAIR	412-867-1298		me

7/20 Calls to Jordan Crawford 313-617-0966
 + Dejuan Blair 442-867-1298
 Do not appear on phone bill

7/22 Call to Dejuan Blair does not appear on phone bill.

• All calls do not appear on current cyber log.

• Call to Deandre Liggins 773-696-8041 does not appear on phone bill

~~Call to Deandre Liggins 773-696-8041 does not appear on phone bill~~

7/18 Call to Marcus Morris 787-504-3795 Does not appear on phone bill

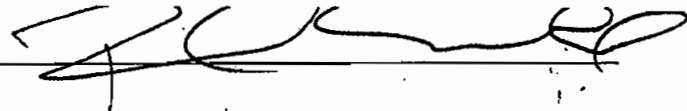
7/18 Call to Kevin Jones 914-473-4648 Does not appear on phone bill

7/19 Call to Marcus Morris 787-504-3795 Does not appear on phone bill

7/22 DEJUAN BLAIR 412-867-1298

	Check
	✓
	✓
	✓
	✓
	✓
	✓
	✓

Coach's Signature

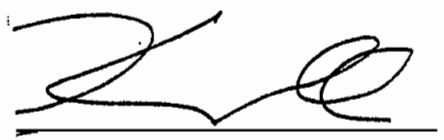


WEEK - July 25-31

RECRUITING PHONE LOG

Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
7/28	Chad Gray	706-533-6937	ccq	message		
7/29	Chad Gray	706-533-6937		message		
7/30	Desmond Hendrix	270-214-0030		message		

All calls not listed on current bill or cyber log



WEEK - 1130-0 -

RECRUITING PHONE LOG

August calls NOT listed on current bill or cyber log

Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	check
7/30	Desmond Hendrix	270 214 0030	cell	message	
8/1	Malcolm Delaney	443-392-0814		K.2	✓
8/1	Markieat Morris	215-606-8782		message	
8/1	Dante Jackson	937-403-6072		message	
8/2	Dante Jackson	937-403-6072		message	
8/2	Chandler Parsons	407-462-0130		message	
8/2	Chandre Parsons	407-462-0130		message for Dad's cell #	
8/2	DeJuan Blair	412-867-1298		message	
8/2	Chandler Parsons	407-462-0130		taked w/ kid	✓
8/2	Delvon Rog	216- 410-330 ⁷³¹⁻⁷²³⁷		taked w/ DAD H.S ASST COACH	✓
8/2	Jon Lever	612-570-3429		message	
8/2	Marcus Morris	215-606-8780	✗	message	

Coach's Signature



RECRUITING PHONE LOG

Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
8/3	Dante Jackson	937 402 6072	cell	message		
8/3	Marcus Morris	215-606-8780		message		
8/3	Markieft Morris	215-606-8782		message		
8/4	Jon Levee	612-578-2125 763-286-8858		Mom on cell #		✓
8/3 Marcus Morris						
	↑ call was 8/6 - (new week)					

August calls not listed on current bill or cyber log

Coach's Signature 

RECRUITING PHONE LOG

Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
8/6	MARKIEFF MORRIS			message w/ mom		
8/6	DEJUAN BLAIR			message		
8/6	JON LEVER			message		
8/6	Chandler Pursans				VISIT DATE	✓
8/7	DECE COKE					✓
8/7	Marcus Morris					✓
8/8	Will Buford			2008	1x every other month	✓
8/8	DEJUAN BLAIR			message		
8/8	Malcolm Delaney			DAD on HIS CELL		✓
8/8	JON LEVER			talked to DAD AT HOME		✓
8/8	LUKE BAGGITT			2008 MESSAGE		
8/10	SEAN MOSELY			message 2008		

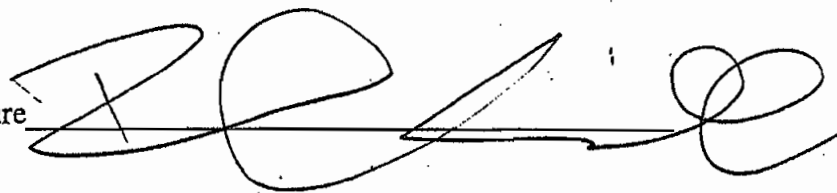
Coach's Signature 

WEEK - Aug 12 - 17

RECRUITING PHONE LOG

Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
8/13	Jon LEVER	763-476-0710 ^{HO}	cell	JON		✓
8/13	Chandler Parsons	407-462-1031		message	→ No call	
8/13	Malcolm Delaney	443-392-0814		message		
8/13	KEN FREASE	330-832-9011		message		
8/13	Malcolm Delaney	443-392-0814		Malcolm		✓
8/14	KEN FREASE	330-832-9011		Kent Marge		✓
8/14	DEJUAN BUAIR	412-867-1298		DEJUAN		✓
8/14	Dele Coker	301-219-5040			message	
8/15	Dele Coker	301-219-5040			message	
8/15	Driss Miller	606-504-4655			message fed	
8/15	LUKE BASSITT	775-853-0901			message	
8/16	Dele Coker	23415451171	OFFICE#	talked to Dad in Nigeria		✓


Coach's Signature



WEEK - Aug 13-19

RECRUITING PHONE LOG

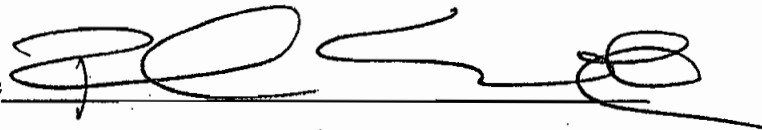
Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
8/17	Demonte Stitt	704-264-0628	cell	Demonte		✓
8/17	Sylvan Landeberg	917-337-0555		message		
8/17	Melquan Bowling	945-857-0159		message		
8/18	Sylvan Landeberg	917-337-0555		message		
8/19	[REDACTED]	[REDACTED]		message		

Coach's Signature 

RECRUITING PHONE LOG

Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
8/20	[REDACTED]	773-418-6528	cell	message		
8/21	✓ Chandler Parsons	407-699-9828		message		
8/21	✓ Dele Coker	301-219-5040				
8/21	✓ Phil Joric	423-385-6356		talked to Phil. p	2008	✓
8/21	✓ Luke Babbitt	775-750-5044		talked to Luke		✓
8/22	✓ DeJuan Blair	412-867-1298		talked w/ DeJuan		✓
8/22	✓ Bryd Mackey	502-570-8857		talked to Bryd + Dad	2008	✓
8/22	✓ Malcolm Delaney	443-392-0814		talked w/ Dad	Vince	✓
8/22	✓ Marcus Morris	215-606-8780		talked w/ mom	- Angel	✓
8/22	✓ Sylvan Lundersberg	917-358-9864		mess		
8/23	✓ Jordan Theodore	201-328-7044	2	talked w/ Jordan		✓
8/23	✓ Dele Coker	301-219-5040		message		

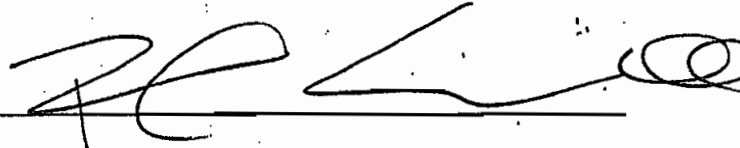
Coach's Signature



ECM

RECRUITING PHONE LOG

Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
8/24	Devin Hill	203-564-0255	cell	spoke to Devin	2008	✓
8/24	✓ Chadler Parsons	407-699-9828	cell	spoke to CB		✓
8/24	✓ Deke Coker	301-219-5040		spoke to Dele		✓

Coach's Signature  ECM

WEEK - Aug 27 - Sept. 2

RECRUITING PHONE LOG

Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
8/27	[REDACTED]	773-418-6528	cell	message		
8/28	DeJuan Blair	412-867-1298				
8/28	[REDACTED]	773-418-6528		talked w/him		✓
8/28	Don Lever	763-476-0710		message		
8/28	Kimza Walker	646-546-4770		message		
8/27	Tosh CATTLE	708-415-4866		message		
8/27	Don Lever	763-476-0710		message		
8/27	DeJuan Blair	412-867-1298		message		
8/31	Don Lever	763-476-0710		talked w/mom		✓
8/31	DeJuan Blair	412-867-1298		talked w/DeJuan		✓
8/31	Ty Reed	620-364-2011		talked w/kid dad		✓
8/31	Marcus Morris	215-606-8780		mess		
8/31	Markieff Morris	215-606-8782		mess		

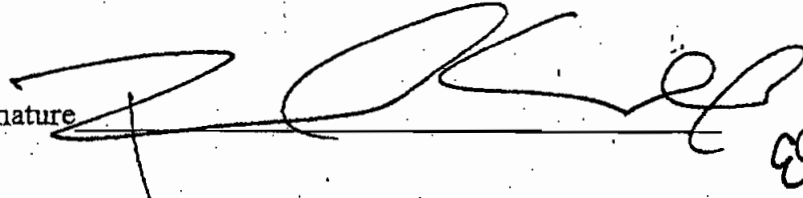
Coach's Signature  ECM

WEEK - 7/3-7-7

RECRUITING PHONE LOG

Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
√ 9/4	Dale Coker	301-219-5040	cell			✓
√ 9/4	Nick Freundt	630-715-6445			2008	✓
√ 9/5	Jon Level	703-476-2110				✓
√ 9/5	Luke Fabrizio	847-736-1285			message	
√ 9/5	Matt Humphries	708-250-3439			2008	✓
√ 9/6	Luke Fabrizio	847-736-1285			2008	✓
√ 9/6	Marcus Morris	215-606-8780			mess	
√ 9/6	Markioff Morris	215-606-8782			mess	
√ 9/6	Tyrell Leed	620-364-2011		Dad		✓
√ 9/6	Dashan Harris	310-864-2300		Dad		✓

Coach's Signature

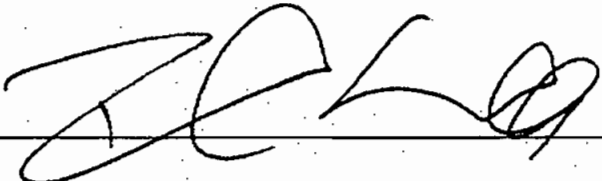


ECM

WEEK - 7/10 - 7/16

RECRUITING PHONE LOG

Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
✓ 7/10	[REDACTED]	[REDACTED]	cell			✓
✓ 9/12	Jon Level	763-476-0710	↓			✓
✓ 9/12	Dele Collier	301-219-5040				✓
✓ 9/13	Marcus Morris	215-606-8780			message	
✓ 9/13	Mark Jeff Morris	215-606-8782			message	

Coach's Signature  ECM

WEEK - Sept 17-27

RECRUITING PHONE LOG

Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
9/19	[REDACTED]	[REDACTED]			mess	
9/19	[REDACTED]	[REDACTED]			mess	
9/20	[REDACTED]	[REDACTED]			mess	
9/21	[REDACTED]	[REDACTED]				
9/21	Dale Coker	301-29-5040			talked to	✓
9/24	markieff morris	25-606-8782			message	
9/22	[REDACTED]	[REDACTED]			talked to	✓

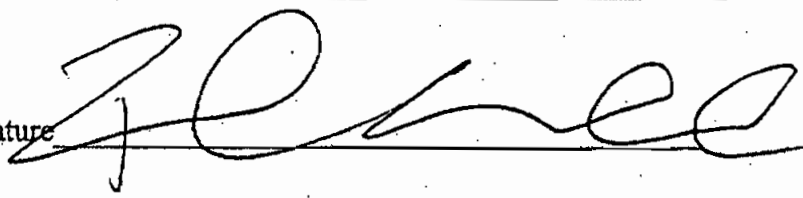
Coach's Signature Rob S.

WEEK - OCT 8 - 14

was week of official visit
he canceled monday m.m.

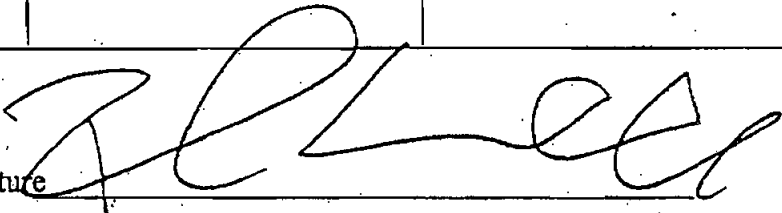
RECRUITING PHONE LOG

Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
10/9	DELE COKER	284-15871376	office	MR JOAN COKER	called NIGERIA	✓
10/8	DELE COKER	301-219-5040	cell	Dele		✓
10/8	[REDACTED]	773-418-6528			MESSAGE	
10/9	Phil JURICK	423-867-0045	2 min	423-867-0045	MESSAGE!	
10/9	BUD Mackey (w/m)	502-570-8059		9:03 pm - 1 min in front of called. went to AKA's work at 9:04 - 7 min + train 427-717-4974	MESSAGE!	
10/9	Phil JURICK (cell)	423-227-1062	2 min	427-717-4974	MESSAGE	
10/9	Marcus Morris	215-606-8780		Marcus		✓
10/9	Yancy GATES	513-699-3192			MESSAGE	
10/9	Delvon ROE	215 440-503-3229			MESSAGE	
10/9	[REDACTED]	773-418-6528			MESSAGE	
10/9	[REDACTED]	850-573-2430		Deandre		✓
10/10	SEAN MOSLEY	410-369-6555			MESSAGE	

Coach's Signature  ECM

RECRUITING PHONE LOG

Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
✓ 10/10	JOSH CATTLE	708-493-0705			MESSAGE	
✓ 10/10	EMMANUEL NEGEDU	812-345-2141			MESSAGE	
✓ 10/11	[REDACTED]	[REDACTED]			MESSAGE	
✓ 10/11	WILL BUFOLO	419-243-6625			MESSAGE	
✓ 10/11	KENNY FREASE	330-832-9011		Kenny		✓
✓ 10/11	JORDAN THEODORE	201-328-7044			MESSAGE	
✓ 10/12	JORDAN THEODORE	201-871-2105			MESSAGE	
✓ 10/12	YANCY GATES	513-699-3192		H.S COACH IS DAD - SPEAK TO HIM	MESSAGE DOESN'T COUNT	
✓ 10/14	[REDACTED]	[REDACTED]			MESSAGE	
✓ 10/14	[REDACTED]	[REDACTED]			MESSAGE	

Coach's Signature  EUM

WEEK - OCT 15-21

RECRUITING PHONE LOG

Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
✓ 10/15	[REDACTED]	[REDACTED]	cell			✓
✓ 10/15	Kent Frease	330-832-9011				✓
✓ 10/18	Bro Mackey (cell)	859-420-3347		NO CALL	message	
✓ 10/18	Bro Mackey (mom)	859-420-2712		Mom		✓
✓ 10/18	Marcus Morris	215-606-0780				✓
✓ 10/18	Patric Jurick	423-867-0045	13 min			✓

Coach's Signature

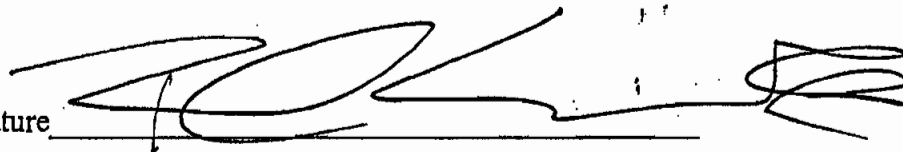
[Handwritten Signature] ECM

WEEK: - Oct 22 - 28

RECRUITING PHONE LOG

Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
Oct 22	Yancy GATES hm	513-699-3192	cell	message	No call	
	Sean Mosley cell	410-369-6885		message	No call	
	Darius Miller cell	606-584-4656		message	No call	
	Delvon ROE cell	440-503-3229		message	No call	
Oct 23	Will Buford hm	419-243-6635		message	No call	
	Yancy GATES hm	513 699 3192		message	No call	
	Will Buford cell	419-973-0743		message	No call	
	Delvon ROE cell	440-503-3229		Delvon		✓
Oct 24	Yancy GATES hm	513-699-3192		message	No call	
	Will Buford hm	419-243-6635		mess	No call	
	Will Buford cell	419-973-0743		mess	No call	
	Devon Edwards m	646-236-1218		message	No call	

Coach's Signature



ECM

WEEK - _____

RECRUITING PHONE LOG

Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
10/24	[REDACTED]	[REDACTED]	cell			✓
10/25	Yancy Gates	513-699-392		message	No call	
	Angel Garcia	787-504-378		message	No call	
	Jason Washburn	269-963-2995		message	No call	
	Kevin Jones	914-473-4648		Brother		✓
	[REDACTED]	[REDACTED]				✓
10/26	Yancy Gates	513-699-3192		message	No call	
10/27	[REDACTED]	[REDACTED]		[REDACTED]	}	✓
10/27	[REDACTED]	[REDACTED]	[REDACTED]	✓		
10/28	[REDACTED]	[REDACTED]	[REDACTED]	✓		
10/28	[REDACTED]	[REDACTED]	[REDACTED]	✓		
			B			

Coach's Signature _____

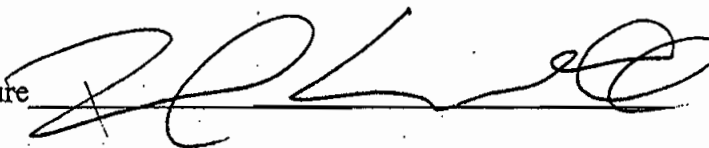
[Handwritten Signature]
ECM

WEEK - 10-29 → NOV 4

RECRUITING PHONE LOG

Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
10/29	[REDACTED]	[REDACTED]	cell	[REDACTED]		
10/29	[REDACTED]	[REDACTED]		[REDACTED]		
10/29	Will Buford (m)	419-973-0743		mess		✓
10/29	Yancy Gates (talked to coach)	513-699-3192		Father is H.S Coach →	Did NOT TALK TO Yancy	
10/29	Sylvan Landesberg (H)	718-358-9864		mess		
10/29	Angel Garcia	787-504-3795		mess Busy signal		
10/29	Darius Miller	606-584-4656		mess		
10/30	[REDACTED]	[REDACTED]		mess		
10/30	Angel Garcia	787-504-3795		mess		
10/30	Sylvan Landesberg	718-358-9864		mess		
10/30	Devon Ebanks	646-236-1218		mess		
10/31	Angel Garcia	787-504-3795		busy signal		✓

Coach's Signature



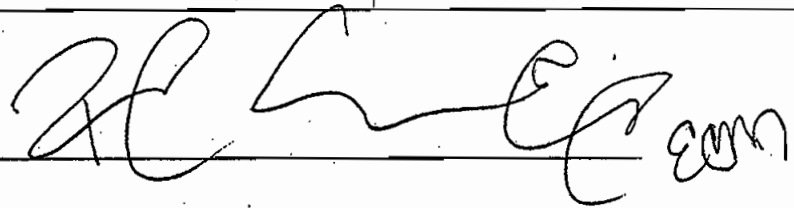
ECM

WEEK - 10-29 - NOV 4

RECRUITING PHONE LOG

Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
11/1	Darius Miller	606-584-4655	Cell			
11/1	[REDACTED]	[REDACTED]				
11/2	[REDACTED]	[REDACTED]				
11/2	[REDACTED]	[REDACTED]				✓
11/2	LUKE FABRIZIUS	847-736-1285		MESSAGE		
11/2	LUKE Darius Miller	606-584-4655		TALKED TO FATHER		✓

Coach's Signature



WEEK - Nov 3-11

RECRUITING PHONE LOG

Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
Nov 7	Zavier Sanders	765-457-0706	cell	message		
Nov 8	[REDACTED]			message		
Nov 9	Luke Fabrizio	847-736-1285		message		

Coach's Signature 

ECM

WEEK - 11/12 - 11/18

RECRUITING PHONE LOG

Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
11/12	Luke Fabrizious	847-736-1285	cell	message	No Call	
11/14	[REDACTED]	[REDACTED]		message	No call	
11/14	[REDACTED] 45	[REDACTED] 8		[REDACTED]		✓

Coach's Signature [Signature] ECM

WEEK - 11-19 - 11/25

RECRUITING PHONE LOG

Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
✓ 11/20	[REDACTED]	[REDACTED] 3	cell			✓

Coach's Signature [Handwritten Signature] ECM

WEEK - 11/26 - 12/2

RECRUITING PHONE LOG

Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
✓ 11/26	Devin EBANKS	646-236-1218	cell	Devin		✓
12.6? 11/30 ✓	[REDACTED]	[REDACTED]	cell	Message	No call	
✓ 11/30	[REDACTED]	[REDACTED]	cell			

Coach's Signature  ECM

WEEK - DEC 3 - DEC 7

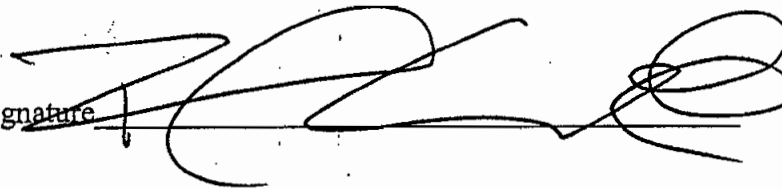
RECRUITING PHONE LOG

Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
✓ 12/6	[REDACTED]	[REDACTED]	cell			
✓ 12/6	[REDACTED]	[REDACTED]			MESSAGE NO CALLS!	
✓ 12/9	[REDACTED]	[REDACTED]				
✓ 12/9	[REDACTED]	[REDACTED]	☺			

Coach's Signature *[Signature]* ECM

RECRUITING PHONE LOG

Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
✓ 12/12	Ken Frease	330-832-9011	cell	Message		
✓ 12/12	Vancy Gates	513-699-3192		message		
✓ 12/12	Kevin Jones	914-473-4648		Kevin + Beth		✓
✓ 12/14	Vancy Gates	513-699-3192		Vancy		✓
✓ 12/14	Ken Frease	330-832-9011		Ken + mom		✓

Coach's Signature  ECM

WEEK - DEC 17-24

RECRUITING PHONE LOG

Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check	
✓ 12/18	[REDACTED]	[REDACTED]	cell	No Call	message		
✓ 12/20	[REDACTED]	[REDACTED]	↓			↓	
✓ 12/20	[REDACTED]	[REDACTED]	↓			↓	

Coach's Signature *[Signature]* ECM

WEEK - Dec 14 - 20

RECRUITING PHONE LOG

Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
✓ 12/24	Bud Mackey	859-420-3347	cell	Bud		✓
✓ 12/26	[REDACTED]	[REDACTED]	cell	[REDACTED]		✓

Coach's Signature [Handwritten Signature] EUM

WEEK - 12/31 - 1/10

RECRUITING PHONE LOG

Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
✓ 1/4	Brett Thompson	618-771-2720	CCU	message	left message + he called me back	

Coach's Signature  Ecm

RECRUITING PHONE LOG

Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
✓ 4/11	[REDACTED]	[REDACTED]	cell	[REDACTED]		✓

Coach's Signature *[Signature]* ecm

WEEK - 1/14 - 1/20

RECRUITING PHONE LOG

Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
✓ 1/14	DEVIN EBANKS	646-236-7218	cell	message	no call	
✓ 1/17	[REDACTED]	[REDACTED]		message	no call	
✓ 1/18	[REDACTED]	[REDACTED]				✓
✓ 1/20	[REDACTED]	[REDACTED]		message	no call	

Coach's Signature *[Handwritten Signature]* ECM

RECRUITING PHONE LOG

Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
✓ 1/24	David EZANKS	646-236-1218	cell	DEJ.W		✓
✓ 1/24	CHRISTIAN MOELIS	347-461-2791	cell	CHRISTIAN		✓
✓ 1/24	[REDACTED]	[REDACTED]	cell	[REDACTED]		✓
✓ 1/24	Brett Thompson	618 771-2720	cell	MESSAGE		

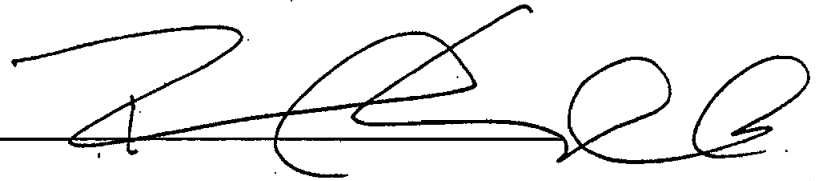
Coach's Signature  ECM

WEEK - 1/28 - 4/4

RECRUITING PHONE LOG

Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
1/28	[REDACTED]	message	cell			
1/28	Brett Thompson	message				
1/29	Delvon Røe	message				
1/29	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]		✓
2/1	Brett Thompson	618-771-2700			MESSAGE	

Coach's Signature



ECM
JS 2/9

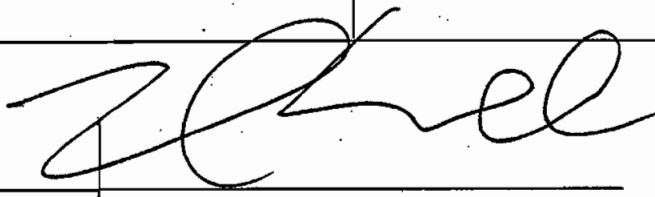
RECRUITING PHONE LOG

Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
2/5	[REDACTED]	[REDACTED]	cell	message		
2/6	[REDACTED]	[REDACTED]		[REDACTED]		✓
2/7	Kenny Frawle	330-832-9011		message		
2/7	Yancy Gates	513-699-3192		Yancy		✓
2/7	Kevin Jones	914-473-4648		mess		
2/7	Delvon Roe	216-731-7237		mess		

Coach's Signature  ECM

RECRUITING PHONE LOG

Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
2/21	Ken FREASÉ	330-832-9011	cell	message	talked to	✓
2/12	Kevin JONES	914-473-4648	cell	message		
2/12	DeLond ROE	216-731-7237		message		
2/14	Kevin JONES	914-473-4648		mess		
2/14	[REDACTED]	[REDACTED]		mess		

Coach's Signature  ECM

WEEK - 2/18 2/17

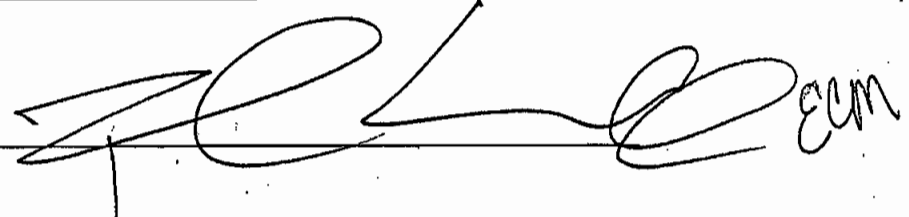
RECRUITING PHONE LOG

Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
2/18	[REDACTED]	[REDACTED]	cell	MESS		
2/19	Kevin James	914-473-4648	↓	mess		
2/19	[REDACTED]	[REDACTED]		mess		
2/19	Angel Garcia	787-504-3795		mess		
2/24	[REDACTED]	[REDACTED]		talked to [REDACTED]		✓

Coach's Signature ECM

RECRUITING PHONE LOG

Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
2/25	[REDACTED]	[REDACTED]	cell			✓
2/25	Dash Harris	310-864-2300		Dash Sr	Father	✓

Coach's Signature  ECM

WEEK - March 4-10

RECRUITING PHONE LOG

Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
3/4	[REDACTED]	[REDACTED]	cell	[REDACTED]		
3/7	[REDACTED]	[REDACTED]	↓	[REDACTED]		✓

Coach's Signature Reher Eum

WEEK - March 11-17

RECRUITING PHONE LOG

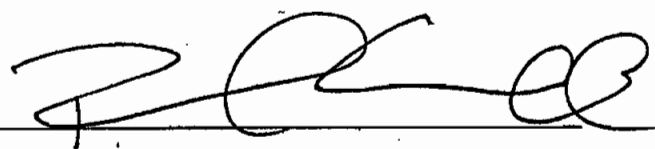
Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
3/11	Kevin Jones [REDACTED]	[REDACTED]	cell	[REDACTED]		✓

Coach's Signature  ECM

WEEK - March 18-67

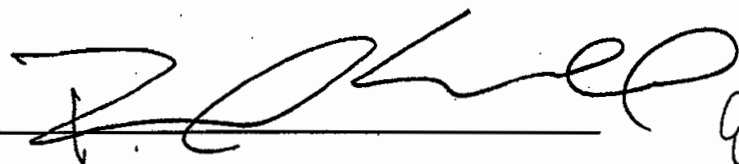
RECRUITING PHONE LOG

Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
3/13	[REDACTED]	850-573-3068	cell	[REDACTED]		✓

Coach's Signature  ECM

RECRUITING PHONE LOG

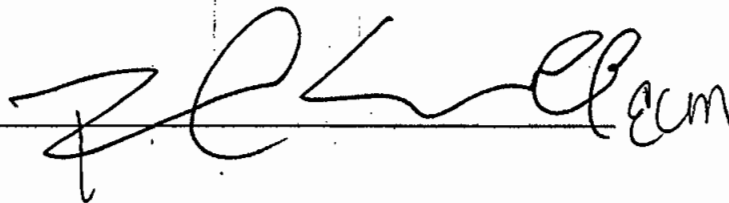
Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
3/25	Angel Garcia	787-504-3795	cell	Angel		✓
3/27	[REDACTED]	[REDACTED]	↓		message	
3/28	[REDACTED]	↓	↓		message	
3/30	[REDACTED]	2	↓		message	

Coach's Signature  ECM

RECRUITING PHONE LOG

Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
4/3	[REDACTED]	[REDACTED]	cell	message		
4/4	Devon Eganis	646-236-1218		message		
4/4	Yancy Gates	513-679-3192		message		
4/4	Christian Morris	347-461-2791		message		
4/4	Josh Crittle	708-415-4066				✓
4/4	Luke Fabrizio	847-736-1285		message		
4/4	Emmanuel Nebeku	812-345-2141				✓
4/4	Brett Thompson	618-771-2720		message		
4/5	[REDACTED]	[REDACTED]		[REDACTED]		✓
4/5	[REDACTED]	[REDACTED]		[REDACTED]		✓
4/5	[REDACTED]	[REDACTED]		[REDACTED]		✓

Coach's Signature



WEEK - 4/8 - 4/14 /07

RECRUITING PHONE LOG

Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
4/8	Sean Mosely	443-229-4445	cell			✓
4/8	DEVIN EBANKS	646-236-1218		Message		
4/8	KEVIN JONES	914-473-4698		message		
4/9	DEVIN EBANKS	646-236-1218				✓
4/9	KEVIN JONES	914-473-4698				✓
4/9	[REDACTED]	[REDACTED]		* week of signing		✓
4/10	Phil Juzick	423-760-2398				
4/10	[REDACTED]	[REDACTED]		* week of signing		✓
4/12	Jamyhael Green	334-286-0271				
4/12	Kemba Walker	646-546-4790			message	
4/12	Brett Thompson	618-771-2720				

Coach's Signature

[Handwritten Signature]
ECM

MANA

07

RECRUITING PHONE LOG


Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
4/15	Yancy Gates	513-697-3192	cell	message	message	
4/16	Bud Mackey	859-860-6049	cell			✓

Coach's Signature  ECM

WEEK - April 26-28

RECRUITING PHONE LOG

Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
		/				

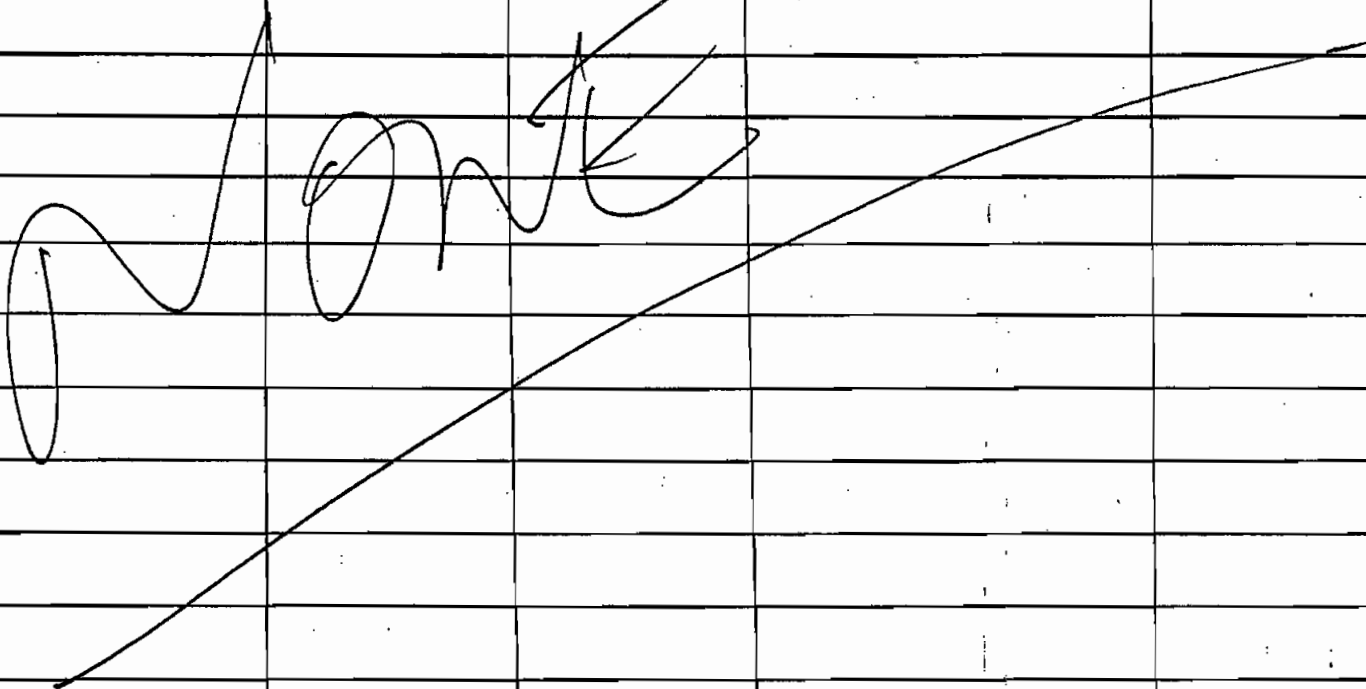
Coach's Signature 

ECM

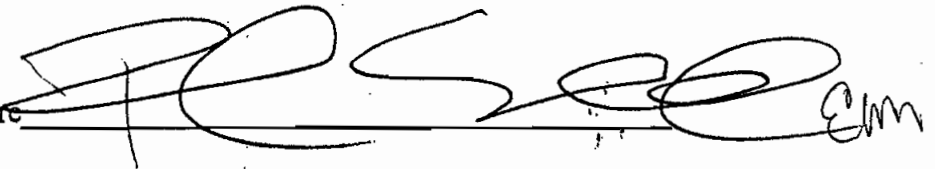
WEEK - April 29 - May 5

07

RECRUITING PHONE LOG

Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
						

Coach's Signature



WEEK - 5/6

5/12 | 06

07
=

RECRUIT

It was this yr.

? - check cellphone

Date	Prospects Name	Phone Number Called	Phone Called		Comments & Any Issues	Check
5/7	JASON Washburn	269-209-5197	cell	JASON		✓

Coach's Signature 

WEEK - 5/12 - 5/17/07

RECRUITING PHONE LOG

Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
5/13	Darshan Harris	310-864-2300	cell	MESSAGE		
5/14	Quintrell Thomas	914-582-3902				✓

Coach's Signature

 ECM

WEEK - May 20-26

RECRUITING PHONE LOG

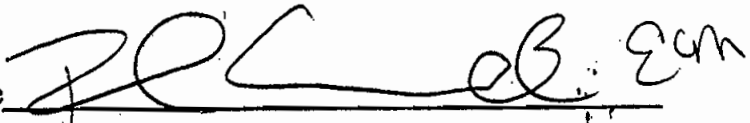
Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
		NONE				

Coach's Signature *[Handwritten Signature]* em

WEEK - May 27 - June 2

RECRUITING PHONE LOG

Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
5/29	ANDRE CLARK	501-837-6604		ANDRE	2007 KID	
5/31	JOSH CRITTE	708-415-4866		message		


Coach's Signature 

WEEK - June 3-9

201

RECRUITING PHONE LOG

Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
6/4	DASH HARRIS SR.	310-695-661	cell		message	
6/5	" " "					✓
6/7	JULIAN MAJUNGA	317-840-0070				✓
6/7	ANDRE CLARK	501-837-6694				✓
6/8	ANDRE CLARK					

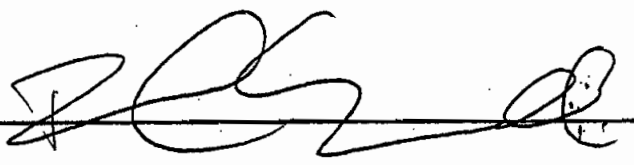

Coach's Signature  ECM

WEEK - June 10-16

RECEIVED
6/16/16

RECRUITING PHONE LOG

Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
6/12	Andre Clark		cell			
6/15	St. COLT RYAN	812-242-1652		DAO - PHIL	2009	

Coach's Signature  

WEEK - June 17-23

RECEIVED
7/6/07

RECRUITING PHONE LOG

Correct

Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check

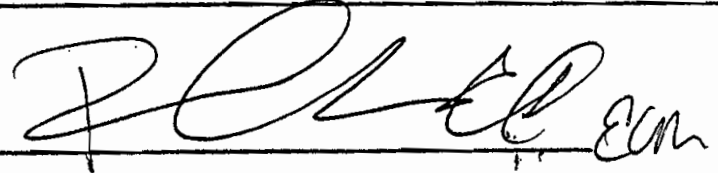
Coach's Signature

[Handwritten Signature] ECM

WEEK - June 24-30

RECRUITING PHONE LOG

Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
6/24	Andre Clark	521-837-6684	cell			✓
6/25	Andre Clark				WEEK OF VISIT	✓
6/27	Andre Clark					✓

Coach's Signature 

WEEK - July 1-7

RECRUITING PHONE LOG

Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
7/1	BILL EDWARDS	937-514-5440	cell	2009 kid		✓
7/2	Duane SCOTT	914-471-7436	cell	2009 kid		✓
7/2	Andre Clark	501-837-6604	cell		MESSAGE	
7/3	Andre Clark	"	cell		message	
7/4	Andre Clark	"	TALKED TO FID			✓

Coach's Signature  ACM

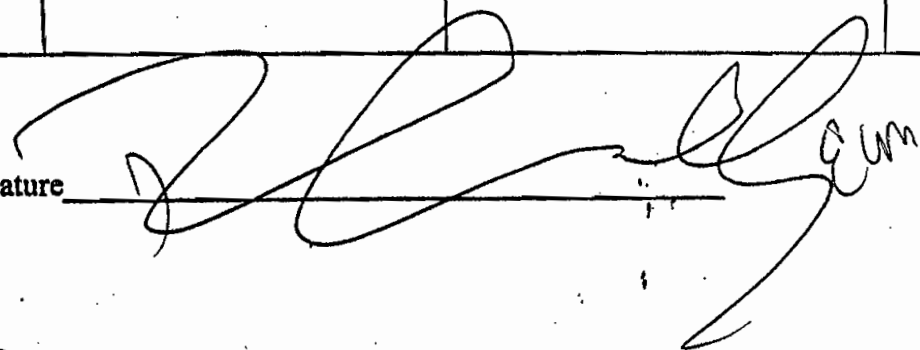
WEEK - July 8-14

421

RECRUITING PHONE LOG

Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check

Coach's Signature

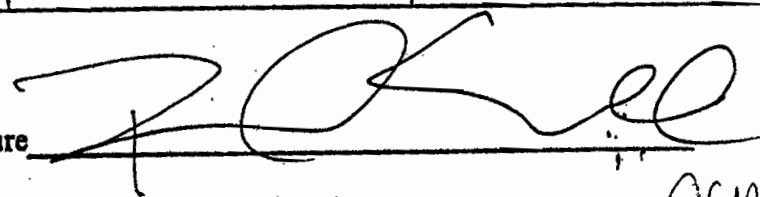
A large, stylized handwritten signature in black ink, spanning across the bottom right portion of the page. The signature is cursive and difficult to decipher, but it appears to be the name of the coach.

WEEK - July 15-21

RECEIVED
8/1/03

RECRUITING PHONE LOG

Date	Prospects Name	Phone Number Called	Phone Number Called From	Talked To:	Comments & Any Issues	Check
7/17	Allen Charley	215-203-2622	cell	Dad	2008 kid	✓
7/17	Jason Washburn	269-209-5997	cell	Jason	2008	✓

Coach's Signature 

ECM

Prolast: Mackey
Profirst: Jonathon "Bud"
Prename: Bud

Height: 6' 3

Address: 605 George Martin
Georgetown, KY 40324

<u>Date</u>	<u>Who Called</u>	<u>Response</u>	<u>Number Called</u>
08/22/2006	Rob	Talk to Prospect	5025708859
		called from cell	
	<u>Conversation</u>	Talked to chris and Bud	
10/09/2006	Rob	Left Message	5025708859
	<u>Conversation</u>	called from cell	
10/18/2006	Rob	Left Message	8594203347
	<u>Conversation</u>	called from cell	
10/18/2006	Rob	Talked to Other	859-420-2712
		called from cell	
	<u>Conversation</u>	talked to mom	
12/24/2006	Rob	Talk to Prospect	8594203347
	<u>Conversation</u>	called from cell	
04/16/2007	Rob	NONE	859-868-6849
	<u>Conversation</u>	called from cell	
04/16/2007	Rob	Talk to Prospect	859-868-6849
	<u>Conversation</u>	called from cell	
06/05/2007	Coach Sampson	Talk to Prospect	859-420-2712
	<u>Conversation</u>	called from cell	
08/06/2007	Rob	Talk to Prospect	859-420-2712
	<u>Conversation</u>	called from cell	
08/19/2007	Rob	Talk to Prospect	859-420-2712
		called from cell	
	<u>Conversation</u>	talked to mom and Bud	
08/25/2007	Rob	Talked to Other	859-420-2712
		called from cell	
	<u>Conversation</u>	talked to mom	

2007 NATIONAL CITY/KHSAA BOYS' SWEET SIXTEEN® STATE BASKETBALL TOURNAMENT

March 21-24 • Rupp Arena • Lexington

(All-Times Eastern & Local To Site – Top Team In Bracket Will Be The Home Team)

National City.



Boys' State Tournament

Adair County (23-9) 51

Wed., March 21
12:00 p.m.
Lincoln County (24-9) 41

Adair County (24-9) 53

Scott County (30-2) 59

Wed., March 21
1:30 p.m.
Oldham County (23-6) 47

Fri., March 23
12:00 p.m.
Scott County (32-2) 73

Scott County (31-2) 68

South Laurel (28-5) 48

Wed., March 21
6:30 p.m.
Fairdale (24-7) 46

South Laurel (29-5) 49

Holmes (23-9) 57

Wed., March 21
8:00 p.m.
Paducah Tilghman (25-7) 48

Fri., March 23
1:30 p.m.
Holmes (25-9) 64

Holmes (24-9) 55

Sat., March 24
10 a.m.
Scott County (33-2)

Sat., March 24
8 p.m. (ET)
(Subject to Change)

2007
State Champion

Owensboro (24-8) 60

Thurs., March 22
12:00 p.m.
Ballard (32-2) 80

Ballard (33-2) 71

George R. Clark (25-7) 77 (OT)

Thurs., March 22
1:30 p.m.
Christian County (28-4) 71

Fri., March 23
6:30 p.m.
Ballard (34-2) 61

George R. Clark (26-7) 48

Sat., March 24
11:30 a.m.
Ballard (35-2)

Elliott County (24-6) 68

Thurs., March 22
6:30 p.m.
Shelby Valley (29-3) 55

Elliott County (25-6) 76

June Buchanan (26-6) 53

Thurs., March 22
8:00 p.m.
Warren Central (28-4) 71

Fri., March 23
8:00 p.m.
Warren Central (30-4) 55

Warren Central (29-4) 92

National City.



Explorer Controls Select

MACKKEY

- Explorer
- Logan, Darius
- Loubeau, David
- Lucas, Jai
- Lucas-Perry, Laval Prtorit
- Lucious, Korie
- Luster, JayDee
- Mabry, Tashaun
- Macellari, Marcus
- Macklin, Vernon
- Maclin, Cordero
- Madison, Karl
- Maehlen, Jan
- Magruder, Rodney
- Maitland Jr., Edson
- Malone, Blake
- Maniru, Bawa

Refresh

000000

Count

Bio. Pers. School Off. Visit Phone Film Acad. Quest Summary Mail

Contact & Eval Other Visits Misc. Unofficial Visits Notes AAU 1 AAU 2 Tourm Email

Name: Jonathon "Bud" Mackey

Rating: Gold RD: []



Contact or Evaluation	Date	City	Coach	Site	School
Evaluation	09/10/2006	Georgetown	Rob		
Evaluation	10/17/2006	Georgetown	Rob		
Evaluation	03/23/2007	Lexington, KY	Jeff	Other	
Evaluation	03/24/2007	Lexington, KY	Rob	Other	
Evaluation	04/16/2007	Georgetown, K	Rob	HS	
Contact	09/24/2007	Georgetown	Ray		
Contact	09/13/2007	Georgetown	Rob		

New Delete



**DEPARTMENT OF
INTERCOLLEGIATE
ATHLETICS**

INDIANA UNIVERSITY
Bloomington

December 18, 2007

Ms. Kelly Groddy
Associate Director of Student-Athlete Reinstatement
NCAA

VIA FACSIMILE – 317-917-6736

Re: Revised Reinstatement Request

Dear Kelly:

Attached is a revised reinstatement request from Indiana University regarding men's basketball prospective student-athlete Derek Elston ("Elston" or "the prospect"). As we reported on October 26, a violation of NCAA Bylaw 13.12.1.3 occurred when the prospect came to campus on an unofficial visit on the evening of June 30, his first day of participation in a two-day institutional camp. We are requesting that the prospect's eligibility to compete at Indiana University be reinstated with no conditions.

We have modified the context of the violation initially reported due to information that was discovered after we obtained statements from the prospect and his high school coach, as you requested, as well as additional information that we received from Assistant Men's Basketball Coach Jeff Meyer ("Coach Meyer"), the assistant coach who was the primary organizer of the meeting. I have attached the statements from the prospect, his coach (Travis Daugherty or "Daugherty"), Coach Meyer and Head Men's Basketball Coach Kelvin Sampson ("Coach Sampson").

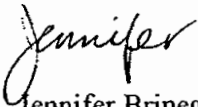
Although the violation itself has not changed, I wanted to highlight the circumstances that are apparently different than we initially reported:

1. Coach Meyer's statements to Daugherty. When the initial report was prepared, there was apparently some miscommunication regarding the information or instructions Coach Meyer conveyed to Daugherty regarding how Elston needed to be dismissed from the camp. Coach Meyer has indicated that he believes the confusion arose because he emphasized that he thought Elston had been properly dismissed from the camp and because he did not recall seeing him on the second day of the camp. Coach Meyer also stated that he did not recall speaking with either Elston or Daugherty on the second day, but that he generally tries to casually chat with players, parents and coaches as they finish camp activities and leave campus. Coach Sampson does not remember talking with the prospect or his coach on the second day of the camp. Elston and Daugherty stated that Coach Sampson and Coach Meyer watched at least some of Elston's last game and that the coaches spoke with Elston before they left.

2. Scholarship Offer. Contrary to what was reported in the original request, no scholarship was offered at the meeting on June 30. However, as they left the meeting, Daugherty asked Coach Meyer if a scholarship had been offered. Coach Meyer responded that they could not make an offer while the prospect was on campus but that was what they planned to do.

The attached reinstatement request reflects our current understanding of the context for the violation based on the attached written statements from all parties. Again, we do not believe that the revisions affect the substance or nature of the violation. Feel free to let me know if you have any questions or need any additional information. You can contact me at 812/855-0451 or by e-mail at jhooker@indiana.edu. We appreciate your assistance in this matter.

Sincerely,



Jennifer Brinegar
Assistant Athletics Director

Attachments

cc: Rick Greenspan
Robin Green Harris
Chad Hawley

bc: Grace Calhoun
Dottie Frapwell
Bruce Laffee ✓



SELF-REPORT FOR SECONDARY VIOLATIONS
CONFERENCE RULE VIOLATIONS/ NCAA BYLAW VIOLATIONS

1. Institution: Indiana University
2. Sport(s) Involved: Men's Basketball
3. Date Institution Determined Violation Occurred: September 2007
4. Date Reported to: NCAA: December 17, 2007 Conference: N/A
5. Location of Violation: Bloomington, Indiana
6. Date of Violation: June 30, 2007
7. How was the violation discovered? Review of information reported to the NCAA and forwarded to the institution in a written inquiry dated July 12, 2007.
8. Legislation Involved: NCAA: 13.12.1.3
Conference:
9. For NCAA violations, indicate whether this is a Level I or Level II violation. Report Level I violations directly to the NCAA.

X Level I: In general: violations of bylaws outside of Bylaws 10-17, all intentional violations, any violations not isolated or limited to a single occurrence, and any similar violations that previously have occurred in the same sport during that same year. Refer to the NCAA website for a complete list of Level I violations (http://www1.ncaa.org/membership/enforcement/secondary_violations/index).

Level II: All inadvertent violations of the operating bylaws (Bylaws 10-17) not identified as Level I violations. Level II violations do not require reinstatement by the NCAA. If this self-report involves restitution, please attach documentation of repayment.

10. Individual(s) Involved (include Name/Position or Title):
Jeff Meyer, assistant coach, and Kelvin Sampson, head coach

11. Prospective or Enrolled Student-Athlete(s) Involved:
Derek Elston, prospective student-athlete

12. Eligibility reinstatement required? Yes.

If yes, requested to: NCAA X If YES, request eligibility reinstatement directly from NCAA.
Or Conference _____ If YES, request eligibility reinstatement directly from Conference.

A) Date institution declared the prospect/student-athlete ineligible: Date: September 2007
B) Name(s) of Prospect(s) or Student-Athlete(s) declared ineligible: Derek Elston

C) Are there other eligibility issues with this prospect or student-athlete (e.g., petition pending; additional reinstatement pending, etc.)? If YES, please clarify: No.

13. Facts of the Case: The prospect's high school team participated in an institutional team camp on June 30 and July 1. Most of his team's games took place in the HPER gym on Saturday, June 30; however, his team's last game took place in Assembly Hall. After the last game, the prospect stayed with a teammate who was getting treatment in Assembly Hall for a knee injury that was sustained during the last game. The rest of the team and one of the team's assistant coaches went back to their off-campus hotel. At some point that evening, the prospect and his head coach went into the coaches' locker room in Assembly Hall to meet with Coach Sampson and Coach Meyer. They did not leave campus or Assembly Hall between the end of their game and this meeting. Further, the next day, the prospect returned with his team to participate in the second and final day of the two-day team camp. The meeting on June 30 is thus contrary to Bylaw 13.12.1.3.

Coach Meyer was the main organizer of the meeting. He realized the morning of June 30 that the coaches could not meet with the prospect following the camp's conclusion on July 1, as would have been permissible if the camp had occurred earlier in June, due to the impermissibility of unofficial visits during July. He incorrectly believed that NCAA rules would allow a meeting with the prospect if the prospect had been dismissed from the camp and team obligations at the end of the day on June 30. During the afternoon or evening of June 30, the assistant coach informed the prospect's coach that the university's coaches would like to meet with him and the prospect but that the prospect had to be dismissed from camp prior to the meeting.

14. Mitigating Circumstances: The assistant coach thought that if he suggested that the prospect leave campus after the last game on June 30 that, because all camp activity for the day had been completed, this would constitute dismissal from the camp. He did not understand that dismissal was not possible until the camp was over or the team had finished its participation. In trying to avoid an issue with the "no July unofficial visit rule" the assistant coach did not take enough care to ensure the accuracy of his understanding of what would be considered dismissal from camp. In retrospect, he realizes that he should have contacted the compliance office for an interpretation regarding what they could do. The head coach believed this meeting was permissible based on the information the assistant coach shared with him regarding his incorrect understanding that the prospect had been dismissed from the camp.

15. Corrective Actions Taken by Institution: The relevant legislation was discussed with the assistant coach in September and with the head coach in early October. It was also reviewed with the entire men's basketball staff on October 23, 2007. The men's basketball staff is limited to six recruiting opportunities for this prospect during his junior year and six during his senior year, with only two of those for off-campus contacts. The two coaches were issued letters of reprimand.

Under the provisions of Rule 32.2.1.C, this report is to be countersigned by the Faculty Representative and Director of Athletics.

Submitted By:

Signature

Faculty Representative

Date:

Signature:

Director of Athletics

Date:

(7/04)

REMINDER: Institutions are required to submit copies of all letters of admonishment and/or reprimand issued with a self-report.

Copies to:

Jennifer Brinegar
Grace Calhoun
Tim Fitzpatrick
Dottie Frapwell
Rick Greenspan
Kelly Groddy
Robin Green Harris
Chad Hawley
Bruce Jaffee
Michael McRobbie
Jeff Meyer
Kc Sampson

Jennifer,

To the best of my memory, here are as direct and detailed of answers as I can provide to the questions about Derek Elston. If you have any follow-up questions, please let me know.

Question 1: Did you meet with Derek Elston during the team camp this past summer: specifically, on the evening of Saturday, June 30 at the end of the camp activities for that day?

Answer 1: Yes.

Question 2: Was this meeting related to camp activities?

Answer 2: Yes. The meeting was related to camp activities in two ways. First, because Derek was on campus with his team to participate in camp play, the meeting was physically possible and we discussed Tipton High School's pool play opponents. Second, my impression of Derek's play during the Saturday camp game that I had watched earlier in the day was discussed in the meeting.

Question 3: Was any recruitment of Derek discussed (any conversation designed to solicit Derek's future enrollment at IU)?

Answer 3: Yes. There was no actual offer of a scholarship to Derek during the meeting. However, while meeting in the coaches' locker room, Coach Sampson and Derek did talk about Derek's game. As part of that conversation between Coach Sampson and Derek, I believe that Coach Sampson said that Derek was the type of player and person whom he wanted playing at Indiana. To the best of my memory, as Derek, Travis and I were leaving the locker room, Travis asked something like, "So does Derek have a scholarship to IU," and I basically said, "We can't make any official offer while Derek is on campus, but that's what we plan to do."

Question 4: Was his coach present?

Answer 4: Yes.

Question 5: Who organized this meeting?

Answer 5: I was the main organizer of the meeting. The meeting came about like this – I knew that our staff would like to have the chance to talk with Derek while he was in Bloomington. As I was driving to camp on the morning of Saturday, June 30th, I realized that because camp lasted through Sunday, July 1st that the rule prohibiting unofficial visits during the month of July would prevent us from meeting with Derek after Sunday's camp session, which we could have done had camp been earlier in the month. As I was driving, I tried to think of how we could meet with Derek without violating that rule. I knew that there was a rule that allowed us to meet with a prospect who had been

“dismissed” from camp. I thought that meeting with Derek after all camp activities had ended June 30th and Derek had been “dismissed” from camp and team obligations could solve these two issues within the NCAA rules. At some point early Saturday afternoon (after Derek’s team’s first Saturday game), I saw Coach Daugherty and mentioned that our staff would like to visit with him and Derek. In order to try to follow the “no July unofficial visit” rule and because of my (incorrect) understanding of the “dismissed from camp” rule, I told Coach Daugherty that we would have to do it Saturday night after he had dismissed Derek from his camp and team obligations. As I recall, I suggested that after Coach Daugherty’s team’s last game that he should dismiss his team, let an assistant coach take the team to their off-campus hotel, he and Derek should go off-campus and then return to campus and find me in the Assembly Hall. I do not remember watching Derek play any more on Saturday or having any other conversation with Coach Daugherty that afternoon. Saturday evening, Coach Daugherty and Derek found me in the Assembly Hall after their last game of the day. At that time, I (incorrectly) believed that we could meet with Derek without violating either relevant rule, so we went to meet Coach Sampson.

Question 6: Where was the meeting held?

Answer 6: The meeting was held in the coaches’ locker room in the Assembly Hall.

Question 7: Who was present (please name everyone who was in the room when this meeting took place)?

Answer 7: I know that Derek, Coach Daugherty, Coach Sampson, and I were in the meeting. I do not remember whether any of our other assistant coaches were present.

Question 8: Did you speak (during this meeting) with Derek or his coach about a scholarship and/or playing at IU?

Answer 8: I do not remember personally talking about Derek coming to Indiana during the meeting. I think that at the beginning of the meeting there was some general discussion about camp and about Derek’s game, but the meeting pretty quickly became a conversation between Derek and Coach Sampson. As I mentioned in response to Question 3, I recall that as Derek, Travis and I were leaving the locker room, Travis asked something like, “So does Derek have a scholarship to IU,” and I basically said, “We can’t make any official offer while Derek is on campus, but that’s what we plan to do.” I believe that was the only time during that weekend that I specifically talked with Derek or Coach Daugherty about Derek playing at Indiana.

Question 9: Why did this meeting take place during camp?

Answer 9: The meeting occurred when it did for the reasons I explained in response to Question 5. The intention was to meet in a way that satisfied both the “no July unofficial visit” rule and the “dismissed from camp” rule. I thought that by holding the meeting in the way I suggested to Coach Daugherty that we would comply with both of those rules

because all camp activity for Saturday would be finished, Derek would be "dismissed," and it would still be June when we met. Regrettably, I was wrong.

Question 10: Did you talk with Derek and/or his coach at any point during the camp on Sunday, July 1?

Answer 10: I do not remember talking with Derek or Coach Daugherty on Sunday. Generally, if a summer camp ends on a day when I am in town, I try to chat with players, parents and coaches as they finish camp activities and leave campus. These chats are fairly random and are of a casual and brief nature, basically thanking campers and coaches for coming to camp and wishing them well during the upcoming season. I know that I was around the Assembly Hall at the end of camp on Sunday, and I generally remember saying goodbye to lots of people. It may be that I chatted with Derek or Coach Daugherty while they were leaving, but I don't remember doing that. The reason for meeting with them Saturday night was because I knew we couldn't have an unofficial visit on Sunday, so I'm confident that we didn't have any deep conversation Sunday.

Question 11: In light of the specific legislation that prohibits recruiting activities during an institutional camp, please provide your explanation as to why this meeting occurred?

Answer 11: The meeting occurred for the reasons I explained in response to Question 5 and Question 9. Although the meeting turned out to be a violation of the "dismissed from camp" rule, there was no conscious decision to break the rule or get an unfair recruiting advantage. Regrettably, in trying to avoid violating the "no July unofficial visit" rule, not enough care was taken to correctly follow the "dismissed from camp" rule. Looking back, I regret that I had not realized earlier in the week that we could not meet with Derek on Sunday and had contacted the compliance office to find out what alternatives there might be. Even when the problem first occurred to me on Saturday morning, I regret, in retrospect, that I did not call to get an interpretation of what we could do.

Additional information: I do not recall specifically watching Derek's team play on Sunday or even seeing Derek or Coach Daugherty that day. However, I am sure that when I spoke with Coach Daugherty on Saturday I did not tell him that he shouldn't bring Derek back to camp on Sunday. That phrasing in the report of October 26th is probably due to my emphasizing that I thought Derek had been properly "dismissed" from all camp activities when we met and my genuine belief that I didn't see him (or my honest failure to remember seeing him) Sunday.

Jeff Meyer
November 29, 2007

MEMO

DATE: November 29, 2007
TO: Jennifer Brinegar
FROM: Coach Kelvin Sampson KRS
RE: Camp-Recruiting Issue

1. Did you meet with Derek Elston during the team camp this past summer: specifically, on the evening of Saturday, June 30 at the end of the camp activities for that day?

Yes, I remember meeting with Derek Elston and his Coach, Travis Daugherty, this summer. I do not remember specifically what day.

2. Was this meeting related to camp activities?
3. Was any recruitment of Derek discussed (any conversation designed to solicit Derek's future enrollment at IU)?

I do not remember specifics regarding our meeting. Only that we did meet

4. Was his coach present?

Yes, Coach Daugherty was present.

5. Who organized this meeting?

Coach Jeff Meyer

6. Where was the meeting held?

Coaches' Locker Room

7. Who was present (please name everyone who was in the room when this meeting took place)?

I remember Coach Meyer, Derek, and Coach Daugherty.

8. Did you speak (during the meeting) with Derek or his coach about a scholarship and/or playing at IU?

I do not remember offering Derek a scholarship.

9. Why did this meeting take place during camp?

My coaching staff indicated to me that we could meet with Derek after camp, Saturday June 30th, provided he was dismissed from all Team/ Camp obligations by his coach.

10. Did you talk with Derek and /or his coach at any point during the camp on Sunday, July 1?

I do not remember talking with Derek and Coach Daugherty on Sunday (After we met Saturday Night)

11. In light of the specific legislation that prohibits recruiting activities during an institutional camp, please provide your explanation as to why this meeting occurred?

I was under the assumption based on what Coach Meyer explained to me that it was ok to speak with Derek. I was under the impression that he was dismissed from camp.

November 8, 2007

Jennifer Brinegar
Asst. Athletic Director/Compliance, Indiana University
Assembly Hall
1001 East 17th Street
Bloomington, IN 47408

Ms. Brinegar,

Here is my recollection of the events of IU Team Camp:

We went to Bloomington for the IU Team Camp on Saturday, June 30th through Sunday, July 1st. It didn't take long to see that IU had developed a major interest in Derek. In our first game vs. Bloomington North, Asst. Coaches Dakich and Meyer watched almost the entire game, and Coach Sampson was in and out as he was on the phone walking among multiple courts. In our second game, we played Perry Meridian. IU's coaches (except maybe Senderoff/McCallum) were present for this game. Coach Meyer kept Derek's individual stats on a piece of paper for the game, a game which we won in triple overtime. After the game, Coach Meyer stopped me and showed me that Derek had 39 points and 23 rebounds in the game, and it was obvious from him that their interest in Derek was extremely high at that point. We played another game at the HPER complex vs. Penn, but our last game of the night was in Assembly Hall vs. Hamilton Southeastern.

Either just before or just after our game vs. Hamilton Southeastern in Assembly Hall, Coach Meyer informed me that Coach Sampson wanted to meet with Derek and I. I was surprised that they didn't want to meet with his parents as well (they were not down that weekend), but I also was excited for Derek and looked forward to the meeting. Coach Meyer informed me at that point that there was a problem. He said that they technically couldn't speak to Derek until he was "dismissed" from camp. Since we were done playing that night, Derek would be "dismissed" from camp and free to talk while the rest of our team went back to the hotel. Coach Meyer asked if we were staying on campus, and I told him we were not. One of our other players had sprained his ankle in the last game vs. Hamilton Southeastern in Assembly Hall, and was in the training room getting treatment after that last game. Derek sat in that room for a while with the injured player for probably 20-30 minutes. He was not with the IU coaching staff at that point, but we also never left Assembly Hall between the end of our game and the meeting afterwards. Most of the players and other people had cleared out of Assembly Hall at that point, and I agreed with my Assistant Coach Green that Derek and I would go meet with the IU coaches, and then we'd ride back to the hotel with Coach Green and the injured player. At that point, Coach Meyer took Derek and I into the meeting room.

Present at the meeting were Derek, Coach Meyer, Coach Sampson, Coach Dakich, and myself. The meeting lasted probably 30-40 minutes, and ended with Coach Sampson offering Derek a scholarship to come play for him at IU. There was no mention whatsoever of any stipulation that would prevent Derek or our team from participating the following day (Sunday). As a matter of fact, the IU staff expressed their excitement in the opportunity to watch our team the following day. As we walked out with Coach

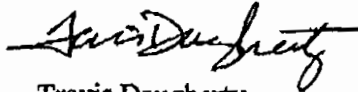
Meyer, he asked what we thought. I wasn't sure that Coach Sampson had actually offered a scholarship during the meeting. He said a number of times how much he'd like for Derek to come play for him, but I didn't ever remember him saying the words, "We are offering you." I asked Coach Meyer on the walk out if that meeting constituted an official offer, and Coach Meyer replied that it did, but that the offer wouldn't actually exist until we were off-campus. Derek was obviously very excited, and called his parents that night and informed them of the news. Derek and I then left (with Coach Green and the injured player) and drove back to the hotel.

The following day, we played two games (one vs. Joliet, IL and one vs. Indianapolis Arlington), both at the HPER complex. Derek was there and played in both of them. Again, no one from IU's staff ever mentioned that Derek would not be able to play since we met the night before.

Derek played in both of the games we played on Sunday. In the last game, Coach Sampson and Coach Meyer watched at least some of the game, and they spoke with Derek after the game before we left. They talked about Derek's sister's boyfriend and how big of an IU fan he was, and how Derek was tempted to get Eric Gordon's autograph for him. The entire conversation lasted perhaps 5-10 minutes, then Derek and I returned to Tipton with the rest of our team.

This is the truth based on what I remember from that weekend.

With respect,



Travis Daugherty
Boys Basketball Coach
Tipton High School
619 S. Main Street
Tipton, IN 46072

November 8, 2007

Jennifer Brinegar
Asst. Athletic Director/Compliance, Indiana University
Assembly Hall
1001 East 17th Street
Bloomington, IN 47408

Ms. Brinegar,

Here is my recollection of the events of IU Team Camp:

We went to Bloomington for the IU Team Camp on Saturday, June 30th through Sunday, July 1st. It didn't take long to see that IU had developed a major interest in me. In our first game vs. Bloomington North, Asst. Coaches Dakich and Meyer watched almost the entire game, and Coach Sampson was in and out as he was on the phone walking among multiple courts. In our second game, we played Perry Meridian. Some of IU's coaches were present for this game. I played very well, and Coach Daugherty told me that IU's coaching staff had been very impressed. We played another game at the HPER complex vs. Penn, but our last game of the night was in Assembly Hall vs. Hamilton Southeastern.

Either just before or just after our game vs. Hamilton Southeastern in Assembly Hall, Coach Meyer informed Coach Daugherty that Coach Sampson wanted to meet with us. I was excited and looked forward to the meeting. One of our other players had sprained his ankle in the last game vs. Hamilton Southeastern in Assembly Hall, and was in the training room getting treatment after that last game. I sat in that room for a while with the injured player for probably 20-30 minutes. I was not with the IU coaching staff at that point, but Coach Daugherty and I also never left Assembly Hall between the end of our game and the meeting afterwards. Most of the players and other people had cleared out of Assembly Hall at that point, and Coach Meyer took Coach Daugherty and I into the meeting room.

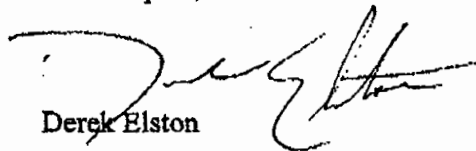
Present at the meeting were Coach Daugherty, Coach Meyer, Coach Sampson, Coach Dakich, and myself. The meeting lasted probably 30-40 minutes, and ended with Coach Sampson offering me a scholarship to come play for him at IU. There was no mention whatsoever of any stipulation that would prevent me or our team from participating the following day (Sunday). As a matter of fact, the IU staff expressed their excitement in the opportunity to watch our team the following day. As we walked out with Coach Meyer, he asked what we thought. I wasn't sure that Coach Sampson had actually offered a scholarship during the meeting. He said a number of times how much he'd like for Derek to come play for him, but I didn't ever remember him saying the words, "We are offering you." I asked Coach Meyer on the walk out if that meeting constituted an official offer, and Coach Meyer replied that it did, but that the offer wouldn't actually exist until we were off-campus. I was excited, and called my parents that night and informed them of the news. Coach Daugherty and I then left and drove back to the hotel.

The following day, we played two games (one vs. Joliet, IL and one vs. Indianapolis Arlington), both at the HPER complex. I was there and played in both of them.

In the last game on Sunday, Coach Sampson and Coach Meyer watched at least some of the game, and they spoke with me after the game before we left. We talked about my sister's boyfriend and how big of an IU fan he was, and how I was tempted to get Eric Gordon's autograph for him. The entire conversation lasted perhaps 5-10 minutes, then Coach Daugherty and I returned to Tipton with the rest of our team.

This is the truth based on what I remember from that weekend.

With respect,



Derek Elston

INDIANA UNIVERSITY

October 26, 2007

DEPARTMENT OF
INTERCOLLEGIATE
ATHLETICS



Ms. Jennifer Henderson
Director of Student-Athlete Reinstatement
NCAA
VIA FACSIMILE - 317-917-6736

Re: Reinstatement Request

Dear Jennifer:

The attached information is a reinstatement request by Indiana University regarding men's basketball prospective student-athlete Derek Elston. A violation of NCAA Bylaw 13.12.1.3 occurred when the prospect came to campus on an unofficial visit on the evening of June 30, his first day of participation in a two-day institutional camp. An assistant coach had told the prospect's high school coach that the prospect would not be able to return to the camp the next day, but failed to follow up to ensure that the prospect was indeed done with camp (after the first day). Unfortunately, after reviewing camp records, the prospect did indeed return to campus on July 1 to play with his team on the second day of the two-day camp.

The compliance office discovered the error when following up on a written inquiry received from Renee Gomila regarding this matter.

We are requesting that the prospect's eligibility to play at Indiana University be reinstated. Please let me know if we need to have the prospective student-athlete sign the Buckley release.

Finally, please let me know if you need additional information. You can contact me at 812/855-0451 or by e-mail at jhooker@indiana.edu. We appreciate your assistance in this matter.

Sincerely,

Jennifer Brinegar
Assistant Athletics Director

Attachments

cc: Rick Greenspan
Renee Gomila
~~Renee Gomila~~
Chad Hawley

Assembly Hall
1001 East 17th Street
Bloomington, Indiana
47408-1590

2-855-2794
812-856-5155
iuhoosiers.com



SELF-REPORT FOR SECONDARY VIOLATIONS
CONFERENCE RULE VIOLATIONS/ NCAA BYLAW VIOLATIONS

- 1. Institution: Indiana University
2. Sport(s) Involved: Men's Basketball
3. Date Institution Determined Violation Occurred: September 2007
4. Date Reported to: NCAA: October 26, 2007 Conference: N/A
5. Location of Violation: Bloomington, Indiana
6. Date of Violation: June 30, 2007
7. How was the violation discovered? Review of information reported to the NCAA and forwarded to the institution in a written inquiry dated July 12, 2007.
8. Legislation Involved: NCAA: 13.12.1.3 Conference:
9. For NCAA violations, indicate whether this is a Level I or Level II violation. Report Level I violations directly to the NCAA.

X Level I: In general: violations of bylaws outside of Bylaws 10-17, all intentional violations, any violations not isolated or limited to a single occurrence, and any similar violations that previously have occurred in the same sport during that same year. Refer to the NCAA website for a complete list of Level I violations (http://www1.ncaa.org/membership/enforcement/secondary_violations/index).

Level II: All inadvertent violations of the operating bylaws (Bylaws 10-17) not identified as Level I violations. Level II violations do not require reinstatement by the NCAA. If this self-report involves restitution, please attach documentation of repayment.

10. Individual(s) Involved (include Name/Position or Title):
Jeff Meyer, assistant coach, and Kelvin Sampson, head coach

11. Prospective or Enrolled Student-Athlete(s) Involved:
Derek Elston, prospective student-athlete

12. Eligibility reinstatement required? Yes.

If yes, requested to: NCAA [X] If YES, request eligibility reinstatement directly from NCAA.

Or Conference _____ If YES, request eligibility reinstatement directly from Conference.

A) Date institution declared the prospect/student-athlete ineligible: Date: September 2007

B) Name(s) of Prospect(s) or Student-Athlete(s) declared ineligible: Derek Elston

C) Are there other eligibility issues with this prospect or student-athlete (e.g., petition pending; additional reinstatement pending, etc.)? If YES, please clarify: No.

13. Facts of the Case (Please include an explanation as to why the violation occurred. Attach additional documentation if necessary.):

On June 30, 2007, the prospect was attending an institutional team camp. In order to have a permissible unofficial visit per NCAA Bylaw 13.7.1.1, Coach Meyer told the prospect's coach that they should leave campus immediately after the camp activities ended on June 30 and then drive over to Assembly Hall. Coach Meyer also told the prospect's coach that the prospect would not be able to return to the camp on Sunday, July 1, to participate in the last day of the camp's activities.

The prospect's coach did drive the prospect off campus at the end of the camp's activities on June 30. He then drove the prospect to Assembly Hall, where the prospect met with Coach Sampson and was given a verbal scholarship offer. Unbeknownst to Coach Meyer, Coach Sampson, and the camp director, assistant coach Rob Senderoff, the prospect returned to the second day of the team camp on Sunday, July 1, and participated in the final day of the camp's team tournament. This was contrary to the provisions of NCAA Bylaw 13.12.1.3.

14. Corrective Actions Taken by Institution:

The relevant legislation was discussed with the assistant coach in September and with the head coach in October. It was also reviewed with the entire men's basketball staff on October 23, 2007. The men's basketball staff is limited to six recruiting opportunities for this prospect during his junior year and six during his senior year, with only two of those for off-campus contacts. The two coaches will be issued letters of reprimand.

15. Punitive & Disciplinary Actions—Level II Violations: N/A

Under the provisions of Rule 32.2.1.C, this report is to be countersigned by the Faculty Representative and Director of Athletics.

Submitted By:

Signature _____

Faculty Representative

Date: 10/25/07

Signature: _____

Director of Athletics

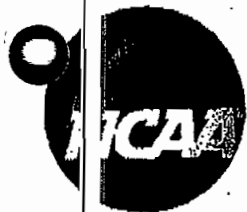
Date: 10/25/07

(7/04)

REMINDER: Institutions are required to submit copies of all letters of admonishment and/or reprimand issued with a self-report.

Copies to:

Jennifer Brinegar
Grace Calhoun
Tim Fitzpatrick
Dottie Frapwell
Renee Gomila
Rick Greenspan
Chad Hawley
Jennifer Henderson
Bruce Jaffee
Michael McRobbie
Jeff Meyer
Kelvin Sampson



CONFIDENTIAL

RECEIVED MEMORANDUM
MAR 08 2008
BY:

February 29, 2008

P.O. Box 6222
Indianapolis, Indiana 46206
Telephone: 317/917-6222

Shipping/Overnight Address:
1802 W. Waco Watford Sr. Drive
Indianapolis, Indiana 46202

www.ncaa.org

TO: Director of Athletics
Faculty Athletics Representative
Senior Woman Administrator
Conference Commissioner.

FROM: Kelly J. Groddy
Associate Director of Membership Services/
Student-Athlete Reinstatement.

SUBJECT: Student-Athlete Reinstatement Staff Case Decision.

This memorandum and a database printout (located on the reverse side of this page) serve as written confirmation of the decision by the NCAA for this case. This information has been sent to the persons named above as well as the institutional staff member who submitted the request. Please note that the facts are considered agreed on by the institution and the staff. If the institution does not agree with the stated facts, it is the institution's responsibility to notify the staff since any change in facts will void the decision, prompting the staff to make a decision based on the new set of facts.

If the institution wishes to appeal this decision to the appropriate division specific NCAA Committee on Student-Athlete Reinstatement, it may do so by submitting a completed appeal request form (found on the NCAA Student-Athlete Reinstatement Web site) and all supporting documentation to this office within 30-calendar days from the date of this letter. Once the completed form is received, a member from the NCAA staff will contact the institution regarding the appeal process.

If you have questions regarding the processing of this case, please contact me. Your assistance in processing this case is appreciated.

KJG:gae

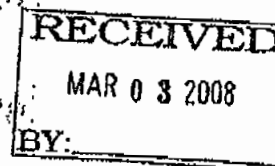
National Collegiate Athletic Association

An association of more than 1,200 members serving the student-athlete
Equal Opportunity/Affirmative Action Employer

Eligibility Case Report

NCAA Confidential - Internal Distribution Only

Elig Case ID: 30976
Sec Case ID: 34216
Verbal Eligibility Date: 30-JAN-08
Institution: Indiana University, Bloomington
Division: I
Athletes: Derek Elston (PSA)
Sports: Men's Basketball
Bylaws: 13.12.1,3 Recruiting Calendar Exceptions.



Case Appealed: No

Facts:

Men's basketball coaching staff had impermissible recruiting contact with prospective student-athlete (PSA) while he was attending an institutional team basketball camp. Specifically, institution conducted men's basketball camp June 30-July 1, 2007, in which PSA, his high school team and coach participated. During the June 30 contests involving PSA, one or more basketball coaches watched PSA and appeared very interested. At some point during that day, PSA and his high school coach were invited to meet with institution's head coach and an assistant coach after PSA's team had finished competing for the day and PSA had been dismissed from team activities. Following PSA's last contest and after his teammates had left campus for the evening and returned to the hotel, PSA and high school coach, who had remained with an injured player in the training room, were taken into institution's coaches locker room where head coach and one or more assistant coaches met with them. During this conversation, recruitment of PSA occurred including statements by head coach indicating PSA was the type of player he wanted at institution, although no official scholarship offer was made to PSA. At the end of 30-40 minute conversation, PSA's high school coach asked assistant coach whether PSA has a scholarship to institution and was informed no official offer can be made when PSA is on campus but that was what they planned to do. Assistant coach, who indicated he was primary organizer of meeting, indicated he knew of July recruiting restrictions but assumed they could meet with PSA after he was dismissed from team activities June 30.

Institutional Action:

Institution reviewed applicable legislation with entire basketball coaching staff by October 23, 2007, and head and assistant coach received letters of reprimand. Institution will limit basketball staff to six recruiting opportunities with PSA during both junior and senior years, allowing only two of those for off-campus contacts.

Enforcement Action:

This case is being forwarded to the enforcement staff for further review.

Eligibility Action:

STAFF: Eligibility reinstated.

Rationale:

STAFF: Based on case precedent.

FOR RELEASE:

May 25, 2006

3 p.m. Eastern time

CONTACT:

Thomas E. Yeager, acting chair

NCAA Division I

Committee on Infractions

Colonial Athletic Association

UNIVERSITY OF OKLAHOMA

PUBLIC INFRACTIONS REPORT

I. INTRODUCTION.

On April 21, 2006, officials from the University of Oklahoma, the former head men's basketball coach (the "former head coach") and three former assistant men's basketball coaches ("assistant coaches A, B and C," respectively) appeared before the Division I Committee on Infractions to address allegations of NCAA rules violations in the institution's athletics program. Officials from the former head coach's and assistant coach B's present institutions also attended the hearing.

The majority of the violations involved the men's basketball program and primarily consisted of 577 impermissible telephone contacts with 17 prospective student-athletes from 2000 to 2004. Over 40 percent of the calls (233) were made by the former head coach, with assistant coaches A and B accounting for 165 and 107 calls, respectively. The remaining calls were either made by other members of the men's basketball staff or were made from a men's basketball phone extension and could not be attributed to a particular member of the staff. Of the 17 prospects who received the calls, five enrolled at the institution and another has signed a National Letter of Intent (NLI) to attend the institution.

The impermissible calls violated NCAA Bylaw 13.1.3.1.2. As that bylaw existed in the 2004-05 academic year and previously, it permitted men's basketball coaches at an institution to make the following telephone contacts with a prospect or a prospect's parents or legal guardians:

- One telephone call on or after June 21 following the prospect's junior year of high school [Findings II-A and II-B];
- Three telephone calls during the month of July following the prospect's junior year of high school with no more than one call per week [Finding II-C];
- After July of the prospect's junior year, no more than one phone call per week [Finding II-D].

The specific violations committed by the coaching staff were contrary to every clause of this bylaw. Multiple calls were made to prospects and/or their family members prior to June 21 following the prospects' junior year of high school and during weeks when only one call was allowed. Impermissible and excessive calls were also made during July evaluation periods. One prospect ("prospect 2") and his parents received 154 impermissible phone calls, including 16 during a five-day period in September 2000. Once the violations were discovered, the former head coach characterized the high number of impermissible calls the staff made to prospect 2 as "ridiculous." Another prospect ("prospect 4") and members of his family received 113 impermissible calls during the course of the young man's recruitment. A third prospect ("prospect 8") received 67 impermissible phone calls from the men's basketball coaching staff. The former head coach made 63 of the calls. Prospect 8 went directly from high school to the National Basketball Association (NBA).

This case is a result of the former head coach's complete disregard for Bylaw 13 telephone contact limitations during the four-year timeframe (2000-04). The former head coach created and encouraged an atmosphere among his staff of deliberate non-compliance, rationalizing the violations as being the result of "prioritizing" rules. Though he acknowledged that he knowingly violated NCAA recruiting legislation, he did not take the phone contact violations seriously. He considered them to be unimportant in

comparison to, for example, the provision of significant material inducements for prospects, even though the end result could have been the same, securing the commitment of a prospective student-athlete by operating outside recruiting rules. The former head coach preferred to think of what he and his staff were doing as "hard work" rather than cheating.

The committee has consistently heard that the key to successful recruiting is being able to develop relationships with prospects and their families. The obvious purpose of these violations was to be the first institution to make recruiting contact with prospects and then to build on the relationship by having multiple impermissible contacts with the prospects in the very important early stages of their recruitment. These calculated violations created a significant recruiting advantage over institutions abiding by the telephone contact limitations.

The committee is troubled by the fact that, during part of the time span in which the violations were taking place, the former head coach was serving as president of the National Association of Basketball Coaches (NABC). He presided over a widely publicized NABC Ethics Summit called by the organization in October 2003. At a time when the NABC identified impermissible phone contact as a serious issue and the organization was calling on its membership to be accountable, the former head coach and his staff were engaged in a pattern of willful recruiting violations. At the hearing, the former head coach stated that the rules regarding phone calls constitute the biggest ethical dilemma facing the college coaching profession. In addition to making the excessive phone calls, this staff attempted to avoid detection by institutional compliance personnel by failing to record all of their calls on the written logs required by institutional recruiting procedures. At one point during the four year period in which the violations were occurring, the former head coach was approached by a coach from another institution and informed that one of the former head coach's assistants was making calls to high school juniors prior to June 21. The former head coach said that he "talked to" his assistant but did not report the violation or take any action to stop the violations in spite of being aware of the rules.

As a result of the former head coach's deliberate actions over four years, including knowingly making over 230 impermissible phone calls and encouraging and allowing his staff to commit similar violations, the committee finds that he failed to meet his responsibility to monitor his program. The telephone contact violations support an additional finding that the institution failed to monitor calls made by the men's basketball coaching staff.

The committee is further troubled by the former head coach's conscious decision to violate NCAA recruiting legislation in the recruitment of prospective student-athletes, some of whom were among the most prominent in the nation, and the fact that this decision permeated the actions of other members of his staff. However, the enforcement staff did not bring an ethical conduct allegation against the former head coach, explaining that telephone contact violations are not specifically enumerated in Bylaw 10.1 as activities that constitute unethical conduct. Despite this position, the enforcement staff acknowledged that Bylaw 10.1 findings can be based on repeated and knowing violations such as those committed in this case. The committee agrees, and seriously considered giving notice of an ethical conduct allegation to the former head coach and requiring him to respond. Ultimately, the committee decided to forego an ethical conduct proceeding, concluding that the culpability of the former head coach could be adequately dealt with through the show cause provisions of Bylaw 19.5.2.2 (I) based on findings of his personal involvement in the multitude of the violations committed, combined with a finding that he failed to monitor his program. His show cause provision is set forth in Section III of this report (Penalty III-L).

The case also involved self-reported violations in women's gymnastics concerning impermissible countable athletically related activities conducted by the coaching staff. A major violation also occurred in the men's gymnastics program.

The university is a member of the Big 12 Conference and has an enrollment of approximately 25,000 students. The university sponsors nine men's and nine women's intercollegiate sports. This was the university's sixth major infractions case. It previously appeared before the committee in 1988 for a case involving the football program; 1980 for a case involving the football and men's track programs; 1973 for a case involving the men's basketball and football programs; and 1960 and 1956, both times for cases involving the football program.

II. FINDINGS OF VIOLATIONS OF NCAA LEGISLATION.

- A. IMPERMISSIBLE PHONE CONTACT PRIOR TO JUNE 21 FOLLOWING THE JUNIOR YEAR OF HIGH SCHOOL. [NCAA Bylaw 13.1.3.1.2 (2004-05 NCAA Manual) and Bylaw 13.1.3.1.4 (1999-00 NCAA Manual)]**

In the month of June in the years 2000, 2001, 2002, 2003 and 2004, members of the men's basketball coaching staff, including the former head men's basketball coach and assistant men's basketball coaches A, B and C, engaged in impermissible telephone contacts prior to June 21 following the junior year of high school with multiple prospective student-athletes or their parents or legal guardians or both. Specifically:

1. Between June 2 and 14, 2000, assistant coach B placed six impermissible telephone calls from his cell phone to the home of prospective student-athlete ("prospect 1") prior to June 21, 2000, (his junior year of high school).
2. Between June 5 and 16, 2000, an assistant coach placed eight impermissible telephone calls from his office or cell phone to the mother's place of employment or the father's cell phone of prospect 2 prior to June 21, 2000, (his junior year of high school).
3. Between September 28, 2000, to June 20, 2001, members of the men's basketball staff placed 25 impermissible telephone calls to a prospective student-athlete ("prospect 3") prior to June 21, 2001, (his junior year of high school).
4. Between December 29, 2000, and June 18, 2001, members of the men's basketball staff placed six impermissible telephone calls to a prospect 4 prior to June 21, 2001 (his junior year of high school).
5. On May 17, 2004, an assistant coach placed one impermissible telephone call from his cell phone to the home of a prospective student-athlete ("prospect 5") prior to June 21 of his junior year in high school.
6. Between April 29 and May 18, 2004, members of the men's basketball staff placed two impermissible telephone calls to a prospective student-

athlete ("prospect 6") prior to June 21, 2004, (his junior year of high school).

7. On June 18, 2004, assistant coach C placed an impermissible telephone call from his office telephone to the home of a prospective student-athlete ("prospect 7") prior to June 21, 2004, (his junior year of high school).
8. Between June 23, 2003, and June 19, 2004 members of the men's basketball staff placed 47 impermissible telephone calls to prospect 8 prior to June 21, 2004, (his junior year of high school).
9. Between January 11 and February 18, 2004, two impermissible telephone calls were placed from a telephone extension or calling card assigned to the men's basketball staff to the home of a prospective student-athlete ("prospect 9") prior to June 21, 2004, (his junior year of high school).

Committee Rationale

The enforcement staff, the institution, the former head coach and assistant coaches A, B and C were in substantial agreement with the facts of the finding and that violations of NCAA legislation occurred. The committee finds that the violations occurred.

This finding involves 98 impermissible phone calls made by four coaches to nine prospects, three of whom enrolled at the institution. At the time of the hearing, another of the prospects had committed to attend the institution. The former head coach acknowledged being aware that he could not phone prospects prior to June 21 following their junior year of high school.

Forty-seven of the 67 impermissible calls to prospect 8 were made prior to June 21 following his junior year of high school, when all calls are prohibited. The former head coach made 43 of the

47 calls, claiming that they were made in his role of "consultant" to the family and that during many of the calls he did not talk of the young man's college plans. However, the former head coach actively recruited prospect 8 until August 2004 when the young man made it known that he would not be attending the institution because he intended to bypass college for the NBA. Regarding the calls to prospect 4 listed in this and subsequent findings, the former head coach justified them because he had known the family since the young man was ten years old. Prospect 4 is a current men's basketball student-athlete at the institution.

B. MORE THAN ONE PHONE CALL BETWEEN JUNE 21 AND JUNE 30 FOLLOWING JUNIOR YEAR OF HIGH SCHOOL. [NCAA Bylaw 13.1.3.1.2 (2004-05 NCAA Manual) and Bylaw 13.1.3.1.4 (1999-00 NCAA Manual)]

Between June 21 and June 30 in the years 2000, 2001, 2002, 2003 and 2004, the former head coach and assistant coaches A and C engaged in impermissible telephone contact by placing more than one telephone call to multiple prospective student-athletes or their parents or legal guardians or both between June 21 and June 30 following the prospects' junior year of high school. Specifically:

1. Members of the men's basketball staff placed 14 impermissible telephone calls to prospect 2 after the one permissible call was made on or after June 21.
2. On June 28 and 29, 2004, the former head coach placed two impermissible calls from his office phone to the home of prospect 6 after the one permissible call was made on or after June 21.
3. Members of the men's basketball staff placed 13 impermissible telephone calls to prospect 7 after the one permissible call was made on or after June 21.

4. On June 23, 2004, the former head coach placed two impermissible calls from his office phone to the cell phone of a prospective student-athlete ("prospect 10") after the one permissible call was made on June 21.

5. From June 23 to June 29, 2004, the former head coach placed five impermissible calls from his office and cell phones to the home of the guardian, a foster parent's cell phone or the home of prospect 8 after the one permissible call was made on or after June 21.

Committee Rationale

The enforcement staff, the institution, the former head coach and assistant coaches A and C were in substantial agreement with the facts of this finding and that violations of NCAA legislation occurred. The committee finds that the violations occurred.

This finding involved 36 impermissible calls made to five prospects, one of whom enrolled at the institution. The former head coach made 15 of the calls. The former head coach acknowledged that he was knowingly violating NCAA recruiting legislation.

C. IMPERMISSIBLE PHONE CONTACT DURING JULY EVALUATION PERIODS. [NCAA Bylaw 13.1.3.1.2 (2004-05 NCAA Manual) and Bylaw 13.1.3.1.4 (1999-00 NCAA Manual)]

In July of the years 2000, 2001, 2002, 2003 and 2004, members of the men's basketball coaching staff, including the former head coach and assistant coaches A, B and C engaged in impermissible telephone contact during the July evaluation period with multiple prospective student-athletes or their parents or legal guardians or both. Specifically:

1. Members of the men's basketball staff placed 21 impermissible telephone calls to prospect 1 either after the three permissible calls were made during the July evaluation period or after a permissible call was made during the same week during that period.
2. Members of the men's basketball staff placed 22 impermissible telephone calls to prospect 2 either after the three permissible calls were made during the July evaluation period or after a permissible call was made during the same week during that period.

3. Members of the men's basketball staff placed two impermissible telephone calls to a prospective student-athlete ("prospect 11") either after the three permissible calls were made during the July evaluation period or after a permissible call was made during the same week during that period.
4. Members of the men's basketball staff placed 19 impermissible telephone calls to prospect 4 either after the three permissible calls were made during the July evaluation period or after a permissible call was made during the same week during that period.
5. During July 2004, assistant coach C placed three impermissible telephone calls from his office or cell phone to the cell phone of prospect 7 during the July evaluation period after a permissible call was made during the same week.
6. During July 2004, the former head coach placed five impermissible telephone calls from his cell phone to the work phone of the guardian of prospect 8 after a permissible call was made during the same week during the July evaluation period.

Committee Rationale

The enforcement staff, the institution, the head coach and assistant coaches A, B and C were in substantial agreement with the facts of this finding and that violations of NCAA legislation occurred. The committee finds that the violations occurred.

This finding involved 72 impermissible calls made to six prospects, three of whom attended the institution. The former head coach made 33 of the calls; assistant coach A made 19 of the calls; assistant coach B made 13 calls and assistant coach C made three calls. Four calls were made from a phone in the basketball office. At the hearing, assistant coach B acknowledged that the violations were committed knowingly and that he rationalized the calls as attempts to "out-work" other institutions. He added that the men's basketball staff didn't take telephone contact rules

seriously and that he lacked the courage and job security to question the systemic violations occurring in the program. Assistant coach B, in his interview with the enforcement staff, admitted that the staff didn't log all of its calls as required because "we were making too many calls." At the hearing, the former head coach said that he and the staff knew they were only supposed to make one call per week. He was aware it was a violation to make the additional calls.

D. MORE THAN ONE CALL PER WEEK. [NCAA Bylaw 13.1.3.1.2, 2004-05 NCAA Manual]

Between August 1, 2000, and September 29, 2004, members of the men's basketball coaching staff, including the former head coach and assistant coaches A, B and C engaged in impermissible telephone contacts by placing more than one call per week to multiple prospective student-athletes and/or their parents or legal guardians. Specifically:

1. Members of the men's basketball staff placed 38 impermissible telephone calls in excess of the one-time-per-week limitation to prospect 1.
2. Members of the men's basketball staff placed 112 impermissible telephone calls in excess of the one-time-per-week limitation to prospect 2.
3. Members of the men's basketball staff placed 10 impermissible telephone calls in excess of the one-time-per-week limitation to prospect 11.
4. Members of the men's basketball staff placed three impermissible telephone calls in excess of the one-time-per-week limitation to prospect 3.
5. On April 24 and 25, 2001, assistant coach A placed 12 impermissible telephone calls in excess of the one-time-per-week limitation from his office or cell phone to a junior college prospective student-athlete.

6. Members of the men's basketball staff placed 101 impermissible telephone calls in excess of the one-time per week limitation to prospect 4.
7. Members of the men's basketball staff placed 12 impermissible telephone calls in excess of the one-time-per-week limitation to a prospective student-athlete ("prospect 12").
8. On October 12 and 13, 2003, the former head coach placed four impermissible telephone calls in excess of the one-time-per-week limitation from his office or cell phone to a prospective student-athlete ("prospect 13").
9. From September 19 to October 16, 2003, the former head coach placed five impermissible telephone calls in excess of the one-time-per-week limitation from his cellular telephone to the cellular telephone of a prospective student-athlete ("prospect 14").
10. From October 25, 2003, to March 19, 2004, the former head coach placed 29 impermissible telephone calls in excess of the one-time-per-week limitation from his cell or office phone to prospect 15.
11. From August 13 to September 11, 2003, assistant coach B placed eight impermissible telephone calls in excess of the one-time-per-week limitation from his office phone to the home of junior college prospective student-athlete ("prospect 16").
12. Members of the men's basketball staff placed two impermissible telephone calls in excess of the one-time-per-week limitation to prospect 5.
13. Members of the men's basketball staff placed 21 telephone calls in excess of the one-time-per-week limitation to prospect 7.

14. From August 13 to September 29, 2004, the former head coach placed 14 impermissible telephone calls from his cell or office phones to prospect 8's home, guardians' cell phones or guardians' work phones.

Committee Rationale

The enforcement staff, the institution, the former head coach and assistant coaches A, B and C were in substantial agreement with the facts of this finding and that violations of NCAA legislation occurred. The committee finds that the violations occurred.

This finding involves 371 impermissible calls made by the former head coach and assistant coaches A, B and C. The calls went to 14 prospects, five of whom enrolled at the institution. The former head coach, who made 137 of the impermissible calls, admitted that he considered the telephone contact rules to be insignificant and that he didn't consider himself and his staff to be gaining any kind of recruiting advantage by breaking them. The committee strongly disagrees.

E. IMPERMISSIBLE FACE-TO-FACE CONTACTS. [NCAA Bylaws 13.1.1.1 (2000-01 NCAA Manual) and 13.1.8.2-(b) (1999-00 NCAA Manual)]

Members of the men's basketball coaching staff, including the former head coach engaged in impermissible contacts with multiple prospective student-athletes. Specifically:

1. Between April and December 2000, members of the men's basketball coaching staff engaged in impermissible face-to-face contacts with prospective student-athletes during their junior year in high school.
 - a. In April 2000, during a prospective student-athlete's ("prospect 17") junior year, the former head coach engaged in conversation

with prospect 17 at his high school after watching him play pick-up basketball.

- b. In December 2000, during prospect 3's junior year, the former head coach engaged in conversation with prospect 3 at his high school after watching him play pick-up basketball.
2. In July 2000, members of the men's basketball coaching staff engaged in impermissible face-to-face contacts with prospective student-athletes during the day of competition.
 - a. In July 2000, during the Adidas Big Time Tournament held in Las Vegas, Nevada, a member of the men's basketball staff engaged in conversation with a prospective student-athlete ("prospect 18") between games.
 - b. In July 2000, during the Great American Shootout held in Denton, Texas, a member of the men's basketball staff engaged in conversation with prospect 18 and his mother between games. Further, after initiating impermissible face-to-face contact, the men's basketball staff member handed prospect 18 a cell telephone and facilitated impermissible telephone contact between prospect 18 and another member of the men's basketball coaching staff.

Committee Rationale

The enforcement staff, the institution and the former head coach were in substantial agreement with the facts of this allegation and that violations of NCAA legislation occurred. The committee finds that the violations occurred.

- F. **IMPERMISSIBLE ATHLETICALLY RELATED ACTIVITIES. [NCAA Bylaws 17.1.5.2-(a) (2004-05 NCAA Manual), 17.1.5.2.2 (2003-04 NCAA Manual) and 17.13.6]**

During the 2003-04 and 2004-05 academic years, the women's gymnastics team engaged in impermissible, countable athletically related activities outside of the institution's declared playing season. Specifically:

1. Between August 25 and October 6, 2003, which constituted the preseason for the 2003-04 academic year, the women's gymnastics team participated in mandatory skill instruction when only skill instruction requested by the student-athlete was permissible under NCAA legislation. In addition, as a result of the activities being deemed countable, the women's gymnastics team:
 - a. Exceeded the maximum weekly time limitation of eight hours per week for mandatory activities with not more than two hours per week spent for individual skill instruction. The mandatory activity limitation was exceeded by approximately 10 hours per week and the individual skill instruction was exceeded by approximately 15 hours per week.
 - b. Exceeded the maximum number of four student-athletes allowed to be involved in skill-related instruction with their coaches at any one time in any facility.

2. Between August 23 and October 25, 2004, which constituted the preseason for the 2004-05 academic year, the women's gymnastics team participated in mandatory skill instruction, which:
 - a. Exceeded the maximum weekly time limitation of eight hours per week for mandatory activities with not more than two hours per week spent for individual skill instruction.
 - b. Exceeded the maximum number of four student-athletes allowed to be involved in skill-related instruction with their coaches at any one time in any facility.

Committee Rationale

The enforcement staff and institution were in substantial agreement with the facts of this finding and that violations of NCAA legislation occurred. The committee finds that the violations occurred.

These violations, which were discovered by the institution and self-reported, involved mandatory weight training and individual workouts by members of the women's gymnastics team. The coaches claimed that the "safety exception" of Bylaw 17.13.7 applied; however, attendance at the workouts by the student-athletes was mandatory and the workouts were conducted by the coaches. Additionally, student-athletes who didn't attend the workouts were disciplined by the coaches. The coaches acted in more than a "safety" capacity.

G. FAILURE TO RECORD COUNTABLE HOURS. [NCAA Bylaw 17.1.5.3.4]

During the 2004-05 and 2003-04 academic years, the men's and women's gymnastic coaching staffs failed to record countable hours on a daily basis for each student-athlete who engaged in athletically related activity. Specifically, the men's and women's gymnastic coaching staffs failed to maintain records of countable athletically related activity during the preseason of each academic year until requested to do so by the institution's compliance staff in late September 2004.

Committee Rationale

The enforcement staff and the institution were in substantial agreement with the facts of this finding and that violations of NCAA legislation occurred. The committee finds that the violations occurred.

H. FAILURE TO MONITOR. [NCAA Constitution 2.8.1]

The scope and nature of the violations detailed in Finding II-A through II-D demonstrated that over a four-year period and through several staff changes the former head coach failed to monitor his and his staff's activities in the area of telephone contacts with prospective student-athletes in order to ensure compliance with NCAA telephone contact legislation, and thus also failed to maintain an atmosphere of compliance among his staff in that area. Specifically, between June 2000 and September 2004:

1. The former head coach and some members of his staff committed numerous violations relating to telephone contacts with multiple prospective student-athletes, including many impermissible early telephone contacts with junior prospects.
2. The former head coach had reason to know that violations relating to telephone contacts were occurring, but he failed to report the impermissible telephone contacts or take appropriate corrective measures to prevent them from continuing.

Committee Rationale

The enforcement staff, the institution and the former head coach were in substantial agreement with the facts of this finding and that violations of NCAA legislation occurred. The committee finds that the violations occurred.

As stated in the Section I of this report, the Introduction, the former head coach created an environment in which telephone contact rules were consciously ignored and repeatedly broken over a four-year period. Even when specifically told by an opposing coach that one of his assistants was violating telephone contact rules, the former head coach did nothing to change the pattern of behavior; to the contrary, he encouraged the behavior in the name of "hard work." He failed to ensure that his staff was recording all calls as required, he took no action to correct the violations and he never reported the violations.

I. FAILURE TO MONITOR. [NCAA Constitution 2.8.1]

The scope and nature of Findings II-A through II-D demonstrated a failure by the institution to monitor telephone contacts with prospective student-athletes by the institution's men's basketball program between June 2000 and September 2004, contributing to over 550 impermissible telephone contacts by four men's basketball coaching staff members with 17 prospective student-athletes going undetected over a four year period. Specifically, the institution failed to do the following:

1. Implement adequate systems to ensure that the men's basketball coaching staff was in compliance with NCAA rules relating to telephone contacts with prospective student-athletes. The institutional system in place for ensuring compliance relating to telephone calls to prospects included the following:
 - a. Regular rules education, including a written recruiting manual containing a sample telephone call log sheet.
 - b. The documentation of the telephone contacts with prospects, which was done on a form different than that supplied to coaches by the institution, was maintained only in the men's basketball office.
 - c. Infrequent spot checks by compliance personnel and institutional auditors to determine whether the coaches were in compliance with NCAA legislation.
 - (1) From June 2000 to spring 2003, institutional auditors and the compliance staff conducted infrequent spot checks of the telephone call logs prepared by the men's basketball staff to determine whether the logs were being maintained and whether the coaches recorded more than one call per week to prospective student-athletes.
 - (2) From spring 2003 to September 2004, the compliance staff conducted infrequent spot checks of telephone numbers that appeared on the men's basketball staff's cellular telephone billing records to determine whether more than one call per week was made to a number that appeared on the cellular bill.

2. The institutional systems in place to track and monitor the telephone contacts made by members of the men's basketball coaching staff with prospective student-athletes were deficient in that the institution failed to do the following:
 - a. Provide a standardized system to the men's basketball staff for the tracking or monitoring of telephone calls made to prospects.
 - b. Conduct spot checks comparing actual telephone billing records to the telephone logs sheets completed by the men's basketball coaching staff.

Committee Rationale

The enforcement staff took the position that the facts of this finding demonstrated a lack of institutional control. The institution asserted that the facts of this finding demonstrated a failure to monitor the telephone contacts with prospective student-athletes. The committee finds that the facts establish a failure to monitor by the institution.

As shown by over 500 impermissible calls made over four years going undetected, the system in place to monitor phone calls made by the men's basketball coaching staff was wholly inadequate. The coaching staff got together on Sunday nights, reviewed the calls they had made and recorded a countable call on forms different than those supplied by the compliance office. The completed forms weren't turned in to the compliance office but were instead stored in a filing cabinet in the basketball office.

However, review of the records by compliance personnel was sporadic at best, occurring approximately once per year. It wasn't possible to say with certainty when the reviews took place, as no records were maintained by the institution. Compounding the problem was that the reviews were conducted by interns rather than by trained and experienced compliance personnel. But perhaps the most glaring deficiency was that the logs produced by the basketball staff (which were incomplete since the coaches failed to record all calls made) were never cross-checked against institutional phone records; the coaches were taken at their word when even a cursory review of men's basketball office, cell phone and calling card bills would have revealed the myriad of impermissible calls being made by multiple coaches over a period of years.

Though seriously flawed, a system for monitoring the phone calls did exist. The coaches were regularly educated regarding phone contact rules, written policies were in place and forms to record the activities were supplied to the coaching staff. It was the monitoring of the calls that contributed to the problems; the phone logs compiled by the coaches were not checked on a regular basis and the reviews that took place did not involve cross-checking the logs with available institutional records. Finally, the violations involved only one aspect of one sport. For these reasons, the committee finds that the facts establish a failure to monitor the men's basketball program by the institution rather than a lack of institutional control.

SECONDARY VIOLATIONS:

A listing of 13 secondary violations is attached as Appendix 1 to this report.

III. PENALTIES.

For the reasons set forth in Parts I and II of this report, the Committee on Infractions finds that this case involved major violations of NCAA legislation. The violations occurred primarily in the sport of men's basketball and were caused by the former head coach's decision to consciously ignore certain recruiting rules which he "prioritized" as insignificant in the recruiting process. He also allowed his staff to violate the same rules and like him they knowingly did so.

The former head coach, who made 233 impermissible phone contacts with prospects over four years, fostered the environment of noncompliance. His assistants knowingly joined in, with assistant coaches A and B making 165 and 107 impermissible calls, respectively, over the same time frame. Assistant coach C did not join the staff until 2004 and made only 28 of the impermissible calls. These intentional violations of unambiguous rules conferred a significant recruiting advantage upon the institution.

The institution, which cooperated fully in the investigation, acknowledged its responsibility for the personal actions of its athletic department employees and self-imposed a number of meaningful institutional and individual penalties. Some of the penalties were imposed individually on the former head coach, who has since left the institution. However, the former head coach's present institution indicated in writing that it will impose some of the same penalties upon the former head coach for the same period of time. A full listing of all the institutional and individual penalties, including those imposed by the committee and those self-imposed by the institution and adopted by the committee, follow: Additional corrective actions developed by the institution are set forth in Appendix Three:

- A. Public reprimand and censure.
- B. The institution shall be placed on two years probation from May 25, 2006, through May 24, 2008.
- C. The number of men's basketball financial aid awards shall be reduced from 13 to 11 for the 2005-06 academic year and from 13 to 12 for the 2006-07 academic year. (Self-imposed penalty adopted by the committee as its own.)
- D. During July 2005, the institution reduced the number of permissible calls to prospects in July subsequent to the junior year in high school from three to one. (Self-imposed penalty adopted by the committee as its own.)
- E. The number of permissible calls to prospects on or after June 15 of the prospects' sophomore year in high school through July 31 of the prospects' junior year in high school shall be reduced from one call per month to one call every other month for a period of two years commencing August 1, 2005, and concluding July 31, 2007. Exceptions to this penalty will be permitted in accordance with the provisions of NCAA Bylaws 13.1.3.3.2 (Official Visit Exception), 13.1.3.3.3 (Letter of Intent Signing Date Exception) and 13.1.3.3.4 (Telephone Calls Subsequent to National Letter of Intent Signing or Other Written Commitment). This penalty will result in a minimum reduction of 240 calls over a two-year period of implementing the reduction and was imposed to directly address any recruiting advantage gained by the impermissible telephone calls. (Self-imposed penalty adopted by the committee as its own.)
- F. The institution shall reduce the number of permissible calls to prospects on or after August 1 of their senior year in high school from two calls per week to one call per week for a period of two years commencing August 1, 2005, and concluding July 31, 2007. Exceptions to this penalty will be permitted in accordance with the provisions of NCAA Bylaws 13.1.3.3.2 (Official Visit Exception), 13.1.3.3.3 (Letter of Intent Signing Date Exception) and 13.1.3.3.4 (Telephone Calls Subsequent to National Letter of Intent Signing or Other Written Commitment). This penalty will result in a reduction of from at least 780

calls up to possibly more than 1,600 calls over a period of two years. These numbers are based on a calling period from August to April, which is about 40 weeks, and recruiting 20 prospective student-athletes per year. (Self-imposed penalty adopted by the committee as its own.)

- G. The institution prohibited the former head coach from engaging in any off-campus recruiting activities during July 2005. In addition, the institution reduced the permissible number of recruiters at any one time from three to two during July 2005. (Self-imposed penalty adopted by the committee as its own.)
- H. The institution reduced the number of official paid visits in men's basketball from 12 to nine for the 2005-06 academic year. (Self-imposed penalty adopted by the committee as its own.)
- I. The institution shall reduce the number of permissible recruiting days from 130 to 98 for the 2005-06 and 2006-07 academic years. (Self-imposed penalty adopted by the committee as its own.)
- J. The former head coach was restricted to engaging in off-campus recruiting activities for a maximum of 19 days during a period commencing August 1, 2005, and concluding June 30, 2006. Further, this prohibition applies to all off-campus appearances at which prospects may be in attendance including exceptions provided in Bylaw 13.1.9. (Self-imposed penalty adopted by the committee as its own.)
- K. The institution retroactively implemented a ban on the former head coach's eligibility for bonus compensation due under the former head coach's contract for the two year period of March 2, 2005, through March 1, 2007. During the same two year period, the former head coach's employment contract shall not be renegotiated, amended or extended and he shall not be eligible for any compensation increase. According to financial figures supplied by the institution, the cost to the former head coach over the two year period will be approximately \$180,000. (Self-imposed by the university.)
- L. The former head coach's present institution adopted and transferred penalties E, F, J and K above. It noted that the former head coach had already used 15 of the 19 permissible off-campus recruiting days at Oklahoma and would therefore only be able to be off-campus four days from the date of his hiring through June 30, 2006. The present institution has also required weekly meetings between compliance personnel and the director of basketball operations to monitor men's basketball recruiting activities. While the committee recognizes the significance of the transferred penalties it determined that, because of the number and nature of the violations committed by the former head coach as well as the atmosphere of noncompliance he fostered and encouraged among his assistant coaches, further recruiting restrictions are warranted. Therefore, his current employing institution shall, pursuant to the provisions of NCAA Bylaw 19.5.2.2 (l), show cause why it

should not be penalized if it does not prohibit the former head coach, for a period of one year from the date of the release of this report (May 25, 2006 through May 24, 2007), from 1) making any phone calls that relate in any way to recruiting or being present when members of his staff make such calls; and 2) engaging in any off-campus recruiting activities. The prohibition on off-campus recruiting activities shall apply to all off-campus appearances at which prospects may be in attendance including banquets, booster functions and exceptions provided by Bylaw 13.1.9. The present institution shall submit a report to the committee by August 1, 2006, in which it details the institution's monitoring of, and rules education sessions for, the former head coach and his staff. The report should also include documentation of the institution's compliance with all other penalties adopted and transferred to the present institution.

- M. Assistant coach A, who made 165 impermissible calls while at the institution, is presently under a three-year show cause order stemming from his involvement in similar violations while he served as the head men's basketball coach at California State University-Fresno (see Case No. M238). The violations committed by assistant coach A in this case also warrant a show cause order. The show cause order in this case shall be identical to that in Case No. M238 and will run concurrently and independently, expiring on March 16, 2008. Assistant coach A is not presently employed at an NCAA member institution.

Therefore, assistant coach A will be informed in writing by the NCAA that, due to his involvement in the violations of NCAA legislation found in this case, if he seeks employment or affiliation in an athletically related position at an NCAA member institution during a three-year period (March 17, 2005, through March 16, 2008), he and the involved institution shall be requested to appear before the Committee on Infractions to consider whether the member institution should be subject to the show cause provisions of Bylaw 19.5.2.2(1), which could limit his athletically related duties at the new institution for a designated period.

- N. Assistant coach B made 107 impermissible calls during his tenure at the institution. He is presently employed at another NCAA member institution which has informed the committee that it has imposed the following sanctions on assistant coach B: 1) no recruiting activities (other than on-campus contacts) for the month of September 2005; 2) a letter of admonishment; 3) a ban on all phone contact by him and the entire institutional men's basketball staff from June 21-30, 2005; and 4) official paid visits in the sport of men's basketball reduced from 12 to 11 for 2005-06. Additionally, the men's basketball program was required to develop a new communication system and to undergo audits of its phone records.

At the hearing, assistant coach B stated that when he arrived at the institution he went along with the rest of the staff in the violations because he was a new, young coach without job security or the courage to challenge the way things were done. He pointed out that the majority of his impermissible calls were made early in his four-year tenure at the institution and that after he became an established member

of the staff he tried to adhere to the rules. Records show that of his 107 impermissible calls, 91 were made during his first two years on staff and only 16 in his final two years. Noting that he will have a record in the office of the Committee on Infractions for committing major violations, the committee declines to impose further sanctions upon assistant coach B.

- O. Assistant coach C made 28 impermissible calls, beginning when he joined the staff in 2004. The bulk of his calls were to the family of a prospect whose father he had known for a number of years and at least some of his violations seem to have been truly inadvertent. Because of his minimal involvement in the violations and his small number of impermissible calls, assistant coach C will not have an individual record of his violations maintained in the office of the Committee on Infractions.
- P. Regarding the women's gymnastics program, the institution reduced practice activities by 192 hours over the 2004-05 and 2005-06 academic years. Specifically, the coaching staff reduced practice activities by one hour per day for the 2004-05 declared playing season equaling 144 hours. In addition, the coaching staff will be required to reduce practice hours during the 2005-06 playing season by one hour per day to satisfy the remaining 48 hours per the penalty schedule. Finally, the institution determined that the coaching staff will only be allowed to provide individual skill instruction every other week during the fall of 2005 out-of-season practice activities. (Self-imposed and adopted by the committee as its own.)
- Q. The institution issued a public letter of reprimand dated December 13, 2004, to the head women's gymnastics coach. The assistant coaches were also issued letters of reprimand. (University imposed and acknowledged by the committee.)
- R. The institution required the head women's gymnastics coach and the assistant coaches to serve a one-week suspension from practice and team-related activities. The head women's gymnastics coach was also required to attend a 2005 NCAA Regional Rules Seminar at his expense. (University imposed and acknowledged by the committee.)
- S. The institution imposed a one-year salary freeze on the head women's gymnastics coach's base salary. Moreover, the head coach did not have the opportunity to receive any bonuses contained in his contract for the 2004-05 season. (University imposed and acknowledged by the committee.)
- T. The institution reduced practice activities for the men's gymnastics team by 108 hours over the 2005-06 academic year. Practice activities were reduced by one hour per day for the 2005-06 declared playing season, equaling 108 hours. (Self-imposed by the university.)

- U. The institution only allowed the men's gymnastics coaching staff to provide individual skill instruction every other week during the fall of 2005 out-of-season practice activities. (Self-imposed by the university.)
- V. During this period of probation, the institution shall:
1. Continue to develop and implement a comprehensive system to effectively monitor the recruiting process for prospective student-athletes in all sports, particularly men's basketball and for tracking countable athletically related activities. In addition, the institution will continue to develop and implement a comprehensive NCAA rules-education program to educate all coaches, athletics department staff members and all university staff members with responsibility in the area of recruiting.
 2. Submit a preliminary report to the office of the NCAA Committees on Infractions by July 30 2006, setting forth a schedule for the continued development and implementation of this comprehensive system of monitoring recruiting.
 3. File with the office of the Committee on Infractions annual compliance reports indicating the progress made with this program by April 15 of each year during the probationary period. Particular emphasis should be placed on adherence to recruiting legislation, particularly telephone calls, contacts and evaluations and the monitoring of athletically related activities. The reports must also include documentation of the university's compliance with the penalties adopted and imposed by the committee.
- W. At the conclusion of the probationary period, the institution's chancellor shall recertify in a letter to the committee that all of the university's current athletics policies and practices conform to all requirements of NCAA regulations.
-

As required by NCAA legislation for any institution involved in a major infractions case, the University of Oklahoma shall be subject to the provisions of NCAA Bylaw 19.5.2.3, concerning repeat violators, for a five-year period beginning on the effective date of the penalties in this case, May 25, 2006.

Should the University of Oklahoma or any involved individual appeal either the findings of violations or penalties in this case to the NCAA Infractions Appeals Committee, the Committee on Infractions will submit a response to the members of the appeals committee.

The Committee on Infractions wishes to advise the institution that it should take every precaution to ensure that the terms of the penalties are observed. The committee will monitor the penalties during their effective periods, and any action contrary to the terms of any of the penalties or any additional violations shall be considered grounds for extending the institution's probationary period, as well as imposing more severe sanctions in this case.

Should any portion of any of the penalties in this case be set aside for any reason other than by appropriate action of the Association, the penalties shall be reconsidered by the Committee on Infractions. Should any actions by NCAA legislative bodies directly or indirectly modify any provision of these penalties or the effect of the penalties, the committee reserves the right to review and reconsider the penalties.

NCAA COMMITTEE ON INFRACTIONS

Jack H. Friedenthal

Edward (Ted) Leland

Andrea Myers

James Park Jr.

Thomas E. Yeager, acting chair

APPENDIX ONE

SECONDARY VIOLATIONS:

1. In August 2005, the former head coach made an impermissible telephone call to a prospective student-athlete after a permissible call had already been placed for the month. The former head coach received a number of calls on his cell phone from a number he did not recognize. He returned the call, and after realizing the number belonged to the prospect, he immediately ended the call knowing that the staff had already placed the one permissible call for the month. The violation was immediately reported by the basketball staff to the compliance staff. [NCAA Bylaw 13.1.3.1]
2. In July 2005, the men's basketball staff sent recruiting correspondence to approximately five prospective student-athletes who were participating in a summer certified event (July). The e-mail was sent to a total of 25 men's basketball prospective student-athletes from the recruiting software, and the staff member sending the e-mail inadvertently forgot to delete the prospects participating in the summer certified event. Upon discovery, the violation was immediately reported to compliance by the coaching staff. [NCAA Bylaw 13.1.7.2.2]
3. In July 2005, a men's basketball student-athlete participated in a summer certified league prior to receiving approval from the institution's athletics director. The student-athlete was scheduled to compete in a summer foreign tour with an approved outside team; however, the dates of competition crossed into the institution's start of the academic year. When the student-athlete was told he could not participate in the summer foreign tour and subsequently joined a summer certified league without the knowledge of the coaching staff or the compliance office. [NCAA Bylaw 14.7.3.2]
4. In July 2005, a junior college men's basketball prospective student-athlete was provided an unofficial visit during the month of July. The former head coach contacted the compliance staff on a Sunday morning in July to inquire about the permissibility of an unofficial visit that afternoon with the involved prospective student-athlete. The compliance staff mistakenly allowed the former head coach to proceed with the visit, forgetting the restriction on unofficial visits for the sport of men's basketball in the month of July. [NCAA Bylaw 13.7.1.1]

5. During the men's basketball camps conducted in the summers of 2001 to 2005, an educational session detailing initial-eligibility standards and regulations related to gambling, agents and drug use had never been provided to all camp and/or clinic participants. The coaching staff was unaware of the requirement. [NCAA Bylaw 13.12.1.6]
6. In September 2004, the "godfather" of a men's basketball prospective student-athlete was provided meals during an official visit. The violation occurred because of the unique family relationship between the prospect, the prospect's mother and the mother's significant other (godfather). [NCAA Bylaw 13.6.6.7]
7. Between December 2004 and February 2005, a former student-athlete, who had exhausted his eligibility in the sport of men's basketball, used departmental phones to call long distance without the knowledge or permission of the coaching and/or support staff. The calls were discovered and reported by the coaching staff. [NCAA Bylaws 16.02.3 and 16.11.2.1]
8. In November 2004, a men's basketball student-athlete received an extra benefit (\$105) when he was arrested following a game in Alaska, and an assistant coach paid part of his bail money to allow the student-athlete to be released in time to make the early morning flight back to campus. In addition, the coach thought he would (and subsequently did) receive his portion of the money back after the charges against the student-athlete were dropped. The student-athlete repaid the benefit. [NCAA Bylaws 16.02.3 and 16.11.2.1]
9. In December 2004, the men's gymnastics team participated in countable athletically related activities outside of the playing season during an institutional vacation period. [NCAA Bylaw 17.1.5.2.1.1]
10. In April 2004, the softball team was required to practice immediately following an away-from-home competition. [NCAA Bylaw 17.1.5.3.2.1]

11. During the 2003-04 and 2004-05 academic years, the men's gymnastics team engaged in impermissible countable athletically related activities outside of the institution's declared playing season. [NCAA Bylaws 17.1.5.2-(a) (2004-05 NCAA Manual) and 17.1.5.2.2 (2003-04 NCAA Manual)]

12. During the nonchampionship segment of the 2002-03, 2003-04, 2004-05 and 2005-06 academic years, the softball team engaged in countable athletically related activities that exceeded permissible time limitations of eight hours per week during the preseason and 20 hours per week during the season by an average of 37 hours each year. [NCAA Bylaws 17.1.5.1 and 17.1.5.2]

13. On two separate occasions, once in October 2000 and once in October 2001, the former head coach provided T-shirts to a prospective student-athlete and/or a family member of the prospect. [NCAA Bylaw 13.2.2]

APPENDIX TWO

CASE CHRONOLOGY.

2001

February 19 – The enforcement staff received information from an anonymous source that the men's basketball staff violated NCAA telephone and in-person contact rules.

2002

March 14 – The enforcement staff received additional information from a confidential source indicating that the men's basketball staff violated NCAA telephone and in-person contact rules.

April and May – The enforcement staff conducted interviews of numerous prospective student-athletes.

2003

January 9 – The enforcement staff received additional information from a confidential source indicating that the men's basketball staff violated NCAA telephone and in-person contact rules.

February and October – The enforcement staff conducted interviews of men's basketball prospective student-athletes.

December 18 – The basketball certification staff in enforcement services sent a request for information to the institution, including a request for all telephone records for the men's basketball staff from June 2000 to March 2001.

2004

May 28 – The enforcement staff issued a notice of inquiry to the institution.

December 21 – The secondary enforcement staff received a self-report from the institution indicating that the women's gymnastics team engaged in impermissible out-of-season activities, and exceeded permissible daily and weekly time limitations.

2005

April 4 – After reviewing telephone records and other information obtained through an open records request, the Daily Oklahoman published a story about the NCAA investigation and identified similar potential violations that occurred after those violations identified through the original NCAA inquiry.

September 27 – Submission of the summary disposition report by the parties.

November 29 – The NCAA Division I Committee on Infractions rejected the findings in the summary disposition report and directed that the case be set for hearing in April 2006.

December 15 – The enforcement staff issued a notice of allegations to the institution, the former head coach and assistant coach's A, B and C.

2006

March 2 – Deadline for filing of responses by the institution, the former head coach and assistant coaches A, B and C.

April 21 – The university appeared before the NCAA Division I Committee on Infractions.

May 25 – Infractions Report No. 250 was released.

APPENDIX THREE

CORRECTIVE ACTIONS AS REPORTED BY THE INSTITUTION ON MARCH 2, 2006.

Structural changes.

Oklahoma has taken steps to provide greater human resources and detailed reporting lines to the compliance department. Oklahoma acknowledges that this is a work in process, but believes that the changes that have taken place in the last 12 months demonstrate the institution's commitment to rules compliance. The institution has increased its compliance staff from three full-time members during the 2003-04 academic year to six as it begins the 2005-06 academic year.

Compliance staff

A former NCAA director of membership services staff and assistant athletics director at another NCAA Division I institution was hired to oversee Oklahoma's athletics compliance program in August 2004. At the time of his hire, the compliance staff consisted of another assistant athletics director and monitoring coordinator. Previously, the executive associate athletics director, provided oversight for the compliance program.

In August 2004, the monitoring coordinator was promoted to a director. In March 2005, the director assumed day-to-day oversight of the compliance operations. The assistant director of compliance was initially hired as the compliance director and was promoted to assistant director in March of 2005. The compliance coordinator was hired in April 2005. She previously worked for two years in the compliance department at another NCAA Division I institution. A compliance graduate assistant was retained in May 2005, she began her duties August 15, 2005. An administrative assistant became a full-time administrative assistant for the compliance program in August 2004.

Reporting changes and individual responsibilities.

In February 2005, the director of compliance became the sole compliance staff member to report to the associate athletics director/administration. The assistant director of compliance and the compliance coordinator began to report directly to the director of compliance.

In March 2005, the compliance office added a reporting relationship between the director of compliance and the financial aid and initial-eligibility coordinators. These additional communication lines have enhanced the coordination of duties among the groups with compliance responsibility and served to more fully integrate these important areas into the overall compliance structure.

In July 2005, the compliance office finalized the individual compliance-related assignments. Each compliance staff member began an extensive review and revision process of all the procedures related to the various components of rules compliance.

Rules education.

In January 2004, the compliance staff added to its education program small educational meetings with individual coaching staffs at least two times a semester. These informal meetings are conducted by the director of compliance. The agenda usually includes a review of hot topics, other items of a timely nature, monitoring requirements, and a question and answer session.

Beginning October 2004, the compliance staff expanded the rules education during the monthly "Coaches Roundtable." These meetings are mandatory for all head and assistant coaches and athletic administrators with oversight of a sport. Previously, assistant coaches were not required to attend these meetings. A compliance-rules quiz is used during these meetings to teach the proper application of NCAA rules. After each meeting, an outline of the rules-education section is provided to the coaches.

In August 2005, individual meetings with each head coach to review playing and practice season legislation (which included the declaration of each sport's official practice and playing season dates) was implemented.

Once a week the director of compliance e-mails timely educational items to the athletics department staff, coaching staff and/or relevant individuals outside the athletics department (e.g., certifying officer, faculty athletics representative).

In October 2004, the compliance staff developed a monthly recruiting calendar that is circulated to the coaching staff, administrators of each sport and other key staff members involved in the recruiting process. The calendar may also have educational items from the director of compliance, financial aid coordinator, and the initial-eligibility coordinator.

The compliance staff will develop and distribute a compliance newsletter to all staff members at least once a semester. It will cover new legislation, important e-mail education, and timely issues. A major focus will be to present the information in an easy-to-read format.

Beginning in the fall of the 2005-06 academic year, the compliance staff will broaden the scope of the rules education of its student-athletes by conducting meetings during the fall and spring semesters. Heavier emphasis will be placed on the playing and practice season legislation, and the rules governing voluntary practice activities, summer employment and workouts, booster education, and other relevant legislation.

Recruiting.

In August 2004, the compliance staff revised and updated the existing manual. These revisions included the creation of new forms, updating existing forms, incorporating amendments to the rules section to reflect recent changes to existing rules and inclusion of newly enacted legislation. The recruiting manual and newly proposed recruiting policy was reviewed and approved by three other on-campus groups or offices with athletics oversight responsibility: the Compliance Review Committee, the athletics department executive staff and the president. Revisions are made to the manual as needed on an annual basis prior to the beginning of the ensuing academic year.

During the fall semester of 2004, the compliance staff began impromptu spot checks of each sport's recruiting files to ensure proper and contemporaneous record keeping was maintained for each recruited prospect. The staff also provides guidance to the coaches regarding recruiting legislation and sends a follow-up e-mail where issues or areas of improvement were noted.

In October 2004, the compliance office began to distribute a monthly recruiting calendar for use by the coaches in each sport. It provides a quick reference as to what phase of the recruiting cycle is in effect. Educational items issued by the NCAA, Big 12 Conference, or on-campus source (initial eligibility coordinator) re also included on the calendar.

During the spring semester of 2005, the compliance staff continued the practice of visiting each sport's office to spot check recruiting files and provide guidance to the coaching staff regarding recruiting legislation. Some sports were visited twice during the semester while others other sports with less active spring recruiting activities were visited once.

In May of 2005, and in conjunction with the spot checks, the compliance staff developed a monitoring notebook that will be used as a tool to assist in the spot-checking process. It is an index of all the contemporaneous information that a sport must be tracking and have on hand for compliance purposes. The list of required information set forth in the notebook will facilitate the systematic review of telephone records by the compliance staff later in the year.

Beginning in the fall of 2005, the compliance staff will review each sport's telephone records two times a semester. All calls placed from either a land line or a cell phone will be tracked electronically and merged together in a master spreadsheet. The data from the spreadsheet will be cross-referenced with prospect telephone information (e.g., home or cell telephone numbers) recorded in the monitoring notebook and the telephone logs being maintained by each coach to verify that all calls are permissible, properly logged, and that each coach is properly recording his/her recruiting calls.

Beginning in the fall of 2005, the compliance staff will implement a new system requiring pre-approval for all coaches' recruiting travel. The system is designed to help ensure coaches are only recruiting off-campus during the appropriate periods and that they are not exceeding the applicable number of contacts or evaluations for any one prospect.

Playing and practice seasons.

New polices and procedures were implemented.

Miscellaneous items.

In January 2005, the compliance staff created a written interpretation log that documents all interpretive questions received and answered by a member of the compliance staff. The interpretation log ensures better tracking of in-house questions and answers of rule interpretations.

The compliance staff recently enhanced the compliance annual report that is provided to the Athletics Council and president. The report details all aspects of the compliance operations to ensure full disclosure and opportunity for review by the institution's governing bodies. This report is exemplary of the compliance culture and philosophy of involving individuals outside the compliance office in the review of compliance systems and operations.

In addition, as part of the ongoing efforts to communicate rules information, the compliance manual was updated in 2004 and is currently being reviewed again by the new compliance staff to identify areas for improvement, system overhauls and appropriate policy revisions.

Secondary Infraction Reported by Indiana University from 2003-2007

As Requested in No. 11 of the Notice of Allegations

2007 Secondary Violations of NCAA Bylaws			
Violation #	Date Reported	Sport(s)	Bylaw(s)
1	1/31/2007	WP	14.01.3, 14.10.1, 16.8.1.2 & 17.1.3 (and BT Rule 14.10.C)
2	5/1/2007	MBB - Senderoff	13.4.1.1-(a)
3	5/14/2007	WSO, WSW and VB	16.2.1.3.A
4	5/14/2007	MTR and WGO	13.5.2.1 and 13.5.2.2
5	5/14/2007	MBB	13.10.2
6	5/16/2007	MBB	13.2.2
7	7/31/2007	VB	11.7.4.3
8	7/31/2007	SB	13.4.1
9	8/17/2007	VB	13.5.2.1 and 13.5.4
10	8/24/2007	FB	16.01.1 and 16.9.1-(e)
11	10/10/2007	MTR, BB, MBB & WR	14.01.3 and 15.01.5 (and BT Rule 14.4.3.1.A)
12	10/22/2007	MBB - Senderoff & Meyer	13.1.3.1.2
13	10/26/2007	MBB - Meyer & Sampson	13.12.1.3
14	10/26/2007	MBB - Senderoff	13.1.7.2.4
Totals			
Indiana	14		
Meyer	2		
Sampson	1		
Senderoff	3		

2006 Secondary Violations of NCAA Bylaws

Violation #	Date Reported	Sport(s)	Bylaw(s)
1	1/20/2006	WTR	15.01.5
2	1/30/2006	WP	12.1.1.1.4.3
3	4/19/2006	WBB	13.8.2
4	4/19/2006	WSW	11.7.4
5	5/26/2006	Men's Diving	13.4.1
6	6/20/2006	MBB - Sampson	13.12.1.6
7	7/13/2006	FB	13.2.1
8	7/13/2006	MBB	12.5.1.7
9	7/26/2006	MBB, FB, MSO & BB	14.01.3 and 15.01.5 (and BT Rule 14.4.3.1.A)
10	8/18/2006	WBB	13.12.1.6
11	8/22/2006	WSO	12.1.2.1.5
12	8/22/2006	FH	14.01.3, 14.4.3.2 and 16.8.1.2 (and BT Rule 14.10.C)
13	9/21/2006	FB	11.01.3
14	9/21/2006	All Sports	13.4.1.1
15	11/2/2006	MBB	14.01.3 and 15.01.5 (and BT Rule 14.4.3.1.A.5)
16	11/14/2006	BB	15.01.5 (and BT Rule 14.4.3)
Totals			
Indiana	16		
Sampson	1		

2005 Secondary Violations of NCAA Bylaws

Violation #	Date Reported	Sport(s)	Bylaw(s)
1	1/24/2005	WBB	14.1.4.1
2	1/24/2005	MTR & WTR	13.16.1
3	1/24/2005	FH	15.1.1-(b) and 15.2.5.2.2
4	1/28/2005	MTR & WTR	16.12.2.3-(a)
5	2/1/2005	MSO	12.2.1.2 and 12.1.1.1.6
6	2/17/2005	WCC	13.02.5.1-(a)
7	4/12/2005	FB	13.1.2.3 and 13.7.6.1.1
8	5/25/2005	MBB	13.4.1
9	6/3/2005	SB	17.1.5.1, 17.1.5.3.3 and 17.1.5.4
10	6/10/2005	FB	13.4.1
11	7/8/2005	MSW	13.1.3.1
12	7/8/2005	MTR & WTR	12.5.4
13	9/6/2005	MTN	12.5.2.1
14	9/14/2005	WBB	13.1.7.2
15	9/21/2005	MTR	15.01.5
16	9/28/2005	Men's Diving	13.1.3.1
17	11/30/2005	MSW	13.1.3.1
18	12/12/2005	WBB	17.1.5.3.6
Totals			
Indiana	18		

2004 Secondary Violations of NCAA Bylaws

Violation #	Date Reported	Sport(s)	Bylaw(s)
1	1/15/2004	SB	12.1.1.1.3.1 and 12.1.1.1.4.3
2	1/15/2004	FB	13.02.4.4 and 13.1.6.3-(b)
3	1/29/2004	FB	17.11.6
4	2/13/2004	SB	11.4.1
5	3/9/2004	WSW	16.12.2.1
6	3/9/2004	MSW	16.12.2.1
7	3/18/2004	MSO	13.4.1
8	3/31/2004	WR	13.1.3.1
9	5/11/2004	FB	13.1.2.3-(a)
10	5/11/2004	FB	13.2.2-(d), 13.7.5.1 and 13.7.6
11	5/11/2004	WSW	13.02.5.1
12	8/20/2004	FB	17.11.6
13	9/2/2004	FB	13.2.1 and 13.7.5.7
14	9/9/2004	FB	14.1.4.1
15	9/23/2004	FB	15.2.2.1.6
16	9/24/2004	MBB	13.7.1.2.1
17	9/30/2004	MSW	10.1
18	9/30/2004	FB	12.5.1.1
19	9/30/2004	WSW	13.7.3
20	9/30/2004	SB	13.7.3
21	9/30/2004	WGO	13.1.3.1
22	9/30/2004	WSO	16.8.1.2
23	10/20/2004	MSO	13.7.6.2
24	10/27/2004	WR	14.1.4.1
25	12/1/2004	MSW	13.7.6.8 and 13.1.2
26	12/1/2004	WSW	13.7.6.8
27	12/16/2004	FB	17.02.13-(a) and 17.11.6
28	12/16/2004	FB	17.11.6
29	12/16/2004	FB	17.1.5.1 and 17.11.6-(b)-(8)
30	12/16/2004	FB	17.02.1, 17.02.13 and 17.11.6
31	12/28/2004	MTR	15.01.5 (and BT Rule 14.4.3)
32	12/28/2004	WR	15.01.5 (and BT Rule 14.4.3)
33	12/30/2004	WBB	12.5.1.1
Totals			
Indiana	33		

2003 Secondary Violations of NCAA Bylaws

Violation #	Date Reported	Sport(s)	Bylaw(s)
1	1/24/2003	FB	13.1.2.1
2	1/24/2003	WSW	13.1.2.1
3	1/24/2003	WGO & WBB	16.12.2.1
4	1/25/2003	MBB	17.1.5.3.2.1
5	2/12/2003	FB	11.01.4
6	2/12/2003	MTR/MCC	14.1.3.1 and 14.1.4.1
7	2/12/2003	Rowing	11.01.6
8	2/12/2003	FB	13.11.4
9	3/6/2003	FB	13.7.5.1.1
10	3/6/2003	MGA	13.7.1.2.1
11	3/26/2003	FH	12.5.1.1
12	3/26/2003	FB	13.7.5.1.1
13	3/28/2003	MTN	13.1.1.3
14	4/2/2003	Diving	13.4.1
15	4/2/2003	WSO	13.7.5.7
16	4/2/2003	WTR	15.2.6.1.1
17	4/2/2003	MBB	16.6.1
18	5/8/2003	FB	11.7.2.2
19	5/9/2003	MSW	14.01.1, 14.10.1, 14.11.1 and 16.8.1.2
20	5/19/2003	SB	13.13.2.1.5
21	6/19/2003	WSW	12.1.1.4.1
22	7/22/2003	MTN	11.7.5.1
23	8/1/2003	WTR	14.01.1, 14.5.5.2.10-(d) and 14.10.1
24	9/4/2003	W Diving	12.1.1.1.5
25	9/18/2003	MGO	12.1.1.5 and 16.1.1.2
26	9/22/2003	MTR	12.1.1.1.1
27	9/24/2003	WSO	13.4.1
28	10/24/2003	MBB	30.16-(b)
29	12/15/2003	WSW	13.7.5.5 and 13.1.2
30	12/15/2003	WSW	13.1.2
31	12/23/2003	FB	16.7
32	12/23/2003	FB	16.12.2.1 and 16.12.2.2.2
Totals			
Indiana	32		

Men's Basketball Secondary Violations of NCAA Bylaws 2003-2007

Violation #	Date Reported	Sport(s)	Bylaw(s)
1	1/25/2003	MBB	17.1.5.3.2.1
2	4/2/2003	MBB	16.6.1
3	10/24/2003	MBB	30.16-(b)
4	9/24/2004	MBB	13.7.1.2.1
5	5/25/2005	MBB	13.4.1
6	6/20/2006	MBB - Sampson	13.12.1.6
7	7/13/2006	MBB	12.5.1.7
8	7/26/2006	MBB, FB, MSO and BB	14.01.3 and 15.01.5 (and BT Rule 14.4.3.1.A)
9	11/2/2006	MBB	14.01.3 and 15.01.5 (and BT Rule 14.4.3.1.A.5)
10	5/1/2007	MBB - Senderoff	13.4.1.1-(a)
11	5/14/2007	MBB	13.10.2
12	5/16/2007	MBB	13.2.2
13	10/10/2007	MTR, BB, MBB & WR	14.01.3 and 15.01.5 (and BT Rule 14.4.3.1.A)
14	10/22/2007	MBB - Senderoff & Meyer	13.1.3.1.2
15	10/26/2007	MBB - Meyer & Sampson	13.12.1.3
16	10/26/2007	MBB - Senderoff	13.1.7.2.4
Totals			
MBB	16		
Meyer	2		
Sampson	2		
Senderoff	3		

Indiana University
Athletic Department

Michael McRobbie
President

Rick Greenspan
Director of Athletics

Terri Smithson
Chief of Staff

All Support Staff

Edwin Marshall
Vice President for
Diversity, Equity and
Multi-cultural Affairs

Bruce Jaffe
Faculty Athletics
Representative

M. Grace Calhoun
Associate A.D.

Jack Garrett
Associate A.D.

Chris Reynolds
Sr. Assoc. A.D.

Kevin Clark
Associate A.D.

Scott Dolson
Assoc. A.D.

Tim Fitzpatrick
Sr. Assoc. A.D.

Mary Ann Rohleder
Director of
Administrative
Services

Janet Kittell
Associate
Athletic Director

Senior Woman
Administator

Facilities
Management

Equipment
Operations

Business and
Finance

Varsity Club

Marketing
And
Promotions

Human Resources

Sport
Administration

Compliance

Golf Course

Contracts

Computer Services

Ticket
Operations

Strength &
Conditioning

Academic
Services

Baseball
Wrestling

Sport
Administration

Concessions And
Food Services

Publications and
Special Projects

Event Management

Sports
Medicine

Student Services

Travel

Video
Productions

Liaison to Football, Men's
Basketball, Women's
Basketball

Media
Relations

2007 - 08 Squad List

Report Generated: 20 March 2008

13:04:20

Form 07-5c

Division I Head-Count Sports Other Than Football Sport: Men's Basketball

Institution: Indiana University City: Bloomington State: Indiana

Name/ID Number	Residency	Status of Student			Financial Aid			Exempt	Countable Players		Change in Status		Add'l Sports	Eligible to Compete		
		Term	Recruited		Perd. of Award	Amount of Athletics Grant	Amount of Other Countable Aid		Total Countable Aid	Overall		Reason			Date	Rev. enue Dist. Only
			First Enrolled Any Year	Years Used Enrolled						Years Recv Fin Aid	Total Tuition Fees Books					
[REDACTED]	0001888198	F 2004	3	N	FY	15,776	0	15,776	15,775	1	1.00	/ /	1.00	Y		
[REDACTED]	0002227681	F 2006	1	Y	FY	15,776	0	15,776	15,775	1	1.00	/ /	1.00	Y		
[REDACTED]	0002346175	F 2007	0	Y	FY	30,255	0	30,255	30,255	1	1.00	/ /	1.00	Y		
[REDACTED]	0002341340	S 2005 F 2007	2	Y	FY	30,255	0	30,255	30,255	1	1.00	/ /	1.00	Y		
NCAA ELIGIBILITY CENTER CONFIRMED QUALIFIER STATUS 8/30/2007 NEEDS 78 HRS PASSED W/ 2.00 BY JAN. 2008																
[REDACTED]	0002137179	F 2006	0	N		0	0	0	15,775	0	.000	/ /	0.00	Y		
[REDACTED]	0002331735	F 2007	0	Y	FY	15,776	0	15,776	15,775	1	1.00	/ /	1.00	Y		
[REDACTED]	0002293685	F 2007	0	Y	FY	30,255	0	30,255	30,255	1	1.00	/ /	1.00	Y		
PENDING INITIAL ELIGIBILITY WAIVER WITH NCAA ELIGIBILITY CENTER AS OF 9/25/2007 SO ELIGIBLE TO PARTICIPATE IN ARA UNTIL DECISION BUT NOT ELIGIBLE FOR COMPETITION UNTIL WAIVER DECISION AND CERTIFIED ON CEL; ATHLETIC AID PENDING WAIVER APPROVAL; NCAA APPROVED INITIAL ELIGIBILITY WAIVER 10/12/2007 WITH WRITTEN LETTER OF APPROVAL TO FOLLOW; WILL ADD TO CEL WHEN LETTER & NCAA ELIGIBILITY UPDATE OCCURS; ADDING TO BK CEL WEEK OF 10/22/2007																
[REDACTED]	0002341609	F 2007	0	Y	FY	30,255	0	30,255	30,255	1	1.00	/ /	1.00	Y		
[REDACTED]	0001846004	F 2004	3	Y	FY	7,887	0	7,887	15,775	1	.500	/ /	0.50	Y		

Total	Total
176,235.00	176,235.00
Total	
0.00	

Totals
8 7.50

Total
7.50

Form completed

Signature

Title

Phone:

Date:

2007 - 08 Squad List

Report Generated: 20 March 2008

13:04:28

Form 07-5c

Division I Head-Count Sports Other Than Football Sport: Men's Basketball

Institution: Indiana University City: Bloomington State: Indiana

Name/ID Number	Residency	Status of Student			Financial Aid			Exempt	Countable Players		Change in Status		Add'l Sports	Eligible to Compete	
		Term Enrolled Any Institution	Recruited Seasons Used		Perd. of Award	Amount of Athletics Grant	Amount of Other Countable Aid		Total Countable Aid	Overall		Reason			Date
			Years Recv Fin Aid	Y						N	Total Tuition Fees Books				
ONLY VERIFICATION SENT NOT ON ATHLETIC AID IN FALL AND NO COMPETITION IN FALL; ELIGIBLE AFTER FALL 2007 & ADDING TO CEL, ADD. 2 ON 12/20/2007.															
0002221908		F 2003	F 2006	3	Y	FY	30,255	0	30,255	30,255	1	1.00	/ /	1.00 Y	
0001613069			F 2003	4	N	FY	9,497	0	0	15,775	0	0.00	E Exhausted Eligibility 08/27/2007	0.00 N	
0001922529			F 2004	2	N	FY	15,776	0	15,776	15,775	1	1.00	/ /	1.00 Y	
0002341343		F 2005	F 2007	2	Y	FY	30,255	0	30,255	30,255	1	1.00	/ /	1.00 Y	
0001984821			F 2004	3	Y	FY	30,255	0	30,255	30,255	1	1.00	/ /	1.00 Y	
0002242206		F 2004	F 2006	3	Y	FY	30,255	0	30,255	30,255	1	1.00	/ /	1.00 Y	

Total	Total
146,293.00	136,796.00
Total	
0.00	

Totals
5 5.00

Total
5.00

2007 - 08 Squad List

Report Generated: 20 March 2008

13:04:30

Form 07-5c

Division I Head-Count Sports Other Than Football Sport: Men's Basketball

Grand Totals:	Total		Totals		Total
	322,528.00	313,031.00	13	12.50	12.50
	Total 0.00		NCAA Limit: 13.00 IU Limit: 13.00		First Scheduled Competition Date: / / Exhibition Competition Date: / /

Contemporaneous Penalties (CP)	Legislated Maximum Team Limit for Sport	CP Team is Subject to in 2007 - 08	CP Carried Over from PREVIOUS Academic Year (if any) Applicable to Current Academic Year	Maximum Team Limit for This Academic Year	CP Applicable to 2007 - 08 Carried Over to 2008 - 09 (if any)
	13.00				

Form completed by: _____ Signature _____ Title _____ Phone: _____ Date: _____

Form approved by: _____ Signature _____ Title _____ Phone: _____ Date: _____

Head Coach: _____ Signature _____ Phone: _____ Date: _____

Director of Athletics: _____ Signature _____ Phone: _____ Date: _____

2006 - 07 Squad List

Report Generated: 20 March 2008

13:04:40

Form 06-5c

Division I Head-Count Sports Other Than Football Sport: Men's Basketball

Institution: Indiana University City: Bloomington State: Indiana

Name/ID Number	Residency	Status of Student				Financial Aid				Exempt	Countable Players Overall		Change in Status		Eligible to Compete		
		Term Enrolled Any Institution	Recruited Seasons Used		Perd. of Award	Amount of Athletics Grant	Amount of Other Countable Aid	Total Countable Aid	Total Tuition Fees Books	Equiv. Award	Reason	Date	Add'l Sports	Rev. enue Dist. Only			
			First Year	Years Recv Fin Aid													
[REDACTED]	0001888198	F 2004	2	N	FY	0	0	0	15,079	0	.000		/ /		0.00	Y	
[REDACTED]	0002077688	F 2005	1	Y	FY	28,091	0	28,091	28,091	1	1.00		/ /		1.00	Y	
[REDACTED]	0002227681	F 2006	0	Y	FY	15,079	0	15,079	15,079	1	1.00		/ /		1.00	Y	
[REDACTED]	0002115042	F 2002	F 2005	4	Y	FY	28,091	0	28,091	28,091	1	1.00		/ /		1.00	Y
[REDACTED]	0002227469	F 2006	0	Y	FY	28,091	0	28,091	28,091	1	1.00		/ /		1.00	Y	
[REDACTED]	0001846004	F 2004	2	Y	FY	15,079	0	15,079	15,079	1	1.00		/ /		1.00	Y	
[REDACTED]																	E
[REDACTED]	0002093208	F 2005	0	Y	FY	28,091	0	28,091	28,091	1	1.00		/ /		1.00	Y	
[REDACTED]	0002221908	F 2003	F 2006	2	Y	FY	28,091	0	28,091	28,091	1	1.00		/ /		1.00	Y
[REDACTED]																	
[REDACTED]	0001633356	F 2002	1.0	4									/ /				N

Total	Total
170,613.00	170,613.00
	Total
	0.00

Totals
7 7.00

Total
7.00

Form complete : _____ Signature _____ Title _____ Phone: _____ Date: _____

Form 06-5c

Division I Head-Count Sports Other Than Football Sport: Men's Basketball

Institution: Indiana University City: Bloomington State: Indiana

Name/ID Number	Residency	Status of Student			Perd. of Award	Financial Aid			Exempt	Countable Players		Change in Status		Add'l Sports	Eligible to Compete	
		Term Enrolled Any Institution	Recruited Seasons Used			Amount of Athletics Grant	Amount of Other Countable Aid	Total Countable Aid		Overall		Reason	Date			Rev. enue Dist. Only
			First Year	Years Recv Fin Aid						Total Tuition Fees Books	Equiv. Award					
[REDACTED]	0001613069	F 2003	3	N	FY	11,529	0	11,529		15,079	1	.765	/ /		0.76 Y	
[REDACTED]	0001922529	F 2004	1	N	FY	0	0	0		15,079	0	.000	/ /		0.00 Y	
[REDACTED]	0001984821	F 2004	2	Y	FY	28,091	0	28,091		28,091	1	1.00	/ /		1.00 Y	
[REDACTED]	0002242206	F 2004	F 2006	2	Y	FY	28,091	0	28,091	28,091	1	1.00	/ /		1.00 Y	
[REDACTED]	0001660246	F 2002	1.0	3	Y	FY	26,921	0	26,921	26,921	1	1.00	/ /		1.00 Y	

Total	Total
94,632.00	94,632.00
Total	
0.00	

Totals	
4	3.76

Total	
	3.76

2006 - 07 Squad List

Report Generated: 20 March 2008

13:04:46

Form 06-5c

Division I Head-Count Sports Other Than Football Sport: Men's Basketball

Grand Totals:	Total		Total		Totals		Total
	265,245.00	265,245.00	11	10.76		10.76	
	Total 0.00		NCAA Limit: 13.00		First Scheduled Competition Date: / /		Exhibition Competition Date: / /
				IU Limit: 13.00			

Contemporaneous Penalties (CP)	Legislated Maximum Team Limit for Sport	CP Team is Subject to in 2006 - 07	CP Carried Over from PREVIOUS Academic Year (if any) Applicable to Current Academic Year	Maximum Team Limit for This Academic Year	CP Applicable to 2006 - 07 Carried Over to 2007 - 08 (if any)
	13.00				

Form completed by: _____ Signature _____ Title _____ Phone: _____ Date: _____

Form approved by: _____ Signature _____ Title _____ Phone: _____ Date: _____

Head Coach: _____ Signature _____ Title _____ Phone: _____ Date: _____

Director of Athletics: _____ Signature _____ Title _____ Phone: _____ Date: _____

2005 - 06 Squad List
Form 05-5c

Report Generated: 20 March 2008

13:04:56

Division I Head-Count Sports Other Than Football Sport: Men's Basketball

Institution: Indiana University City: Bloomington State: Indiana

Name/ID Number	Residency	Status of Student			Financial Aid			Exempt	Countable Players		Change in Status		Add'l Sports	Eligible to Compete	
		Term Enrolled Any Year Institution	Recruited Seasons Used		Perd. of Award	Amount of Athletics Grant	Amount of Other Countable Aid		Total Countable Aid	Overall		Reason			Date
			Years Recv Fin Aid							Total Tuition Fees Books	Equiv. Award				
[REDACTED]	0001888198	F 2004	1	N	FY	0	0	0	14,489	0	.000	/ /		0.00 Y	
[REDACTED]	0002077688	F 2005	0	Y	FY	26,885	0	26,885	26,885	1	1.00	/ /		1.00 Y	
[REDACTED]	0002115042	F 2002	F 2005	3	Y	FY	26,885	0	26,885	26,885	1	1.00	/ /	1.00 Y	
[REDACTED]	0002120871	F 2004	F 2005	1	N	1S	0	0	0	14,489	0	.000	/ /	0.00 Y	
[REDACTED]	0002130881	F 2005	0	Y	FY	26,885	0	26,885	26,885	1	1.00	/ /		1.00 Y	
[REDACTED]	0001961821	F 2004	1									/ /	FB	Y	

Total	Total
80,655.00	80,655.00
Total	
0.00	

Totals
3 3.00

Total
3.00

Form complete : _____ Signature _____ Title _____ Phone: _____ Date: _____

Form 05-5c

Division I Head-Count Sports Other Than Football Sport: Men's Basketball

Institution: Indiana University City: Bloomington State: Indiana

Name/ID Number	Residency	Status of Student			Financial Aid			Exempt	Countable Players		Change in Status		Add'l Sports	Rev. enue Dist. Only	Eligible to Compete
		Term First Enrolled Any Institution	Recruited Seasons Used		Perd. of Award	Amount of Athletics Grant	Amount of Other Countable Aid	Total Countable Aid	Total Tuition Fees Books	Equiv. Award	Reason	Date			
			Years Recv Fin Aid	3 Y											
[REDACTED]	0002003409	F 2001	F 2004	3 Y	FY	26,885	0	26,885	26,885	1	1.00	/ /		1.00	Y
[REDACTED]	0001363791		F 2001	2.0 3 Y	FY	13,392	0	13,392	13,392	1	1.00	/ /		1.00	Y
[REDACTED]	0002008099	F 2001	F 2004	3 Y	FY	26,885	0	26,885	26,885	1	1.00	/ /		1.00	Y
[REDACTED]	0001575900		F 2001	2.0 3 Y	1 S	12,886	0	0 Y	25,771	0	0.000	0 Other	01/09/2006	0.00	N
[REDACTED]	0001846004		F 2004	1 Y	FY	14,489	0	14,489	14,489	1	1.00	/ /		1.00	Y
[REDACTED]	0002093208		F 2005	0 Y	FY	26,885	0	26,885	26,885	1	1.00	/ /		1.00	Y
[REDACTED]	0001633356		F 2002	1.0 3 Y	FY	25,771	0	25,771	25,771	1	1.00	/ /		1.00	Y
[REDACTED]	0001613069		F 2003	2 N	FY	10,939	0	10,939	14,489	1	.755	/ /		0.75	Y
[REDACTED]	0001922529		F 2004	0 N	FY	0	0	0	14,489	0	0.000	/ /		0.00	Y
[REDACTED]	0002004736		F 2004	1 Y	FY	14,489	0	14,489	14,489	1	1.00	/ /		1.00	Y
[REDACTED]	0001984821		F 2004	1 Y	FY	26,885	0	26,885	26,885	1	1.00	/ /		1.00	Y
[REDACTED]	0001660246		F 2002	1.0 2 Y	FY	25,771	0	25,771	25,771	1	1.00	/ /		1.00	Y

Total	Total
225,277.00	212,391.00
Total	
0.00	

Totals	
10	9.75

Total	
9.75	

2005 - 06 Squad List

Report Generated: 20 March 2008

13:05:02

Form 05-5c

Division I Head-Count Sports Other Than Football

Sport: Men's Basketball

Grand Totals:	Total		Total		Totals		Total
	305,932.00	293,046.00	13	12.75	12.75		
	Total 0.00		NCAA Limit: 13.00		First Scheduled Competition Date: 11/04/2005		
				IU Limit: 13.00		Exhibition Competition Date: / /	

Contemporaneous Penalties (CP)	Legislated Maximum Team Limit for Sport 13.00	CP Team is Subject to in 2005 - 06	CP Carried Over from PREVIOUS Academic Year (if any) Applicable to Current Academic Year	Maximum Team Limit for This Academic Year	CP Applicable to 2005 - 06 Carried Over to 2006 - 07 (if any)
--------------------------------	--	------------------------------------	--	---	---

Form completed by: _____ Signature _____ Title _____ Phone: _____ Date: _____

Form approved by: _____ Signature _____ Title _____ Phone: _____ Date: _____

Head Coach: _____ Signature _____ Phone: _____ Date: _____

Director of Athletics: _____ Signature _____ Phone: _____ Date: _____

2004 - 05 Squad List

Report Generated: 20 March 2008

13:05:12

Form 04-5c

Division I Head-Count Sports Other Than Football Sport: Men's Basketball

Institution: Indiana University City: Bloomington State: Indiana

Name/ID Number	Residency	Status of Student			Financial Aid			Exempt	Countable Players		Change in Status		Eligible to Compete			
		Term Enrolled Any Institution	Recruited Seasons Used		Perd. of Award	Amount of Athletics Grant	Amount of Other Countable Aid		Total Countable Aid	Overall		Reason		Date	Add'l Sports	Rev. enue Dist. Only
			Years Recv Fin Aid	0						1	Y					
[REDACTED]	0001888198	F 2004	0	0	N		0	0	0	13,900	0.000	/ /		0.00	Y	
[REDACTED]	0001620708	F 2002	0	0	N	FY	0	0	0	12,860	0.000	/ /		0.00	N	
[REDACTED]	0001883515	F 2003	0	1	Y	FY	25,713	0	25,713	25,713	1.000	/ /		1.00	Y	
[REDACTED]	0001961821	F 2004	0									/ /	FB		Y	
[REDACTED]	0001728110	F 2003	0	0	N	FY	0	0	0	13,900	0.000	/ /		0.00	N	
[REDACTED]	0001565392	F 2001	0.0	3	N	FY	0	0	0	24,653	0.000	/ /		0.00	Y	
[REDACTED]	0002003409	F 2001	F 2004	3	Y	FY	25,713	0	25,713	25,713	1.000	/ /		1.00	N	
[REDACTED]	0001363791	F 2001	2.0	2	Y	FY	12,860	0	12,860	12,860	1.000	/ /		1.00	Y	
[REDACTED]	0000328818	F 2001	0	0	N	FY	0	0	0	12,860	0.000	/ /		0.00	N	
[REDACTED]	0002008099	F 2001	F 2004	3	Y	FY	25,713	0	25,713	25,713	1.000	/ /		1.00	N	

Total	Total
89,999.00	89,999.00
Total	
0.00	

Totals	
4	4.00

Total	
	4.00

Form complete

Signature

Title

Phone:

Date:

2004 - 05 Squad List

Report Generated: 20 March 2008

13:05:16

Form 04-5c

Division I Head-Count Sports Other Than Football Sport: Men's Basketball

Institution: Indiana University City: Bloomington State: Indiana

Name/ID Number	Residency	Status of Student				Financial Aid			Exempt	Countable Players		Change in Status		Eligible to Compete				
		Term Enrolled Any	Recruited Seasons Used		Perd. of Award	Amount of Athletics Grant	Amount of Other Countable Aid	Total Countable Aid		Overall		Reason	Date	Add'l Sports	Rev. enue Dist. Only			
			First Year	Years Recv Pin Aid						Total Tuition Fees Books	Equiv. Award							
[REDACTED]	0000166728	F	2000	3.0	4	Y	1S	2,867	0	0	Y	24,653	0	.000	/ /		0.00	N
[REDACTED]	0001686333	F	2003		0	N	FY	0	0	0		13,900	0	.000	/ /		0.00	N
[REDACTED]	0001575900	F	2001	2.0	3	Y	FY	24,653	0	24,653		24,653	1	1.00	/ /		1.00	Y
[REDACTED]	0001846004	F	2004		0	Y	FY	13,900	0	13,900		13,900	1	1.00	/ /		1.00	Y
[REDACTED]	0001851431	F	2003	F	2004		N	0	0	0		13,900	0	.000	/ /		0.00	N
[REDACTED]	0001523594	F	2000	3.0	3	Y	FY	24,653	0	24,653		24,653	1	1.00	/ /		1.00	Y
[REDACTED]	0002015666	F	2004		0	Y	FY	25,713	0	25,713		25,713	1	1.00	/ /		1.00	N
[REDACTED]	0001633356	F	2002	1.0	2	Y	FY	24,653	0	24,653		24,653	1	1.00	/ /		1.00	Y
[REDACTED]	0001613069	F	2003		1	N	FY	0	0	0		13,900	0	.000	/ /		0.00	Y
[REDACTED]	0001922529	F	2004		0	N	FY	0	0	0		13,900	0	.000	/ /		0.00	Y
[REDACTED]	0000399851	F	2001	0.0	3	N		0	0	0		12,860	0	.000	/ /		0.00	Y

Total	Total
116,439.00	113,572.00
Total	
0.00	

Totals	
5	5.00

Total	
5.00	

Form 04-5c

Division I Head-Count Sports Other Than Football Sport: Men's Basketball

Institution: Indiana University City: Bloomington State: Indiana

Name/ID Number	Residency	Status of Student			Financial Aid			Exempt	Countable Players		Change in Status		Add'l Sports	Eligible to Compete		
		Term First Enrolled Any Institution	Recruited Seasons Used		Perd. of Award	Amount of Athletics Grant	Amount of Other Countable Aid		Total Countable Aid	Total Tuition Fees Books	Equiv. Award	Reason			Date	Rev. enue Dist. Only
			Years Recv Fin Aid													
[REDACTED]	0002004736	F 2004	0	Y	FY	13,900	0	13,900	13,900	1	1.00	/ /	1.00	Y		
[REDACTED]	0001984821	F 2004	0	Y	FY	25,713	0	25,713	25,713	1	1.00	/ /	1.00	Y		
[REDACTED]	001660246	F 2002	1.0	1	Y	FY	24,653	0	24,653	24,653	1	1.00	/ /	1.00	Y	
[REDACTED]	0001650627	F 2002	1.0	2	Y	FY	24,653	0	24,653	24,653	1	1.00	/ /	1.00	Y	

Total	Total
88,919.00	88,919.00
Total	
0.00	

Totals	
4	4.00

Total	
	4.00

2004 - 05 Squad List

Report Generated: 20 March 2008

13:05:18

Form 04-5c

Division I Head-Count Sports Other Than Football Sport: Men's Basketball

Grand Totals:	Total		Total		Totals		Total	
	295,357.00	292,490.00	13	13.00			13.00	
		Total 0.00	NCAA Limit: 13.00		IU Limit: 13.00		First Scheduled Competition Date: 11/25/2002	
						Exhibition Competition Date: 11/08/2002		

Contemporaneous Penalties (CP)	Legislated/Maximum Team Limit for Sport	CP Team is Subject to in 2004 - 05	CP Carried Over from PREVIOUS Academic Year (if any) Applicable to Current Academic Year	Maximum Team Limit for This Academic Year	CP Applicable to 2004 - 05 Carried Over to 2005 - 06 (if any)
	13.00				

Form completed by: _____ Signature _____ Title _____ Phone: _____ Date: _____

Form approved by: _____ Signature _____ Title _____ Phone: _____ Date: _____

Head Coach: _____ Signature _____ Phone: _____ Date: _____

Director of Athletics: _____ Signature _____ Phone: _____ Date: _____

2003 - 04 Squad List
Form 03-5c

Report Generated: 20 March 2008

13:05:31

Division I Head-Count Sports Other Than Football Sport: Men's Basketball

Institution: Indiana University City: Bloomington State: Indiana

Name/ID Number	Residency	Status of Student				Financial Aid			Exempt	Countable Players		Change in Status		Eligible to Compete				
		Term Enrolled Any	Recruited Seasons Used		Perd. of Award	Amount of Athletics Grant	Amount of Other Countable Aid	Total Countable Aid	Total Tuition Fees Books	Equiv. Award	Reason	Date	Add'l Sports	Rev. enue Dist. Only				
			Year Recv Fin Aid	Years Recv Fin Aid												Year	Year	
[REDACTED]	0000082448	F	2001	0	N		0	0	0	12,601	0	0.000	C	Cut or Dismissed	10/27/2003		0.00	N
[REDACTED]	0001620708	F	2002	0	N	FY	0	0	0	12,601	0	0.000	C	Cut or Dismissed	10/27/2003		0.00	N
[REDACTED]	0001883515	F	2003	0	D	Y	FY	24,635	0	24,635	24,635	1	1.00		/ /		1.00	Y
[REDACTED]	0001867099	F	2003	0.0	0	Y	FY	24,635	0	24,635	24,635	1	1.00		/ /		1.00	N
[REDACTED]	0001565392	F	2001	0.0	2	N	FY	23,635	0	23,635	23,635	1	1.00		/ /		1.00	Y
[REDACTED]	0001363791	F	2001	2.0	1	Y	FY	12,601	0	12,601	12,601	1	1.00		/ /		1.00	Y
[REDACTED]	0000147912	F	1999	4.0	3	Y	FY	23,635	0	23,635	23,635	1	1.00		/ /		1.00	Y
[REDACTED]	0000166728	F	2000	3.0	3	Y	FY	23,635	0	23,635	23,635	1	1.00		/ /		1.00	Y
[REDACTED]	0000169646	F	1999	3.0	4	Y	1S	11,818	0	0	23,635	0	0.000		/ /		0.00	N
[REDACTED]	0001668353	F	2003	0	N	FY	0	0	0	24,635	0	0.000	C	Cut or Dismissed	10/27/2003		0.00	N

Total	Total
144,594.00	132,776.00
	Total
	0.00

Totals
6
6.00

Total
6.00

Form complete

Signature

Title

Phone:

Date:

Form 03-5c

Division I Head-Count Sports Other Than Football Sport: Men's Basketball

Institution: Indiana University City: Bloomington State: Indiana

Name/ID Number	Residency	Status of Student				Financial Aid				Exempt	Countable Players		Change in Status		Eligible to Compete			
		Term Enrolled Any	First Year	Recruited		Perd. of Award	Amount of Athletics Grant	Amount of Other Countable Aid	Total Countable Aid		Total Tuition Fees Books	Equiv. Award	Reason	Date	Add'l Sports	Rev. enue Dist. Only		
				Seasons Used	Years Recv Fin Aid													
[REDACTED]	0001575900	F	2001	2.0	2	Y	FY	23,635	0	23,635	23,635	1	1.00	/ /		1.00	Y	
[REDACTED]	0001523594	F	2000	3.0	2	Y	FY	21,135	2,500	23,635	23,635	1	1.00	/ /		0.89	Y	
[REDACTED]	0001732950	F	2003		0	N		0	0	0	13,601	0	0.000	C	Cut or Dismissed	10/27/2003	0.00	N
[REDACTED]	0000204921	F	2000		1	N	FY	0	0	0	12,601	0	0.000		/ /		0.00	Y
[REDACTED]	0001633356	F	2002	1.0	1	Y	FY	19,635	4,000	23,635	23,635	1	1.00	/ /		0.83	Y	
[REDACTED]	0001613069	F	2003		0	N	FY	0	0	0	13,601	0	0.000		/ /		0.00	Y
[REDACTED]	0000399851	F	2001	0.0	2	N	FY	12,601	0	12,601	12,601	1	1.00	/ /		1.00	Y	
[REDACTED]	1660246	F	2002	1.0	0	Y	FY	23,635	0	23,635	23,635	1	1.00	/ /		1.00	Y	
[REDACTED]	0001740210	F	2003		0	N	FY	0	0	0	24,635	0	0.000	C	Cut or Dismissed	10/27/2003	0.00	N
[REDACTED]	0001650627	F	2002	1.0	1	Y	FY	23,635	0	23,635	23,635	1	1.00	/ /		1.00	Y	

Total	Total
124,276.00	130,776.00
Total	
6,500.00	

Totals
6 6.00

Total
5.72

2003 - 04 Squad List

Report Generated: 20 March 2008

13:05:36

Form 03-5c

Division I Head-Count Sports Other Than Football

Sport: Men's Basketball

Grand Totals:	Total		Total		Totals		Total	
	268,870.00	263,552.00	12	12.00			11.72	
	Total							
	6,500.00		NCAA Limit: 13.00		First Scheduled Competition Date: / /			
			IU Limit: 13.00		Exhibition Competition Date: / /			

Contemporaneous Penalties (CP)	Legislated Maximum Team Limit for Sport	CP Team is Subject to in 2003 - 04	CP Carried Over from PREVIOUS Academic Year (if any) Applicable to Current Academic Year	Maximum Team Limit for This Academic Year	CP Applicable to 2003 - 04 Carried Over to 2004 - 05 (if any)
	13.00				

Form completed by: _____ Signature _____ Title _____ Phone: _____ Date: _____

Form approved by: _____ Signature _____ Title _____ Phone: _____ Date: _____

Head Coach: _____ Signature _____ Phone: _____ Date: _____

Director of Athletics: _____ Signature _____ Phone: _____ Date: _____

May 31, 2006

Mr. Thomas E. Yeager
Acting Chair of the NCAA Division I
Committee on Infractions
Colonial Athletic Association

Dear Mr. Yeager,

Our administrative and compliance staffs met with the men's basketball staff yesterday (the first opportunity after Coach Sampson's return on Memorial Day from a 10 day trip to Kuwait) to discuss the additional penalties and our procedures for implementing those restrictions, as well as monitoring full compliance with all penalties assessed during this process.

We would like to get the committee's input on a number of questions or concerns that have come up during our discussions of the recruiting restrictions placed on our coaching staff as a result of the violations that occurred at Oklahoma. I have tried to number the questions, but there are several that are multi-faceted. As of my last count, I think there were 21 specific questions in the following 12 paragraphs (the highlighted questions in paragraph 1 are the only extremely time-sensitive questions as they involve a previous commitment regarding a speaking engagement scheduled for this weekend):

1. We would like clarification regarding the prohibition on outside speaking engagements. Specifically, what is the institution's obligation to monitor attendance at the few speaking engagements Coach Sampson may make during the next year on behalf of our institution.

For example, Coach Sampson was previously scheduled to speak at a local black tie fundraiser for the American Heart Association this weekend. What happens if an attendee brings his or her son or daughter in place of a business associate who cancels at the last minute?

Is the intent of this restriction aimed at recruiting only? If so, is it possible to look at the target audience and then not hold Coach Sampson or the institution responsible if they happen to be a few prospect-aged individuals in the audience (using perhaps a reasonable person standard for determining in advance who the target audience is)?

What about at our IU booster/donor events? We typically schedule the majority of these in the summer – around golf outings – they are aimed at the boosters/donors, but what happens if they bring along their children or grandchildren?

2. Coach Sampson has a long-standing speaking engagement at the 20 year reunion of the movie "Hoosiers" which is taking place in Knightstown, Indiana, where much of the movie was filmed. Is it okay for him to fulfill his obligation even though this event is open to the public and there may be prospect-aged individuals in the audience?

3. What about his local radio show during the season – it is broadcast from a local restaurant and he does take questions from the audience (actually, the host, Don Fisher, acts as the moderator and he is the one who takes the calls/questions)?

What happens if prospect-aged individuals are eating at the restaurant during the show?

4. What happens if there are prospect-aged females in attendance at a speaking engagement?
5. It doesn't appear that the COI limited the number of IU coaches who could be on the road at any one time except for the fact that Coach Sampson can not be out at all. Is this an accurate statement?
6. Exactly who can Coach Sampson call? He is restricted from "making any phone calls that relate in any way to recruiting or being present when members of his staff make such calls." The restriction certainly applies to prospects, their coaches, and their parents. We can't think of anyone whom he should be permitted to call that has a relationship to high school, JC, or AAU basketball. Is our interpretation too restrictive? Does it violate the spirit of the penalties if the assistants make a recruiting call and tell the person to call Sampson at some specific time that Sampson has arranged?
7. Can Coach Sampson text message a recruit and type: "Call me at 1-800-IUBBALL" (or whatever his phone number is)?
8. If an AAU or HS coach calls one of the IU assistant coaches and then adds a prospect in on a 3-way call, can the assistant coach add in Coach Sampson at that time (since the original call was initiated by the AAU or HS coach and not by anyone at IU)?
9. Once a prospect signs an NLI with IU, is it possible for Coach Sampson to call him and to have off-campus contact with him (can he make a home visit to a current NLI signee)?
10. What happens if Coach Sampson receives a call from a prospect (or coach or parent), but loses the connection (common problem with cell phones)? Can he call back or must he wait for the prospect (or coach or parent) to call him back?
11. Can Coach Sampson call high school and/or AAU coaches about basketball related issues, such as talking about our offense, defense, etc.?
12. If the institution determines we will not be appealing or contesting any findings made by the committee, do we need to notify you of that decision or is notification only necessary if we did decide to appeal or contest any findings?

Thank you for allowing us to seek clarification of these issues as we strive to follow the letter and intent of both the assessed and self-imposed penalties. I look forward to hearing from you.

Sincerely,

Jennifer Brinegar
Assistant Athletics Director – Compliance
Indiana University
812-855-0451 (O)
812-327-0071 (C)
812-856-5155 (F)
jhooker@indiana.edu